

**NANYANG TECHNOLOGICAL UNIVERSITY**

**Design Automation for Partially  
Reconfigurable Adaptive Systems**

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ABSTRACT OF THE DESSERTATION

# **Design Automation for Partially Reconfigurable Adaptive Systems**

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Adaptive systems have the ability to respond to environmental conditions, by modifying their processing at runtime. While this is easy to do in software systems, modern algorithms can be computationally expensive, requiring powerful processors. At the same time hardware is not as flexible. Field programmable gate arrays (FPGAs) are recognised as being suitable for adaptive systems implementation, due to their flexibility and high performance. New hybrid FPGA platforms which integrate able processors with reconfigurable fabric provide a new platform to further explore hardware reconfigurability. The use of partial reconfiguration (PR) on FPGAs to implement adaptive systems has been proposed many times in the literature. However the design process for partially reconfigurable systems is complex and requires specialist knowledge on behalf of the application designer. Hence, it has remained a rarely used capability outside of academic circles. We propose a new approach to leverage PR within adaptive systems, by integrating with, rather than circumventing, supported vendor tool flows, while automating many of the steps that have made such designs more difficult in the past. This makes it possible for system designers with less FPGA expertise to use PR when designing adaptive systems.

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# List of Abbreviations

<b>API</b>	Application Programming Interface
<b>ASIC</b>	Application Specific Integrated Circuit
<b>AXI</b>	Advanced eXtensible Interface
<b>BRAM</b>	Block Random Access Memory
<b>CR</b>	Cognitive Radio
<b>DCM</b>	Digital Clock Manager
<b>DMA</b>	Direct Memory Access
<b>DPR</b>	Dynamic Partial Reconfiguration
<b>DRP</b>	Dynamic Reconfiguration Port
<b>DSP</b>	Digital Signal Processing
<b>FIFO</b>	First In First Out
<b>FPGA</b>	Field Programmable Gate Array
<b>FSM</b>	Finite State Machine
<b>HDL</b>	Hardware Description Language
<b>HLS</b>	High-Level Synthesis
<b>ICAP</b>	Internal Configuration Access Port
<b>JTAG</b>	Joint Test Architecture Group
<b>LUT</b>	Look-Up Table
<b>OFDM</b>	Orthogonal Frequency Division Multiplex

<b>PC</b>	Personal Computer
<b>PCIe</b>	Peripheral Component Interconnect Express
<b>PCAP</b>	Processor Configuration Access Port
<b>PR</b>	Partial Reconfiguration
<b>PLL</b>	Phase Locked Loop
<b>MMCM</b>	Mixed Mode Clock Manager
<b>MPS</b>	Maximum Payload Size
<b>MRRS</b>	Maximum Read Request Size
<b>MSI</b>	Message Signalled Interrupt
<b>PL</b>	Programmable Logic
<b>PRR</b>	Partially Reconfigurable Region
<b>PS</b>	Processing System
<b>PSA</b>	PCIe Stram Arbitrator
<b>PSG</b>	PCIe Stream Generator
<b>UART</b>	Universal Asynchronous Receiver/Transmitter
<b>TLP</b>	Transaction Layer Packet
<b>XDL</b>	Xilinx Description Language
<b>XML</b>	Extensible Markup Language

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# Chapter 1

## Introduction

**Adaptive System:** *A system that can change itself in response to changes in its environment in such a way that its performance improves through a continuing interaction with its surroundings.*

McGraw-Hill Dictionary of Scientific & Technical Terms, 6E, 2003 The McGraw-Hill Companies, Inc.

As a multidisciplinary term, an adaptive system may represent a biological system evolving based on its environmental conditions, a business model changing according to market situations, or a software engineering cycle designed to accommodate different user requirements. In our research, adaptive systems represent *adaptive computing systems* whose computing behaviour changes based on their operating surroundings. Computation involves data processing based on a predefined set of algorithms, such as signal processing techniques involved in a communication system, and adaptation involves selecting a specific processing algorithm based on current operating conditions, such as selecting a specific modulation scheme based on channel noise levels. The two contradicting factors affecting adaptive system implementation are flexibility and performance. Although implementing flexibility in software is easy with programming frameworks that support polymorphism and similar properties, the performance of such systems is not always adequate, especially in cyber-physical systems that must process complex sensor data and meet real-time deadlines, often within power and size restrictions. Achieving both

flexibility and performance requires flexible hardware architectures. While implementing adaptive systems on programmable logic devices has been explored in the past, the design methods are typically ad-hoc and require significant architecture expertise. This research is an effort to develop a framework, which enables systematic implementation of high performance adaptive systems without burdening the designer with low-level implementation details.

Rapid advancements in technology and constantly evolving standards are major motivations for adaptive system development as the development time for newer standard specifications is continuously reducing, demanding frequent system upgrades. More recent standards also typically require complex data processing capabilities as well as high data rates. Software-only implementations, while allowing for flexibility, cannot support these processing requirements, especially in embedded deployments. Developing specialised chips (ASICs) for these evolving standards is becoming less and less practical due to the long turnaround time required for ASIC development and the very high cost associated with integrated circuit development. Reconfigurable computing is a promising solution for this challenge. Reconfigurable computing makes it possible to bring flexibility to hardware implementations. Field programmable gate arrays (FPGAs) offer the benefits of a custom designed datapath, with the possibility of modifying the implementation post-deployment. What interests us here, is the opportunity to modify behaviour at runtime. Reconfigurable computing tries to combine the high performance of hardware with some of the flexibility of software.

Practically, a single chip can be used to implement multiple circuits through *reconfiguration*. For example, a chip used for implementing audio filters during music playback, can be used for implementing video decoders when the system plays a movie. These hardware modifications are transparent to the end user and the necessary circuitry is automatically loaded. The advantages of using such a platform are multifaceted. The cost can be considerably reduced along with the size and weight of the system, as well as the power consumption. Another advantage is upgradability: when a better user application is available, the system can be upgraded at minimal cost and without any component level hardware modifications.

Despite the advantages of hardware reconfiguration, it is not widely adopted mainly due to the difficulty associated with designing such systems. Instead, in most cases, in-field upgradability is the only feature that is used in production systems, while the runtime reconfiguration capability is restricted to research work. In the subsequent sections we discuss the challenges associated with designing such systems. This dissertation contributes to the high-level design and mapping of adaptive systems to reconfigurable hardware platforms, explaining concepts, proposing techniques, and developing automated tools.

## 1.1 Adaptive Systems

Adaptive systems respond to environmental conditions, by modifying their processing at runtime. For example, a driver assistance system can modify its analysis algorithms based on lighting and road conditions [1] and a software defined radio can modify its modulation scheme based on channel conditions [2]. In both these cases, complex signal processing is required, and hence, a software implementation would require powerful processing, making an embedded implementation infeasible. To support the radio and image processing throughput required for real time implementations, hardware is required, but traditional methods do not offer flexibility, which makes reconfigurable computing more attractive.

In recent years, research interest in adaptive systems has been increasing as more application domains find ways to overcome environmental limitations through modification of computation. The development of cognitive radio is a classic example of this [3] and was motivated by the fact that available radio spectrum for future communications is limited and the present allocation of the spectrum is heavily underused at different times. In order to improve system efficiency, a new radio technology was proposed, wherein a single radio can opportunistically use different portions of spectrum at different times, all the while abiding by the standards defined for each channel. While cognitive radios have often been prototyped in software, a real deployment often needs a reduced footprint, requiring

hardware processing. FPGAs have emerged as a promising platform, offering the performance of hardware, with some of the flexibility of software.

## 1.2 FPGAs as an Adaptive Hardware Platform

Field Programmable Gate Arrays (FPGAs) are versatile integrated circuit chips, whose functionality can be configured after manufacturing and are hence *field-programmable*. FPGA functionality is determined by a special binary configuration sequence called the *bitstream*, which can be loaded into its internal memory, known as the *configuration memory*. The bitstream is generated by vendor design tools, from a designer's architectural description of a circuit. The process of altering the logic implemented in an FPGA by means of loading a new bitstream is called *reconfiguration*. A primary advantage of FPGAs is their on-site programmability. Design errors detected even after system deployment can be corrected by configuring the FPGA using a new bitstream. Similarly, updates to the original design can be made in-field when new functionality is required, or new standards ratified. This flexibility can allow different functions to be implemented at different times, through the use of multiple bitstreams.

The main building block of FPGA logic is the lookup table (LUT). A LUT is a small memory-like element usually 1 bit wide and 16 or 64 bits deep. By storing appropriate values in these elements, any Boolean function can be implemented. FPGAs also contain programmable routing resources and switch boxes, which make it possible to connect logic in a highly flexible manner. Dedicated routing resources are available for critical signals such as clocks and resets. Another advantage of FPGAs is their programmable I/O pins, making them suitable for interfacing with a variety of peripherals using different I/O standards. The key enabler is that a designer can describe a detailed architecture at register-transfer level (RTL), and the tools take care of decomposing the design into the basic logic blocks, required routing, and I/O interfaces.



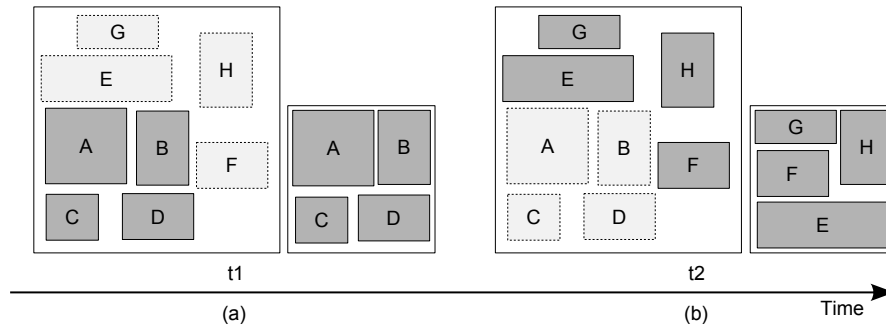


FIGURE 1.1: Effect of spatial circuit multiplexing on chip size and resource wastage (a) At time  $t_1$ , only functions A, B, C and D are active (b) at time  $t_2$  only functions E, F, G and H are active. Implementing all functions simultaneously in a single chip requires a larger chip and causes higher resource wastage when only a few are active at any point in time. The smaller chip shows that if only the required modules could be “loaded” significantly less area is required.

FPGAs started as simple chips, mainly used for glue logic implementations, and grew to fully-fledged programmable chips capable of implementing complete systems [4], thanks to the integration of built in hard-macros such as embedded processors, DSP blocks and BlockRAMs. In recent years, FPGAs have been able to successfully challenge dedicated hardware (ASIC) implementations of several systems [5]. This is mainly attributed to their reprogrammability, increasing logic density, and decreasing cost and power consumption. For moderate production runs, FPGAs can be more cost effective compared to ASICs due to the very high non-recurring engineering (NRE) cost associated with integrated circuit manufacturing processes.

We have discussed how an adaptive system may use different types of processing in different conditions, and as a result, some functions will be mutually exclusive, never being required simultaneously. For a traditional hardware design approach, these functions would all be placed on the chip, with multiplexers used to choose which is active at any point in time. However, this can significantly increase area usage if the number of options and mutual exclusivity are high as shown in Fig. 1.1. Larger chips cost more, and consume more power, and since a significant number of functions may be unused at any point in time, this overhead is wasted. With FPGAs, we have the option of using the time dimension to overcome this overhead. The device can be reconfigured to contain only the necessary modules

at any point in time. In this way, a smaller chip, with reduced power consumption and cost can be used.

### 1.3 Partial Reconfiguration

Traditionally during an FPGA reconfiguration operation, the entire logic is replaced while the device is kept in a reset state. This *full reconfiguration* allows the whole datapath to be modified or alternatively for an updated design to be applied after system deployment. This can also be applied for adaptive systems, where each possible functional configuration is implemented in a separate bitstream, and at runtime, the most suitable is chosen and applied through reconfiguration. However, this requires that the full system pause operation, and a full bitstream to be loaded, even for small changes. This can consume more time than necessary, and can break external sensor interfaces, requiring more time for setup and calibration, though designing and controlling such a system can be easy.

Instead, the approach that is more suited, is what is called partial reconfiguration (PR), which offers more fine-grained flexibility. PR enables modification of only portions of the FPGA logic by selectively changing part of the contents of the configuration memory. Now, the FPGA is no longer required to be kept in reset mode while being reconfigured making the reconfiguration dynamic in nature. So portions of the user logic not being configured can continue to execute while the reconfiguration is in progress.

Although conceptually different, partial reconfiguration and dynamic reconfiguration are frequently interchangeably used in the literature to suggest support for both. In this dissertation we use PR to refer to dynamic partial reconfiguration. PR adds an additional dimension to the spatial location: time. With PR, the same portion of the FPGA fabric can serve different functional units at different time instances. In the context of adaptive systems, this means only the required functional units need to be reconfigured when the system is reconfigured. Functional

units shared by multiple datapaths can continue to operate without interruption and the FPGA interface logic never requires reconfiguration.

PR was previously supported on only high-end devices, but is now supported in all new FPGAs from Xilinx, and some from Altera. PR has remained a constant research theme within the FPGA community since it was first mooted nearly two decades ago. Its major advantages can be summarised as:

- The logic capacity of the FPGA is effectively increased, since several functional units can use the same FPGA resources at different time instances when their functions are mutually exclusive. This enables use of a smaller FPGA, reducing overall system cost.
- For some applications, portions of the design remain inactive for long periods during system operation. Nevertheless, this logic consumes power. Although techniques such as clock gating can reduce power consumption, parts not needed can be switched off using PR to further reduce power consumption.
- Since the size of partial bitstreams is often significantly smaller than the full bitstreams, PR helps to reduce reconfiguration time.
- Using PR, functional units can be selectively reconfigured keeping the remaining functional units active and thus the system operational. This capability is critical for several types of adaptive systems.

The primary difficulty with PR is the complex design process. Even for many experienced FPGA designers, PR remains difficult. It requires expertise in FPGA architecture, spatial layout, and management of configuration. Hence, its adoption has been slow.

## 1.4 Motivations

Adaptive systems on FPGAs are often designed using ad-hoc approaches, where the system design and implementation are tightly coupled. This results from the

lack of a systematic design methodology, and makes the design complex and hard to modify. Since the designer has to worry about regions, partial bitstreams, the reconfiguration operation, and more, all at the lowest implementation levels, they become embedded deep in the design.

The increasing demand for adaptive systems with real-time performance, and at the same time the lack of versatile tools for their hardware supported implementation is our primary motive for this research. Although PR based FPGA designs are highly suitable for adaptive systems implementation in theory, the design barrier excludes many system designers. In vendor PR tool flows, the designer has to provide several manual inputs and the efficiency of system implementation greatly depends upon these. These inputs generally target a specific FPGA architecture, requiring the system designer to have expertise in FPGA architectures. Similarly in order to optimise the design, the designer has to know the low level operations performed during PR. Such an ad-hoc, manual design process is highly time consuming and generally leads to sub-optimal results. Target architecture dependency makes PR an expert feature and makes it less attractive to system level designers. We feel that the level of abstraction for PR-based adaptive systems design needs to be increased to a functional level and only minimal architecture-dependent features should be exposed to the system level designer.

Another important limitation of present PR based systems is the run-time management. The particular configurations that the FPGA will operate in, under different environmental conditions, must be explicitly coded by the system designer. This includes information about specific bitstreams which should be used to configure the FPGA under different circumstances. This again couples behaviour with specific implementation and is thus undesirable. Configuration management should be abstracted, to allow where the system designer to focus on the application, not the implementation. Automated tools should then determine lower level details such as the bitstreams that needs to be configured.

The ideal flow would be for a designer to describe the adaptive system at block level, using a library of available hardware blocks, then describing, at the same

level, the dynamic behaviour of the system. Tools should then turn this into the necessary bitstreams and translate the adaptation code at runtime to effect the necessary configurations. It should then be easy for the designer to test the system in a PR-enabled testbed that offers the necessary probes and runtime information to monitor the system's operation.

The past decade of PR research has mainly focussed on overcoming the limitations of vendor tools. Most of this work try to optimise low level device-specific features, still requiring architecture expertise. Some high-level tools have been proposed aiming at task-level time-multiplexing of FPGA resources, but this is only one way of using PR. There has been limited research in the direction of exploiting PR at a system level. Research on Run-time management of PR systems still considers reconfiguration in terms of bitstreams instead of a more abstract level. While we acknowledge that certain restrictions of the low-level vendor PR tool flows do limit efficiency to some degree, we see the poor abstraction as a more urgent issue as it prevents PR from being used by system designers. The techniques we propose can equally be applied above other research design flows, but we begin with the official flows.

## 1.5 Objectives

The main objectives of this research are to:

1. Demonstrate how an adaptive system can be mapped using PR on an FPGA and determine the design metrics that influence the quality of the implementation.
2. Determine how adaptive systems can be described in a way that can be mapped to real implementation.
3. Develop techniques and tools to automate the PR design process including partitioning and floorplanning, optimising for PR performance.

4. Develop an abstraction layer to assist design-time and run-time processes and management of PR systems.
5. Develop a verification platform which enables easier hardware validation of PR systems.

## 1.6 Contributions

The main contributions of this work encompass tools, techniques, algorithms and IP cores developed with focus on enabling easy adoption of PR in adaptive systems development. These tools and techniques enable system designers who are not FPGA experts to use PR with relative ease.

1. We have performed a comprehensive study of the partial reconfiguration process, from both the tools and architectures perspective, including a detailed architecture study of PR capable FPGAs. We have also identified the metrics associated with PR as well as the limitations of current PR design flows.
2. Efficient partitioning algorithms for PR based adaptive systems have been developed. The algorithms consider an exact mathematical solution for relatively smaller problems and a novel heuristic algorithm for larger problems.
3. An efficient floorplanning algorithm taking into account both the target FPGA architecture and factors affecting PR has been developed. The algorithm respects all the constraints imposed by the vendor tool chain and hence can easily integrate with it.
4. A fully automated PR implementation tool flow has been developed by combining our partitioning, floorplanning and new run-time management techniques with the vendor tool chain. Our tool flow provides an abstract view of adaptive systems which enables easier system development without delving into low-level implementation details. Our proposed techniques integrate

with vendor tools rather than circumventing their restrictions which enables easier adaptation as the FPGA architectures evolve.

5. We have developed a PR evaluation platform, enabling easier hardware validation of PR systems using general purpose computers. The pre-built communication and reconfiguration infrastructure enables faster system development and lower verification time.

## 1.7 Thesis Roadmap

The remainder of this thesis is structured as follows:

Chapter 2 discusses the research background and key objectives guiding this work and Chapter 3 presents a detailed literature survey on partial reconfiguration covering architecture, design methodologies, tools, and applications. Chapter 4 presents our exact and heuristic algorithms for automated partitioning for partial reconfiguration. Chapter 5 discusses automated floorplanning for partial reconfiguration using Columnar kernel tessellation. Chapter 6 discusses PR reconfiguration management and our custom high-speed reconfiguration controllers. Chapter 7 details our fully automated PR development flow targeting hybrid FPGAs. Chapter 8 details our PR hardware evaluation testbed. Finally, Chapter 9 concludes the work presented and outlines our future research directions.

## 1.8 Publications

Some of the work presented in this thesis has been written up in a number of published and submitted papers:

1. K. Vipin and S. A. Fahmy, *Efficient Region Allocation for Adaptive Partial Reconfiguration*, in Proceedings of the International Conference on Field Programmable Technology (FPT), New Delhi, 2011.

2. K. Vipin and S. A. Fahmy, *Enabling High Level Design of Adaptive Systems with Partial Reconfiguration*, PhD Forum Poster, in Proceedings of the International Conference on Field Programmable Technology (FPT), New Delhi, 2011.
3. K. Vipin and S. A. Fahmy, *Architecture-Aware Reconfiguration-Centric Floorplanning for Partial Reconfiguration*, in Proceedings of International Symposium on Applied Reconfigurable Computing (ARC), Hong Kong, 2012, pp. 13–25.
4. K. Vipin and S. A. Fahmy, *A High Speed Open Source Controller for FPGA Partial Reconfiguration*, in Proceedings of the International Conference on Field Programmable Technology (FPT), Seoul, Korea, December 2012, pp. 61-66.
5. K. Vipin and S. A. Fahmy, *Automated Partitioning for Partial Reconfiguration Design of Adaptive Systems*, in Proceedings of the Reconfigurable Architecture Workshop (RAW), Boston, USA, May 2013, pp. 172-181.
6. K. Vipin, S. Shreejith, D. Gunasekara, S. A. Fahmy, and N. Kapre, *System-Level FPGA Device Driver with High-Level Synthesis Support*, in Proceedings of the International Conference on Field Programmable Technology (FPT) , Kyoto, Japan, December 2013, pp. 128-135.
7. K. Vipin and S. A. Fahmy, *Automated Partial Reconfiguration Design for Adaptive Systems with CoPR for Zynq*, in Proceedings of the International Conference on Field Programmable Custom Computing Machines (FCCM), Boston, Massachusetts, May 2014, pp. 202–205.
8. K. Vipin and S. A. Fahmy, *ZyCAP: Efficient Partial Reconfiguration Management on the Xilinx Zynq*, to appear in IEEE Embedded System Letters (ESL), vol. 6, 2014.
9. K. Vipin and S. A. Fahmy, *DyRACT: A Partial Reconfiguration Enabled Accelerator and Test Platform*, to appear in Proceedings of the International



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Conference on Field Programmable Logic and Applications (FPL), Munich, Germany, September 2014.

## 1.9 Open Source Releases

1. Reconfiguration controller for Virtex FPGAs. <https://github.com/archntu/prcontrol>.
2. ZyCAP: A high performance ICAP controller and run-time PR manager for Zynq SoCs. <https://github.com/archntu/zycap>.
3. FPGA Driver: A reusable FPGA design evaluation platform. <https://github.com/vipinkmenon/fpgadriver>.
4. Library for PR based video/image processing filters [https://github.com/archntu/dyract/image\\_lib](https://github.com/archntu/dyract/image_lib).
5. PR enabled test and co-processor platform <https://github.com/archntu/dyract>.
6. Automated PR tool-flow <https://github.com/archntu/copr>

# Chapter 2

## Background

Adaptive systems offer the capability to deal with uncertainty in system operating conditions. An adaptive system can be considered as a collection of different system operating modes, called *configurations*, of which only one is active at a given point in time [6]. At runtime, changes in the operating environment can cause the system to switch its configuration, called *reconfiguration*, to adapt to the conditions. This adaptability can lead to more sophisticated applications as well as improved performance. Some key application drivers for adaptive systems include cognitive radios [2], smart camera systems [7], and adaptive security [8].

The flexibility awarded by software programming of a general purpose processor lends itself well to implementation of adaptive systems, and some frameworks have been proposed [9]. However, when such systems must interact with the physical environment, processing large amounts of data, and meeting real time deadlines, software implementations can fail to deliver. Software adaptive systems are often implemented on general purpose computers [10], making them unsuitable for embedded and portable applications due to their physical size and power requirements. Instead, we can see that hardware processing could ensure the high-throughput computation required, while the programmability of FPGAs can also ensure flexibility is maintained.

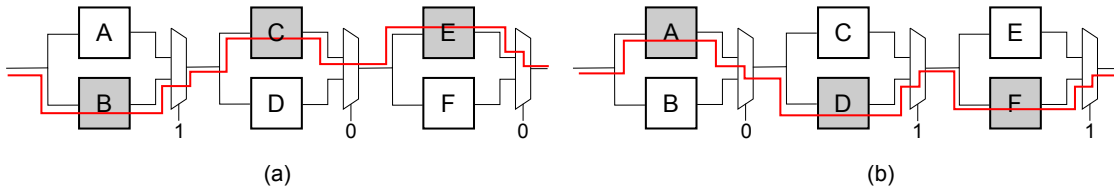


FIGURE 2.1: Multiplexed hardware system implementation. (a) Datapath uses hardware blocks B, C and E by configuring the multiplexes (b) Datapath uses hardware blocks A, D and F. The multiplexer control inputs can be managed through software which configures control registers.

## 2.1 Hardware Adaptive Systems Implementation

Hardware implementations enable much better application acceleration compared to software implementations while reducing overall system power consumption and form factor. Specialised datapaths tailored for specific applications can be implemented although designing such systems is more difficult.

One limitation generally attributed to hardware implementations is their limited flexibility. Fixed hardware implementations (ASICs) can not modify their circuitry once manufactured and a chip redesign demands huge financial investment and longer turnaround time. This provides FPGAs a new opportunity due to their re-programmability and lower design time.

To address datapath flexibility, both FPGAs and ASICs generally adopt a spatial multiplexing approach. Here all the required functions (modules) are implemented in hardware, and multiplexers are used to select between them at runtime. One benefit of this approach is that designing such a system is comparatively simpler than more advanced techniques. In fact, the insertion of multiplexers from a high level description of the block connectivity can be automated. The multiplexer select lines can be configured using software to select the required functions as shown in Fig. 2.1. System reconfiguration is also very fast, since the multiplexer can select between the different datapaths in a matter of clock cycles.

However, this requires all the functional units to be present on the device at all times, increasing resource utilisation, and possibly requiring a larger FPGA device than for other approaches. This also leads to increased power consumption.

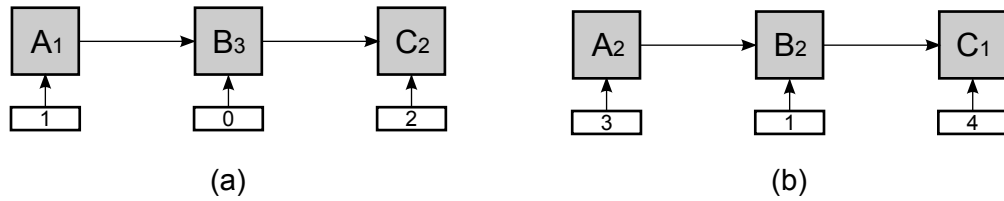


FIGURE 2.2: Parametric reconfiguration. Blocks A, B and C have a control register which can be configured to alter functionality. The register content can be modified under software control. The dataflow is from left to right. (a) By configuring the control registers, the datapath implements functions  $A_1$ ,  $B_3$  and  $C_2$  (b) By modifying the control registers, the datapath implements functions  $A_2$ ,  $B_2$  and  $C_1$ .

Additionally, a larger, more complex design, with very wide multiplexers can suffer from reduced achievable operating frequency, reducing throughput. Finally, if further functions need to be added at a later stage, a full re-implementation will be necessary, possibly with increased resource requirements resulting in a different device being necessary, and hence redesign of the full hardware system.

Another method is for the hardware designer to create flexible hardware blocks and manage configurations through *parametric reconfiguration* as shown in Fig. 2.2. For example in a radio system, a modulator block would be created to support both QPSK and QAM modes, or an FFT block could support 1024 point or 2048 point FFTs by means of control inputs.

The benefit here is that parts of the functional units that are common to different modes can be shared, and hence, resource consumption is decreased. This can lead to decreased power consumption over a multiplexed implementation, and may avoid impacting frequency due to being more compact. Additionally, reconfiguration time would not be significantly increased over a multiplexed implementation.

The difficulty with this approach is that it requires significant effort on the part of the hardware designer. They must analyse all the possible functional modes, and then determine which parts of the datapath can be shared, before taking this into account in low-level design. It is also not applicable in cases where the different modes might be unrelated computationally, or where fixed IP is being used. Since such IP might come from different vendors, and the low-level implementation is not generally available, again, a multiplexed implementation would be necessary.

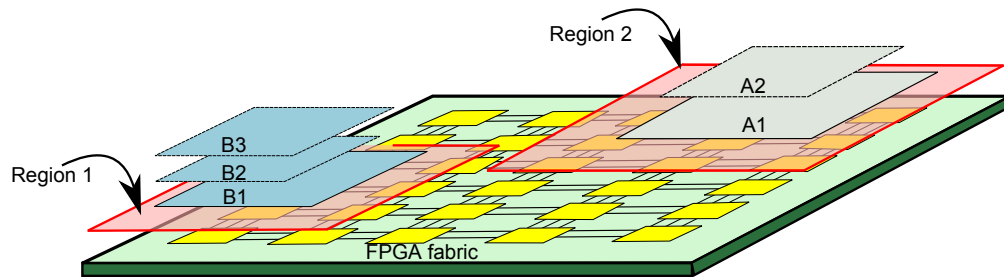


FIGURE 2.3: Partial Reconfiguration with two partially reconfigurable regions (PRRs) which host 3 and 2 modules respectively. The regions are reconfigured using the corresponding partial bitstreams to implement the required modules.

## 2.2 Partial Reconfiguration

PR allows us to time-multiplex; that is, rather than select the active mode by setting a multiplexer input to choose between different datapaths on chip, we load different partial bitstreams into the predefined reconfigurable regions (PRRs), depending on requirements, effectively replacing the modules at runtime. Fig. 2.3 illustrates this with two PRRs with the first region hosting three modules and the second region hosting two modules.

This has the best resource utilisation, and hence power consumption, of all the hardware reconfiguration methods, since only active hardware is present at any point in time. Furthermore, power can be more tightly controlled as unused PRRs can be *blanked* when not needed. The PR approach also allows changes after system development, since another partial bitstream can be generated without the whole system being reimplemented, as long as the interface is compatible.

The main stumbling block is that PR based systems are more difficult to design, primarily because the spatial arrangement of the FPGA must be taken into account, and the tool flow is complex. In addition, the reconfiguration time is higher, since partial bitstreams must now be loaded into the configuration memory to enable a configuration switch. PR requires not only software management but dedicated hardware controllers which manages low level FPGA configuration interfaces such as the *internal configuration access port* (ICAP) in Xilinx FPGAs.

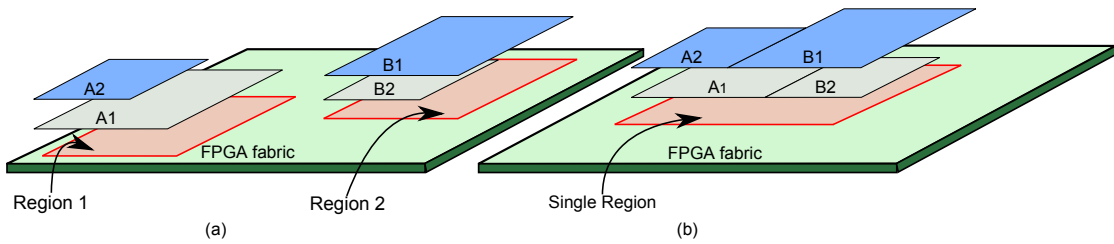


FIGURE 2.4: Two modules A and B have a larger operating mode (A1 and B1) and a smaller operating mode (A2 and B2). If the modes A1 and B1 do not coexist in the system operating modes (configurations), implementing them in a single PRR (Fig. b) can save more resources compared to implementing them in separate PRRs (Fig. a).

## 2.3 PR Design Challenges

The vendor PR implementation tool flow is significantly more complex than the standard FPGA design flow. Designers must run multiple iterations of the tool-chain to generate the required partial bitstreams. The tools also rely on several detailed inputs from the designer, requiring greater understanding of the target FPGA architecture. One task the designer must undertake is to partition the design. Partitioning involves determining the number of PRRs and assigning hardware modules to them. To understand the importance of this step, consider an example design shown in Fig. 2.4. Using a single region for each module’s multiple modes results in more area usage than combining the modules into a single region when only some combinations are required. Partitioning also has impact on the reconfiguration time, since when a single module is reconfigured, we must reconfigure the entire region to which it is allocated. Hence, determining the number of PRRs and module allocation to them is not straightforward, and has a significant impact on the area and reconfiguration time—two metrics that are of key concern in adaptive systems.

Another manual step performed by PR designers is floorplanning, where the physical locations of the PRRs are determined. Similar to partitioning, floorplanning can also significantly impact implementation efficiency, and requires detailed architecture expertise from designers. The heterogeneous architecture of more recent FPGAs make PR floorplanning more difficult than on previous architectures, and many of the techniques proposed in the literature are only suitable for FPGAs

```
1 Status = SD_TransferPartial("prbit_region1.bit", ADDR, LEN);
2 PRAddress = ADDR;
3 Status = XDcfg_TransferBitfile(XDcfg_0, PRAddress, LEN);
4 Status = SD_TransferPartial("prbit_region2.bit", ADDR, LEN);
5 PRAddress = ADDR;
6 Status = XDcfg_TransferBitfile(XDcfg_0, PRAddress, LEN);
```

(a)

```
1 Status = Set_Configuration(XDcfg_0, dummy_config);
```

(b)

FIGURE 2.5: (a) Code snippet from present PR management software where the partial bitstreams corresponding to each region is explicitly send to the configuration interface for a system reconfiguration (b) A proposed reconfiguration method where the low-level reconfiguration management is abstracted.

with repeated tile-based architecture. Inefficient floorplanning can lead to longer reconfiguration times higher resource requirements.

One area where PR designs suffer compared to spatial multiplexing is reconfiguration time. Along with design time optimisations for partitioning and floorplanning, high-speed reconfiguration controllers are required to minimise the time taken to switch configurations. Vendor-provided controllers have poor performance and hardware designers are often forced to design custom reconfiguration controllers, increasing design time and reducing productivity. A high-speed open-source reconfiguration controller could remove this burden and reduce development time, as discussed in Chapter 6.

Another challenge for PR based systems is runtime management, which is often done in software. In present approaches, the software developer must be aware of the way the PR system is implemented and must explicitly reference partial bitstreams, as shown in Fig. 2.5(a). This means the hardware designer is often also required to develop the adaptive software that controls the system. Rather, by abstracting low-level reconfiguration aspects, runtime management can be raised in abstraction so it can be reasoned about at the level of system configurations instead of PRRs and partial bitstreams, with simpler control, as in Fig. 2.5(b). This would enable system designers to develop adaptation algorithms independent of the target hardware and make them portable across multiple implementations.

## 2.4 Summary

Adaptation is becoming more important in a wide variety of application domains, but software implementations on processors do not offer the required performance when dealing with complex data and algorithms. Partial reconfiguration of FPGAs is a promising technique for implementing such systems, since it combines some of the performance of a custom hardware implementation with some flexibility to support adaptation. The present PR design flow is, however, insufficiently automated and relies several detailed inputs from the designer, requiring low-level FPGA architecture expertise. Run-time management of such systems is also typically done at a very low level that fails to abstract the PR details from the adaptation programmer. Tools that automate and provide an abstract view of adaptation can make PR more attractive for adaptive systems designers who are not hardware experts. Our hope is that our work will spur more widespread use of PR, and hence improvements in providers' design flows.



# Chapter 3

## Review of Literature

In this chapter, we review the development of dynamic and partial reconfiguration techniques over the years and the current state of the art in the area. Although the terms dynamic reconfiguration and partial reconfiguration are frequently used interchangeably in the literature, they can be different as discussed in Section 1.3. Partial reconfiguration denotes the modification of a portion of the FPGA logic while the remaining portions are not altered. This operation can be static or dynamic, meaning that the reconfiguration operation can occur while the FPGA logic is in a reset state (static) or running (dynamic). It is also not necessary that all dynamic reconfigurations are partial in nature. For example in context switching FPGAs, the whole configuration is changed during reconfiguration, but the operation is dynamic. In this chapter, we analyse different aspects of PR including device architectures, design frameworks, PR development tools, optimisation strategies, and applications.

### 3.1 Architecture

Conceptually all FPGA devices can be considered as being composed of two distinct layers: the configuration memory layer and the hardware logic layer [11]

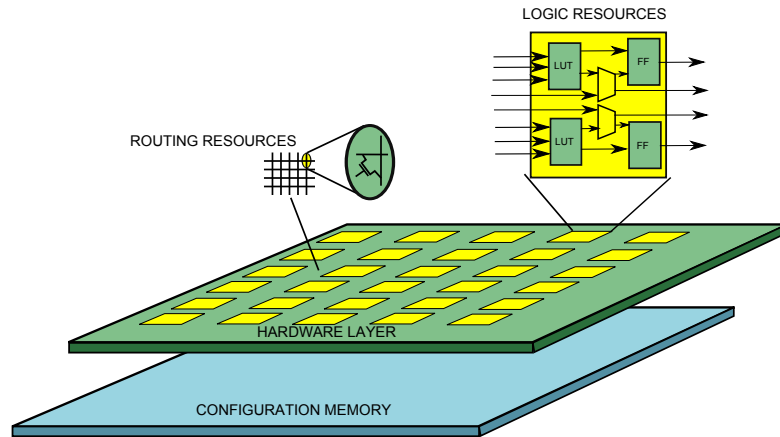


FIGURE 3.1: FPGA architecture.

as shown in Fig. 3.1. FPGAs achieve their unique re-programmability and flexibility due to this composition. The hardware logic layer contains the hardware resources of the FPGA, including lookup tables (LUTs), flip-flops, DSP blocks, memory blocks, transceivers, and others. This layer also contains the routing resources and switch boxes that allow components to be connected.

The configuration memory layer stores the FPGA configuration information, usually called a *bitstream*. This bitstream contains all the information that determines the implemented circuit, such as the values stored in the LUTs, initial set and reset status of flip-flops, initialisation values for memories, standards of the input and output pins, and the routing information for the programmable interconnect. The function implemented by the hardware logic layer is wholly determined by the values stored in the configuration memory.

Configuration memory is usually SRAM based and hence volatile. Flash-based non-volatile configuration memory is present in some devices [12]. In order to change the circuit implemented in the FPGA, a user modifies the contents of the configuration memory by loading a new bitstream. This can be performed externally using interfaces such as JTAG, or SelectMap [13], or internally using specialised interfaces such as the internal configuration access port (ICAP) [14].

Dynamic reconfiguration was proposed to increase effective logic capacity and reduce reconfiguration time. Early on, the limited resource availability in FPGAs

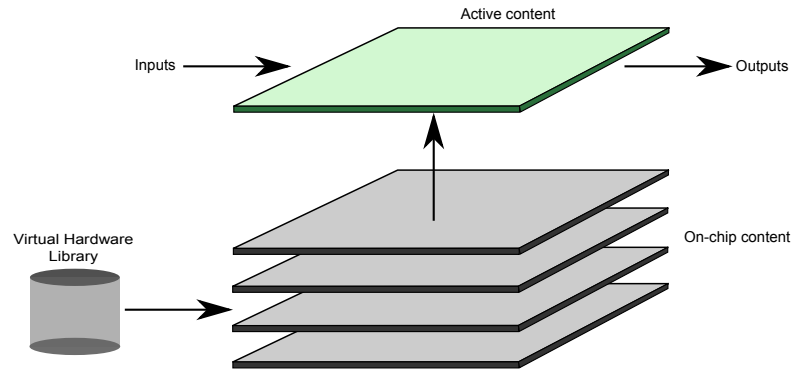


FIGURE 3.2: Multi-Context FPGAs increased effective logic capacity by using more than one configuration memory plane.

was a major constraint when implementing large applications. Fetching configuration bitstreams from external memory to reconfigure over the (external) configuration ports also resulted in slow reconfiguration. Early dynamically reconfigurable architectures overcame these issues by increasing the number of configuration planes, allowing much faster reconfiguration, and effectively increasing logic capacity, as shown in Fig. 3.2. These devices were generally called context-switching FPGAs or Multi-Context FPGAs (MC-FPGAs) [15].

### 3.1.1 Academic and Non-Commercial Architectures

The development of dynamically reconfigurable architectures dates back to 1995, when R. T. Ong from Xilinx filed a patent for an FPGA which can store multiple configurations simultaneously [16]. In the initial design, there were two configuration memory arrays available in the FPGA which could store different configuration data. During the first half of the user provided clock, the switches present at the output of the configuration memory cells select the configuration data stored in the first configuration memory array, and the logic and routing would be configured accordingly. The results of the FPGA operation would then be stored in data latches. During the second half, the switches would output the configuration data present in the second array and logic and routing would be configured accordingly. The data present in the data latches at the end of the first cycle could be used

during this second cycle. At the end of second cycle, the FPGA would output the results of its function.

This idea was further extended by Trimberger in 1997, who proposed a time multiplexed FPGA based on the Xilinx XC4000E product family [17]. Although combinational logic could be multiplexed among several contexts, state storage could not. This work used micro registers to store the output of LUTs and flip-flops, with eight configurations supported. Reconfiguration could be performed in a single clock cycle, taking about 5ns. Different operating modes were supported; logic engine mode used time multiplexing to emulate a large device, time sharing mode emulated a number of independent FPGAs, and static mode stored the same configuration data in different configuration planes, as well as a mix of these modes. An inactive configuration plane could be modified at runtime by loading configuration data from off-chip storage. A special “RAM” mode allowed user designs to read and write to the configuration memory directly, allowing for self-modifying hardware.

The main drawback of MC-FPGA architectures is their high power consumption. Due to a large number of configuration bits and high switching activity, the power consumption of these devices was in the tens of Watts for an average design running at 40MHz, making them unsuitable for many applications. Chong et al. proposed the reconfigurable context memory (RCM) to tackle the area and power overheads of MC-FPGAs [15]. RCM exploits the redundancy and regularity in configuration bits between different contexts. Their approach leverages a previous study which showed that during context switching, less than 3% of the configuration data was modified [18]. Additionally ferroelectric-based functional pass-gates are used in RCM to achieve compactness and lower power. Their design claimed to reduce the FPGA area to 37% of other MC-FPGAs and consume much lesser power.

One of the major restrictions for adopting MC-FPGAs was the lack of design automation (EDA) tools, which could efficiently map applications to these platforms. Designs had to be manually partitioned into multiple segments and mapped to different contexts.

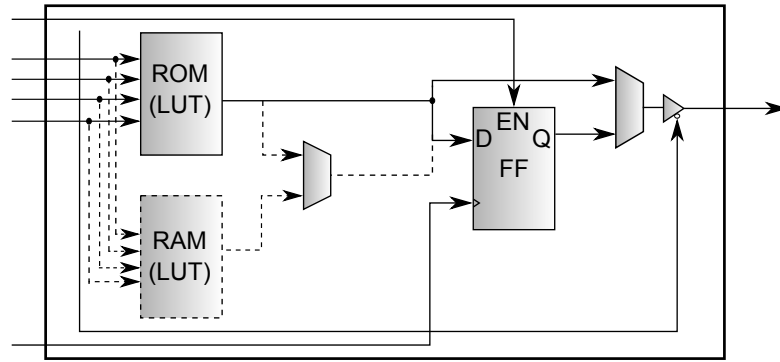


FIGURE 3.3: CSLC architecture.

Another early architecture proposed to support dynamic reconfiguration was the *Dynamically Programmable Gate Array* (DPGA) [19]. DPGAs used traditional 4 input LUTs as the basic logic element, but each LUT and interconnect cell had an associated 4-context memory implemented using DRAM. DPGAs were mainly motivated by slow off-chip configuration loading which would take several milliseconds to complete. DPGAs supported different usage models with multiple independent functions in different configurations [20]. They supported temporal pipelining, where multiple contexts are used to implement a single function by time multiplexing. The prototypes developed had limited logic capacity, operating frequency and a lack of automation tools. Using DRAM for configuration memory also enforced a minimum operating frequency of 5MHz due to DRAM refresh requirements.

The first practical context switching FPGA was developed by researchers at Sanders, a Lockheed Martin company, on a  $0.35\mu\text{m}$  process [21]. The device was called a Context Switching Reconfigurable Computer (CSRC), and could store up to four configurations concurrently. The device was composed of 16-bit wide data pipes with each pipe composed of context switching logic arrays (CSLAs). Each CSLA could process two 16-bit words and each CSLA was connected to two adjacent CSLAs which made it possible to transfer data in both directions. The architecture used three levels of routing for data to flow from any CSLA to any other CSLA. Each CSLA was composed of 16 context switching logic cells (CSLCs) as shown in Fig. 3.3. Each CSLC contained a four input lookup table, carry logic, a context switching flip-flop and a tri-state buffer. A separate context switching

RAM was used for storage. Each configurable resource, along with the routing, was controlled by four configuration bits, of which one bit was active at any point in time, thus implementing four configurable planes. The limited routing architecture of this device made implementation of some applications impossible on this architecture.

GARP was another dynamically reconfigurable architecture, that combined reconfigurable hardware with a standard MIPS processor [22]. The reconfigurable fabric was a slave computational unit located on the same die as the processor. Loading and execution on the reconfigurable array was controlled by a programme running on the processor. The standard memory hierarchy of the processor was also accessible to the reconfigurable fabric. The reconfigurable array was divided into blocks and one block in each row was called a control block, with others called logic blocks. The processor enabled an array by setting a clock counter. When the clock counter reached zero, array execution would stop and the results would be copied by the processor. GARP allowed partial array configuration down to individual rows. A physical implementation of GARP was never made available for practical use.

### 3.1.2 Commercial Devices Supporting PR

Among the major vendors, Xilinx's FPGAs are the most popular devices supporting PR, as they have done for years. The first Xilinx FPGA to support partial reconfiguration was the XC6200 series [23]. This device supported true dynamic partial reconfiguration, allowing only a portion of the FPGA to be reconfigured while the remaining portions continue functioning. This device contained only a single configurable memory plane. Using a special interface, an external processor could access any specific logic cell in the FPGA, and modify its configuration, with the configuration SRAM mapped to the processor address space. Due to a regular structure with every cell and its associated routing being similar, reconfiguration was simpler with these devices than for modern ones. Recent FPGAs have highly heterogeneous architectures and complex routing structures.

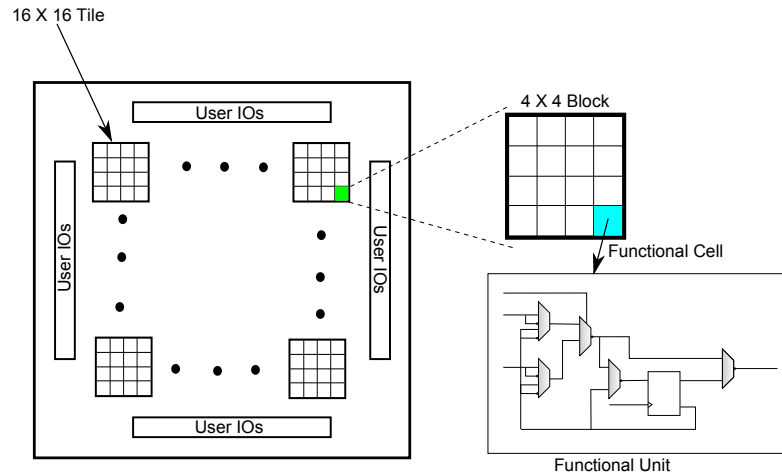


FIGURE 3.4: Xilinx XC6200 architecture.

PR became more popular with the introduction of the Virtex-II [24] and Virtex-II Pro [25] series of FPGAs from Xilinx. These FPGAs included built-in hard macros such as Block RAMs and  $18 \times 18$  embedded multipliers, for efficient implementation of more complex circuits. It was possible to load new data to the configuration memory while the remaining portions of the design continued to execute. A partial bitstream could be loaded externally using the SelectMap or JTAG interfaces. In Virtex devices, Xilinx introduced a new configuration interface called the Internal Configuration Access Port (ICAP). This made it possible to load bitstreams from within the FPGA fabric. A soft-processor or a custom state machine could fetch configuration information from external memory and write to the configuration memory through the ICAP.

In these devices, the configuration memory is organised in frames [26], with a frame being the smallest unit of configuration, 1-bit wide and extending the whole height of the device – hence the size of a frame is device dependent. A configuration frame does not map to any single hardware resource, but it configures a narrow vertical slice of many physical resources. Configuration frames are grouped into six different configuration columns depending upon their hardware-mapping called IOB, IOI, CLB, GCLK, BlockRAM, and BlockRAM Interconnect. IOB columns are used for configuring the voltage standard for the I/Os. The CLB columns program the configurable logic blocks, routing, and most interconnect. BlockRAM

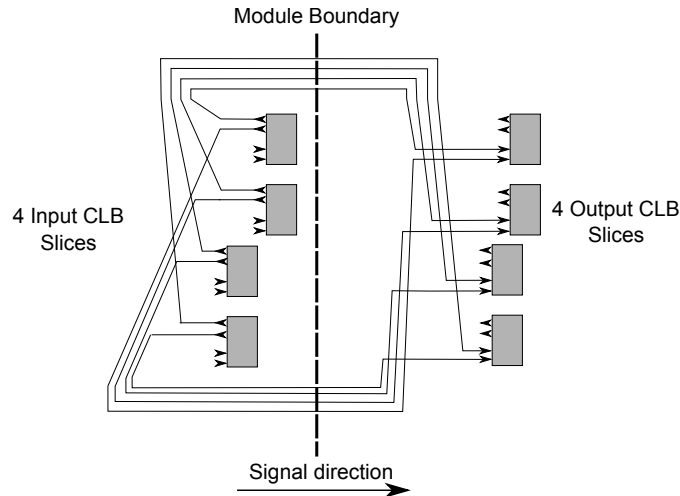


FIGURE 3.5: A bus macro showing the connectivity between the static region and a reconfigurable region. The CLB slices to the left of the module boundary are implemented in the reconfigurable region and those to the right of are implemented in the static region.

configuration columns are used for programming the BlockRAM user memory space.

For these devices, there are several restrictions on the size and shape of partial reconfiguration regions (PRRs). They should extend the full height of the device and, horizontally, they should align with a four slice boundary. These restrictions can make a design inefficient in terms of hardware utilisation, but floorplanning the regions is relatively simple. Tri-state buffers (TBUFs) have to be placed between reconfigurable regions and the static region in order to manage the connectivity between them.

The Virtex-4 family of FPGAs [27] incorporated some architectural improvements over the Virtex-II. The unreliable TBUFs were replaced by bus macros [28], which are composed of LUTs, as shown in Fig. 3.5. Since these could be placed anywhere, as opposed to the fixed locations of TBUFs in the Virtex-II, this allowed for a more flexible arrangement of connectivity. Each bus macro is composed of 8 CLB slices, with 4 slices in the static region and 4 in the reconfigurable region. Separate types of macros are available for connecting modules from left to right and right to left.

The size of frames was also reduced in the Virtex-4 [27]. Unlike the Virtex-II, where frame size was dependent on device size, it is constant for all Virtex-4



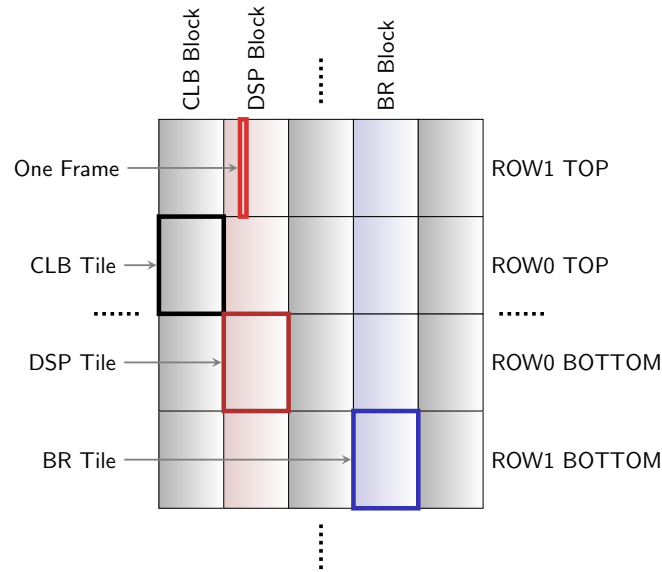


FIGURE 3.6: Xilinx Virtex FPGA architecture.

devices. Each frame is 1 bit wide and 16 CLBs high and contains forty-one 32-bit words (1312 bits). The reconfigurable region also no longer needs to span the full height of the device, but rather must be a height that is a multiple of 16 CLBs. The ICAP interface width was also increased from 8 to 32 bits, considerably improving reconfiguration speed.

In the Virtex-5 architecture, the entire device is divided into several rows and columns as shown in Fig. 3.6. A row essentially represents a clock region and device size determines how many there are. The columns, called *blocks*, span the entire device height. Each block contains a single type of FPGA primitive such as CLBs, DSP slices or Block RAMs arranged in a columnar fashion. The FPGA is composed of several tiles where a block and a row intersect: CLB tiles, DSP tiles, and BRAM tiles. One CLB tile contains 20 CLBs, one DSP tile contains 8 DSP slices, and one BRAM tile contains 4 Block RAMs. In Virtex-5 FPGAs, a frame configures sections that are the height of a device row [29]. The number of frames used to configure each type of tile is shown in Table 3.1.

The number of bits in a frame is a constant, equal to 41 32-bit words or 1312 bits for Virtex-5 FPGAs. From Table 3.1, it can be calculated that a CLB tile requires 47,232 bits for configuration, a DSP tile requires 36,736 bits, and a BRAM tile requires 39,360 bits. Virtex-6 FPGAs follow the basic architecture of Vitex-5

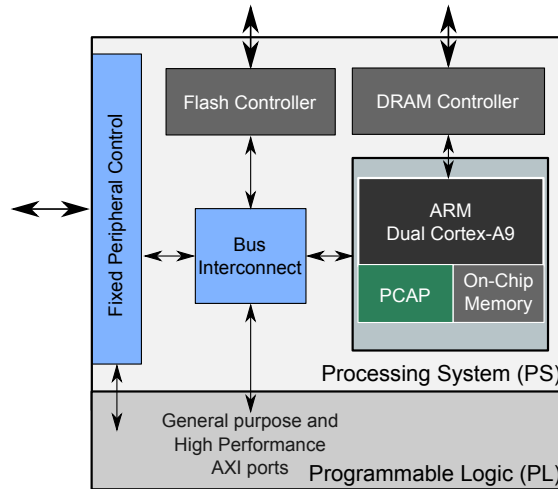


FIGURE 3.7: Zynq SoC Architecture.

FPGAs with a CLB tile containing 40 CLBs, a DSP tile containing 8 DSP slices, and a BRAM tile containing 8 18Kbit Block RAMs. For the Virtex-6, each frame contains 81 32-bit words. Xilinx 7-series FPGAs (Artix, Kintex and Virtex-7) also have a similar tile architecture with one CLB tile containing 50 CLBs, and DSP and BRAM tiles containing 10 DSP slices and 10 18Kbits Block RAMs, respectively.

Xilinx supports PR on new hybrid reconfigurable devices, such as the Xilinx Zynq-7000 SoC too. The Zynq architecture [30] couples a powerful ARM Cortex A9 processor, standard communication infrastructure, and an integrated reconfigurable fabric, as shown in Fig. 3.7. The ARM processor communicates with on-chip memory, memory controllers, and peripheral blocks through AXI interconnect. Together, these hardened blocks constitute the Processor System (PS).

Tile Type	FPGA family		
	Virtex-5	Virtex-6	7-series
CLB	36	36	36
DSP	28	28	28
BRAM	30	28	28

TABLE 3.1: Tile types and number of frames in Virtex FPGAs.

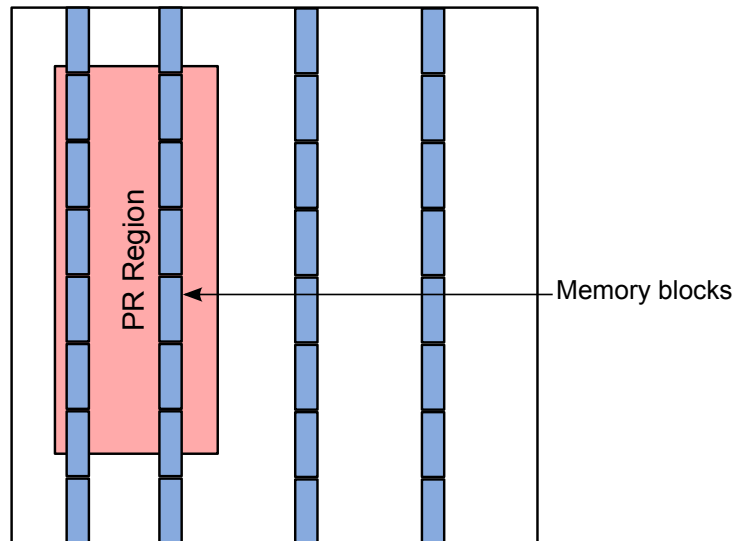


FIGURE 3.8: A reconfigurable region in a Stratix-V FPGA with PR region not extending the full FPGA height.

The on-chip PS is attached to the Programmable Logic (PL) through multiple AXI ports, offering high bandwidth between the two key components of the architecture. The PS processor configuration access port (PCAP) supports full and partial (re)configuration of the PL. The reconfigurable fabric of the Zynq uses the 7-series FPGA architecture which can be partially reconfigured through an ICAP interface also.

Altera recently began supporting PR on their Stratix-V series FPGAs. Partial reconfiguration is supported for logic elements, DSP slices, memory blocks, and routing resources. Other primitives such as PLLs and transceivers support only dynamic reconfiguration (not using reconfiguration frames) through a special reconfiguration port tied to these primitives. The Stratix-V architecture is similar to that of the Xilinx Virtex FPGAs, with reconfigurable frames being the unit of reconfiguration [31]. Similar to the Xilinx Virtex-II, the FPGA is divided into multiple columns but only a single row. This results in additional restrictions when a PR region does not span the full device height and contains memory blocks as shown in Fig. 3.8. During a partial reconfiguration operation, the contents of memory blocks outside the PR region but in the same columns are also reconfigured. To avoid this issue, PR regions should span the entire device height or memory blocks above and below the PR regions should not be used by static logic

or other PR regions.

Previously other FPGA vendors such as National Semiconductors [32], Lattice Semiconductors [33] and Atmel [34] supported PR for their FPGA devices. However, they no longer support PR, partly due to the limited adoption of this technique for practical applications and partly due to the better architecture and EDA tools available from their competitors.

Tabula [35] also produces programmable logic devices that use a technique known as Spacetime technology. In a Spacetime device, logic, memory, and interconnect resources are dynamically reconfigured up to eight times in each user cycle, similar to context-switching FPGAs. The Spacetime compiler automatically maps, places, and routes a user design into the device using standard VHDL/Verilog inputs and flows. To enable rapid reconfiguration, configuration data is stored locally beside the resources it controls and this local configuration memory appears like a stack. As each configuration is read from the top of the stack, the next configuration rises to the top, and the current configuration goes to the bottom of the stack, with the process repeating continuously. A major limiting factor of previous context-switching FPGAs was their power consumption. Tabula claims to have overcome this through new manufacturing techniques, however, exact power consumption measurements for these devices are not yet available in the literature.

## 3.2 Design Methodologies

In this section we review the design methodologies for PR systems and the supporting tools developed to simplify system implementation. We will review design methods from both industry and the research community.

### 3.2.1 Vendor PR Design Flows

The first major FPGA vendor to support PR was Xilinx, with the introduction of the Virtex-II in 2003 [24]. Altera announced support for PR from their Stratix-V

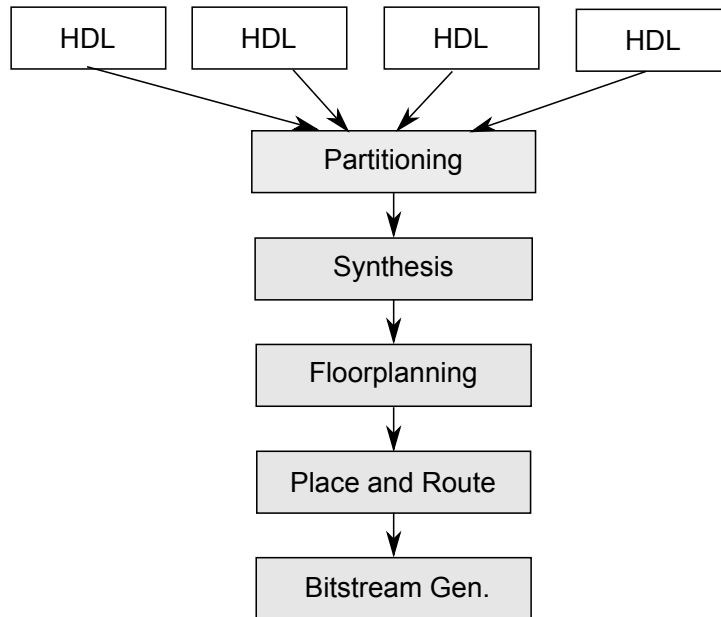


FIGURE 3.9: Xilinx PR toolflow.

series FPGAs onwards [36]. The tool flow offered by both vendors is similar with slight differences arising due to the different architectures.

### 3.2.1.1 Xilinx PR Flow

Xilinx supports PR through a hierarchical module-based design tool called PlanAhead [37]. Each PR design is composed of number of *modules*, or functional units, for example a filter. All modules are described using a hardware description language (HDL) or can be pre-synthesised netlists.

The overall PR design is composed of two parts, the *static region* and one or more *reconfigurable regions*. A static region is the portion of the design, which does not change its functionality during system operation. This usually contains a processor running the reconfiguration management software, internal configuration interface and memory interface modules. Partially reconfigurable regions (PRRs) implement the reconfigurable modules, and can be reconfigured at runtime. A single reconfigurable region can implement a number of modules in a time multiplexed fashion.

The first design step is to decide on the number of reconfigurable regions and corresponding module allocation to them. Modules can be hand coded or use standard IP blocks from a library such as Xilinx CoreGen. Each individual module is synthesised using the XST tool to generate the netlists. Floorplanning must then be performed manually. Regions of the FPGA must be allocated, containing sufficient resources for implementation of any module assigned to them. These regions must be rectangular in shape and should be aligned to tile boundaries. Unaligned regions can also be implemented, but this requires additional circuitry, since if partial tiles are to be reconfigured, a read-modify-write back mechanism must be implemented to maintain state in other parts of the tiles. This also results in higher reconfiguration time. Hence, generally tiles are not shared between reconfigurable regions.

The designer then works out the combinations of the modules required for data processing, where each valid combination is called a *configuration*. Finally the tools generate a full reconfiguration bitstream as well as partial bitstreams for each reconfigurable region, for each configuration. To help meet timing, the configuration with highest resource consumption is implemented first and the routing is preserved in the subsequent implementations. The implementation tools automatically insert bus macros to preserve the routing between the static logic and PR regions.

Xilinx previously offered a difference-based partial reconfiguration flow [38]. This allowed small changes, by editing a design using the FPGA Editor software. Implementation tools would then generate a partial bitstream containing only the difference between the new and old designs. This flow is no longer supported for PR designs.

To manage FPGA reconfiguration at run-time using the generated partial bitstreams, the designer typically includes a processor to control the process, and writes software code specifying the different conditions under which reconfiguration should happen and the specific bitstream for each PRR which should be

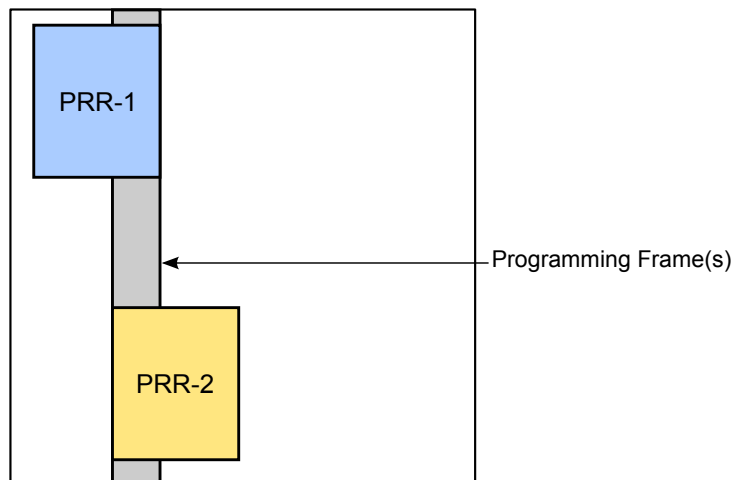


FIGURE 3.10: Two PR regions sharing the same programming frames.

reconfigured. This means the software developer must be aware of how the design is partitioned and which bitstreams correspond to each region for different configurations.

### 3.2.1.2 Altera PR Flow

The Altera PR flow is supported through their Quartus-II software [39]. Altera refer to *programming frames* and call configurations *revisions*. Module variations implemented in the same PR region are called *personas*.

Two different PR implementation schemes are possible, depending on the arrangement of reconfigurable regions. The *SCRUB* mode is used when programming frames (extending the height of the device) are not shared between PR regions. In this mode, the unchanged configuration bits of the static region are *scrubbed* back to their present values. All configuration bits corresponding to PRRs are overwritten with new data irrespective of what was previously contained in the region(s).

The two-pass AND/OR reconfiguration scheme is used when configuration frames are shared among multiple PRRs as shown in Fig. 3.10. In the first pass, all the bits in the programming frame for a column passing through a PRR are ANDed with 0's while those outside the region are ANDed with 1's. After the first pass,

all configuration bits corresponding to the PR region are reset. In the second pass, for each frame, new data is ORed with the current value of 0 in the PR region, and in the static region, bits are ORed with 0's. The main drawback is that the bitstream size of a PR region using the AND/OR scheme can be twice the size of one using SCRUB mode. Furthermore to individually configure PRRs when regions share programming frames, multiple variations of bitstreams equal to the Cartesian product of personas are required. Since in Xilinx FPGAs, configuration frames do not extend the full device height, this limitation exists to a more limited extent as PR boundaries are drawn along device rows. Since the Altera PR flow is still new, we may see similar improvements to those seen in the Xilinx flow in the coming years.

## 3.2.2 Academic PR Development Tools

In this section we discuss some of the academic tools developed to support PR. Most of these tools target Xilinx FPGAs and many use vendor tools for placement and routing, and bitstream generation.

### 3.2.2.1 OpenPR Tool Flow

OpenPR is functionally close to the Xilinx PR design flow [40]. It relies upon the logic and wiring database and the bitstream manipulating capabilities provided by another open-source FPGA development tool called *Torc* [41]. The overall flow is shown in Fig. 3.11. A user initially creates an XML project file, specifying the design name, static design filesystem path, path to the constraints file (UCF), target device name, etc. Xilinx's PlanAhead tool is then used to manually floorplan the reconfigurable regions. The OpenPR tool then generates the static design by generating placement constraints, generating blocker routes to prevent the static region from using routing resources in the PR regions, and merging the blockers with the static design. Later, the clock tree routing information from the static design is inserted into the reconfigurable modules. This is done by manipulating



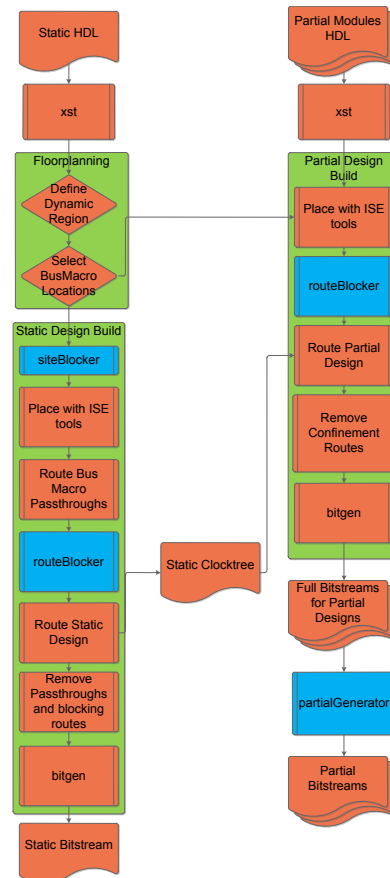


FIGURE 3.11: OpenPR tool flow [40].

some of the intermediate files generated by Xilinx implementation tools. Finally, the partial bitstreams are generated with the help of Xilinx bitstream generation tools.

The major attraction of OpenPR is its availability as an open source development platform. It also enables independent implementation of the static and reconfigurable modules. In other words, any modification in the static region does not necessitates reimplementing of all PR modules.

### 3.2.2.2 GoAhead Tool flow

GoAhead attempts to overcome some of the limitations of the Xilinx incremental PR design flow. In the incremental flow, the static part of the system is implemented first, and partial modules are implemented incrementally over the static part. Hence, routing between the static logic and PR regions is fixed using bus

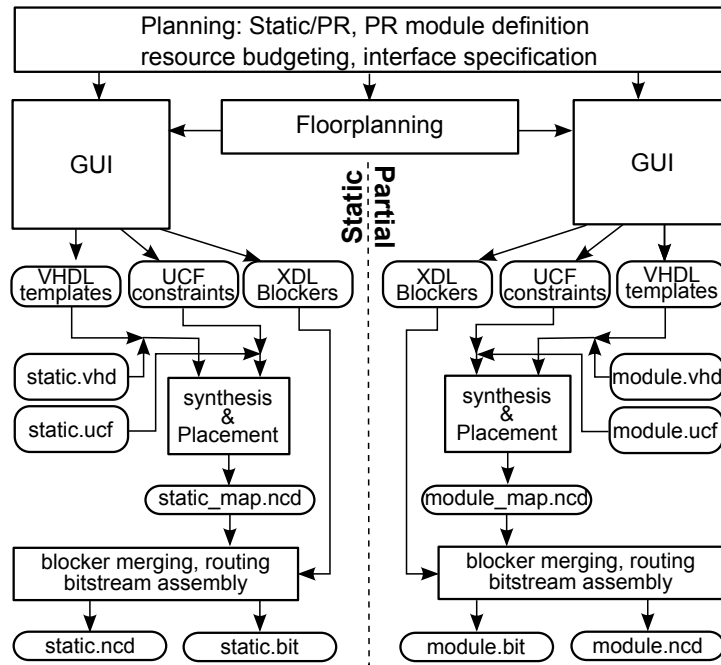


FIGURE 3.12: GoAhead PR tool flow [42].

macros, as discussed in Section 3.2.1.1. This means any modification in the static logic requires complete re-implementation of all the PR modules. Since static routes are allowed to pass through reconfigurable regions in the Xilinx flow, module relocation between PR regions is also not feasible. GoAhead tries to overcome these issues.

The overall GoAhead tool flow is shown in Fig. 3.12. The static and reconfigurable modules are implemented through independent design flows. The designer makes an initial plan defining the static parts of the design and modules which will be reconfigured. Then, via a GUI, the design is floorplanned and bounding boxes are drawn around PR regions. GoAhead then implements the static portion of the design, while masking the PR regions with *blocker macros* that occupy all wires inside the PR regions, thereby preventing static nets from crossing PR regions. The reconfigurable modules are implemented in a similar fashion, where the blocker macros prevent wires crossing from PR regions into the static region. Finally vendor tools are used to generate partial and full bitstreams from the routed design.

The major difference between GoAhead and OpenPR is that GoAhead uses *blocker*

*macros* to control clock signals in the PR regions and uses vendor tools to generate the final clock tree. In OpenPR, the tool adds the clock tree routing without using vendor tools. OpenPR and GoAhead can help overcome some of the limitations of the vendor flows, but do not address the high-level/abstract design issues, requiring expert FPGAs designers. Both these tools manipulate Xilinx's XDL files to manipulate the placement of blocker macros. Dependence on XDL is a problem as its discontinuation has been announced for future FPGA families and software releases.

### 3.2.2.3 Other PR Implementation Tools

There have been other more specific tools and methodologies to help in designing and mapping PR systems. There have also been models proposed for optimising PR systems [43, 44]. Many of these have not been publicly released, or rely on hypothetical architectures, and hence they have not gained widespread adoption.

The *Caronte methodology* [45] takes a fixed task-graph as input and determines how to allocate tasks to the regions specified by the designer in order to complete execution of the application with dynamic loading of tasks. The designer is assumed to have determined how many regions to use and to have floorplanned them. Runtime management is done using an embedded processor.

A set of CAD tools for PR was developed by Robertson and Irvine [46]. These tools include options for design specification, simulation (functional and timing), synthesis, placement and routing, partial configuration generation and control of partially reconfigurable designs. The tools, for simulation, placement and bit-stream generation, target older generation FPGAs and none are publicly available to the research community.

The GePaRD flow [47] tries to enhance the Xilinx PR flow with a high-level synthesis framework. The flow uses a high-level specification of the PR system as input and generates both a system model for simulation and a physically-aware architecture description as input for implementation on the target device

using the Xilinx PR design flow. The design flow includes template abstraction, high-level synthesis, and temporal modularisation. The authors do not specify how the output of the proposed framework can be integrated with the vendor toolflow to generate real systems. It targets a *virtual architecture* that adapts to the reconfiguration mechanisms of a dedicated target device, but this mapping is not explained.

An object-oriented framework for PR design and implementation was presented by Abel [48]. It consists of a software-to-hardware compiler, an NoC with reliable data buffering, a merger, and an adaptive scheduler and a Java emulator. Although this framework provides some abstraction of runtime management for PR systems, the hardware implementation is entirely based on the Xilinx toolflow, requiring manual partitioning and floorplanning.

The design framework in [49] defines an adaptive system with two planes. The data plane implements the data processing, such as the signal processing in a radio, and can be composed using a high level tool that stitches together blocks from an IP library. The control plane implements the management and control functionalities in software. The control plane can reconfigure the data plane as needed, from software code written by an adaptive system designer. This framework only supports a single reconfigurable region and suffers from moderate data throughput due to the low-bandwidth link between software and hardware.

Another layer-based architecture is presented by Tan and DeMara in [50]. The hierarchical framework considers three aspects: autonomous operation, task-level modularity, and runtime scenario support. The different layers are the logic layer, the translation layer, and the reconfiguration layer. The logic layer supports general user-level applications, carrying out hardware-independent logic control on the tasks running on the FPGA. The translation layer translates logic descriptions for the tasks into specific physical details as reconfiguration data (bitstreams). The reconfiguration layer includes the hardware platform and the low-level communication APIs. The framework targets generic FPGA implementations without detailing practical implementation on commercially available FPGAs.

Tool	High-level Spec.	Partitioning	Floorplanning	Low-level implementation	Run-time mgmt.
Xilinx [37]	○	○	◐	●	○
Altera [39]	○	○	◐	●	○
OpenPR [40]	○	○	○	◐	○
GoAhead [42]	○	○	◐	◐	○
Caronte [45]	◐	◐	○	○	◐
GePaRD [47]	●	○	○	○	○
Abel [48]	●	○	○	○	○
Robertson [46]	○	○	○	◐	○

TABLE 3.2: Comparison of Features Supported by Different PR Tools. ○ : No automation, ◐ : Partial automation or support, ● : Full automation or support.

Table 3.2 summarises the features supported by the different PR development and implementation tools. In [48] and [47] PR systems are described in high-level programming languages such as C. Here, tasks executed by the system are modelled as C functions and the tools extract the task graph from the high-level language description. Caronte [45] claims to support high-level system modelling and automatic partitioning into software and hardware tasks, but the exact methods used are not discussed in any detail.

None of the available methods takes care of automatic partitioning of modules into multiple PRRs, with reference to system configurations. Either the designer has to manually determine the number of PRRs in the system and make the corresponding module assignments or the tools require information regarding the number of PRRs in the FPGA. For both Xilinx and Altera PR tools, manual floorplanning is required although a GUI based FPGA layout is available. None of the other methods automates floorplanning, but GoAhead offers some support through its GUI interface linked with Xilinx PlanAhead. GoAhead and OpenPR perform partial low-level implementation by manipulating the intermediate files used by

Xilinx implementation tools. Other tools depend upon vendor-provided tools for low-level implementation, and this must be done by the designer, manually. Only [45] supports partial run-time management using an embedded Microblaze processor to control PR regions that house independent accelerator tasks.

It is clear that none of the available methods for PR-based system design offers an end-to-end design flow, making the use of PR difficult for non-experts. This serves as our motivation in this thesis; we aim to address all aspects of the design flow, offering a framework that is usable by non-FPGA experts who wish to use PR to facilitate dynamic adaptation in hardware systems.

### 3.3 Low-Level PR Control Techniques

The limitations imposed by the vendor tool flow can significantly impact design efficiency. For example, each generated bitstream is only suitable for a single placement location on the FPGA: if a design requires a module to be placed in different places at different times, multiple bitstreams are required for the same module. Modules must also be implemented in a pre-defined region: if some modules use less area, that is wasted while they are loaded. As a result of these issues, much research has been undertaken to try and improve PR performance or reduce some of the overheads associated with PR. However, many of these techniques have become obsolete due to evolving FPGA architectures and a reduced amount of detailed architecture information released by vendors.

#### 3.3.1 Runtime Placement

While the vendor flows impose fixed regions within which modules are loaded, others have explored how modules might be dynamically placed at runtime. Bazarghan et al. considered this as an on-line bin-packing problem [51]. Later, Lu et al. introduced an algorithm for online task placement [52]. Both these approaches assume FPGAs to have a homogeneous architecture, allowing modules to be freely placed

in any location. Practically, FPGAs have heterogeneous architectures, especially more recent devices, and connectivity between the modules must somehow be preserved while relocating them. Due to the complex routing architecture of FPGAs, preserving routing is a very difficult problem to solve, which these approaches have not addressed.

Another method for online placement and removal of modules on Virtex-II FPGAs was presented in [53]. The approach performs the necessary routing to disconnect and connect modules to others already present in the fabric. Before assigning a new module to a region, the interface of the previous module is unrouted to prevent any damage. However, this work only considered designs using CLBs exclusively.

Sandors et al. proposed a method to improve the placeability of modules with the help of defragmentation [54]. Repeated placement and removal of modules without placement constraints might cause free space to become fragmented, preventing the allocation of new modules. A suitable defragmentation algorithm maximises the continuous free-space available for module placement. Defragmentation was also used in [55] to ease the relocation of modules. In [56], a method is proposed for increasing the placeability of reconfigurable modules. The authors consider regions consisting of reconfigurable tiles, supporting heterogeneous resources such as BRAMs and DSP blocks. The algorithm defines the set of feasible positions for PR modules and optimises the regions to minimise the degree of overlap with other regions.

Another method for improving placeability is described in [57], targeting Virtex-4 FPGAs. The technique utilises a compatible subset of resources in non-identical regions, making it possible to place modules in non-identical regions.

Several tools have been developed for online module placement targeting different FPGAs. PARBIT (PARTial BITfile Transformer) was a widely used tool targeting Virtex-E FPGAs [58]. Modules could be relocated by manipulating the contents of a partial bitstreams. To generate a new placement, PARBIT reads the configuration frames from the original bitstream and copies to the new partial bitstream

only the configuration bits related to the new area. It then generates new values for the configuration address registers. REPLICA (RElocation Per onLine Configuration Alteration) [59] was another tool targeting Virtex-E FPGAs. It is implemented on the FPGA itself and performs address manipulation for relocation at run-time. Replica2Pro [60] was an advanced version supporting Virtex-II and Virtex-II Pro FPGAs. It also supported relocation of BRAMs and multiplier blocks.

The major disadvantage of online place-and-route tools is their lack of portability. Due to architectural variations, the tools must be modified for each device, even for different FPGAs in the same device family. The low-level details of configuration frame contents available from Xilinx has also considerably decreased since the Virtex-5 FPGAs, which would require significant reverse engineering. Even for FPGAs before the Virtex-5, researchers used trial and error to find the detailed mapping of individual configuration bits. Hence, most of these tools support very few FPGAs belonging to the same family. Support tools such as JBits [61] are no longer endorsed by Xilinx.

We feel that it is more productive to use the vendor-provided tools for lower-level architecture dependent operations such as placement. The real design challenge is at the higher levels, in how one describes the system and abstracts away the physical design. By focusing at the higher levels, and integrating with supported tools, the results of our work are more likely to be compatible with future devices.

### 3.3.2 Overhead Reduction

Bitstream compression is a widely used technique for reducing reconfiguration time. In [62], the authors exploit redundancies both within a configuration bitstream as well as bitstreams of different configurations. Their analysis shows that frames configuring CLBs have a high degree of mutual similarity. Huffman encoding is also used to compress the bitstreams. [63] and [64] present an algorithm to compress bitstreams for Xilinx XC6200 FPGAs, reducing configuration time by



a factor of 4. The algorithm generates a new configuration file from the original, with fewer configuration writes by using the wildcard registers present in FPGAs. [65] and [66] present algorithms for bitstream compression for Virtex FPGAs using different compression techniques such as Huffman coding, Arithmetic coding, and LZ coding, among others.

Bitstream compression is useful in reducing configuration time when bitstream transfer time from external memory to the FPGA is considerably higher than the time taken to send the bitstream to the configuration memory. Otherwise, since the compressed bitstream must be decompressed before final reconfiguration, the effective reconfiguration time may increase. Presently, FPGAs use high-speed external memory devices such as DRAM for storing bitstreams, and the communication throughput supported is much higher than the maximum reconfiguration throughput (400MB/s). Hence, bitstream compression has limited practical application in reducing reconfiguration time. A better solution for this problem is to increase the speed at which data is written to the configuration memory. It is worth noting that FPGA vendors support custom bitstream compression techniques, which does not require decompression before reconfiguration. For example, Xilinx tools use a special register in the ICAP called multiple frame write register (MFWR) to configure repeating frames in the bitstream to different configuration memory locations. Thus frames which are repeating are removed from the bitstream with a special instruction to use MFWR to configure the corresponding configuration memory locations.

Configuration caching is another method suggested for reducing reconfiguration time. Using the technique described in [67], tries to minimise reconfiguration time in the case of a task sequence that must be executed in a fixed number of reconfigurable regions. Simulated annealing is used to determine the allocation that minimises reconfiguration time, leading to reductions by a factor of 5. For PR platforms executing task level configurations, optimal scheduling algorithms are also developed for minimising reconfiguration time [68, 69]. Such techniques only apply in the case of using PR to switch tasks in a fixed-sequence implementation. For dynamically adaptive systems, we do not know the transitions or specific order

up front, so such techniques cannot be applied. Optimisations at the allocation level must be made taking into account information on valid transitions and, if available, the frequency of those transitions.

## 3.4 Applications of Partial Reconfiguration

A number of applications have been developed which exploit the unique characteristics of PR. Some applications fit the concept of partial reconfiguration very well, while others benefit from improved efficiency through the use of PR.

### 3.4.1 Communication Systems

A popular application of PR is in software defined radio (SDR) [3], where combining flexibility with hardware performance makes PR attractive. Frameworks for building SDRs on PR-enabled FPGAs have already been proposed [2, 70, 71]. Cognitive radios are more advanced types of SDRs that modify their own functionality at runtime in order to operate more efficiently in unknown environments. Modifications of the modulation scheme, encoding format, filters, and more at runtime necessitate low power hardware implementations that are also flexible. In experimental work, radio designers will often use PCs to implement the radios, using software running on GPPs, but for large deployments and experiments, a smaller footprint can only be achieved with hardware implementation. In [70], the authors suggest decomposing a cognitive radio into two parts: The Processor Subsystem (PS) integrates the hardware modules required to run a standard Linux operating system, while the Customisable Processing Subsystem (CPS), implements components with high computational requirements. Flexible implementations of specific radio blocks have also been demonstrated [71].

### 3.4.2 Multimedia

PR has also been used in audio and video processing applications. Processing cores such as MP3 decoder [72], JPEG encoder [73] etc. are already implemented using PR. For both implementations, the major motivation for using PR is to minimize the total resource requirement as the logic availability in old generation FPGAs were quite limited. It was demonstrated that operations such as JPEG encoding can be temporally partitioned into smaller tasks, which can be sequentially configured in the same PR region. In [74], a PR based scalable H.264/AVC deblocking filter architecture is described. The filter adapts to different users' requirements intelligently. A real time video processing system using PR is described in [75]. Different types of image processing filters such as mean and median filters are implemented in the same reconfigurable region so that the resource requirement and power consumption are reduced.

### 3.4.3 Aerospace Applications

A hurdle in the use FPGAs in space applications is the effects of Single Event Upset (SEU) [76]. An SEU is a change of state caused by ions or electro-magnetic radiation striking a sensitive node in a micro-electronic device such as semiconductor memory. SRAM based FPGAs such as Xilinx and Altera FPGAs are highly vulnerable to SEUs, which can lead to corruption in the configuration memory and serious system damage. PR has been proposed as a method for mitigating SEU effects on SRAM based FPGAs [77, 78, 79, 80], since it provides an auxiliary path to the configuration memory. In [77], authors partition the FPGA into a number of regions in order to isolate SEU errors, then apply duplication with comparison to ensure a correct computation. Once an error is detected, that region is reconfigured. Another simple method to overcome SEUs using PR is by configuration scrubbing [79]. Here, the configuration data is stored in a radiation hardened memory and a configuration controller configures portions of the FPGA using this memory periodically, called blind scrubbing. In a more advanced method, the

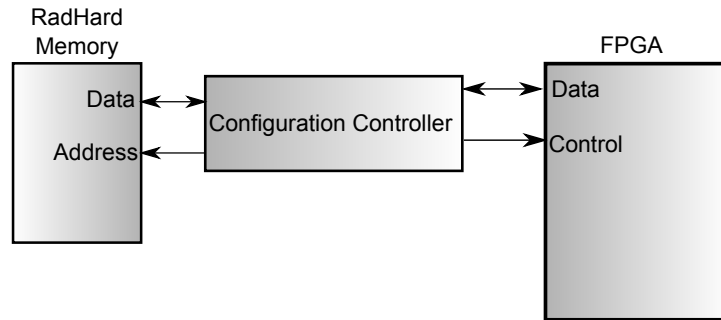


FIGURE 3.13: Configuration scrubbing.

configuration controller reads data from the FPGA and detects the presence of an error and writes back configuration data only if an error is present. Advanced SEU mitigation using both PR as well as traditional triple modular redundancy (TMR) methods have also been suggested [81].

### 3.4.4 Networking

PR also finds applications in networking. Within space applications, [82] describes the implementation of the System-on-Chip Wire (SOCWire) architecture on a partially reconfigurable Virtex-4 FPGA. SOCWire is well a established network-on-Chip protocol in the space community, supporting link initialisation, credit-based flow control, detection of link errors, link error recovery, hot-plug ability, etc. The dynamic characteristic of this protocol makes it an ideal candidate for PR based implementation.

A packet processing system called Field Programmable Port Extender (FPX) also uses PR [83]. FPX contains logic to transmit and receive packets over a network and dynamically reprogram hardware modules and route individual traffic flows. The reconfigurable virtual network presented in [84] combines several partially-reconfigurable hardware virtual routers with software virtual routers. Hardware virtual routers are configured using dynamic reconfiguration. Functions such as header verification, checksum verification, IP lookup, ARP lookup, and time to live (TTL) updates, etc., are implemented in PR regions. All these functions can be implemented in a single region since they operate sequentially on a packet. The

forwarding table for the virtual router is also stored in PR regions and this can be updated via a PCI bus using host software. This study shows that network implementation based on PR gives better flexibility and forwarding performance compared to fixed hardware implementation.

In [85], the authors propose a method for power saving in networks by changing the implementation of the same function under different conditions. By closely monitoring the environmental changes and adapting the implementation according to it, network power consumption can be reduced. The network environment changes depending upon the number of users, time of day, distance from the central node, etc. Power reduction not only reduces system running cost but also improves reliability due to lower thermal footprint.

### 3.4.5 Automotive Systems

Researchers have shown the potential of PR in automotive applications, especially in driver assistance systems [86]. Since vehicles have a very long life, and frequent upgrades are not possible, and given the rapid development of approaches for driver assistance, PR on FPGAs offers the benefits of realtime video processing with the flexibility to upgrade in future. In [1], the authors present a system that uses a Power PC processor for control and management, with different image processing functional units implemented as co-processors, loaded dynamically as needed. Researchers have also proposed enabling redundancy in automotive electronics through PR [87]. Here redundant electronic control units (ECUs) are implemented in PR regions, and whenever an error condition is detected, the corresponding region is reconfigured to recover from the error, while a redundant ECU with reduced performance acts as a backup.

### 3.4.6 Computational Science

PR has also been used extensively in high energy physics experiments. It was used in the Compressed Baryonic Matter (CBM) experiment conducted at the

Facility for Antiproton and Ion Research (FAIR) in Darmstadt, Germany [88]. This experiment used an Active Buffer Board (ABB) for receiving, buffering and forwarding hit data. In a high energy physics experiment, the surrounding conditions can change. Thus, it was required that the ABB functionality change post-installation. PR was also used in the ALICE experiment conducted in the CERN Large Hadron Collider (LHC) [89]. Special photo-detectors were used to monitor particles generated by the collisions in the LHC. A collection of 120 Xilinx Virtex-4 FX FPGAs with PR were used for first level processing and data reduction on the photo-detector outputs. PR is used to reconfigure FPGA functionality without breaking communication with the host server over PCI Express.

### 3.4.7 Computing Systems

The dynamic instruction set computer (DISC) [90] supports demand-driven modification of its instruction set. Each instruction is implemented as an independent circuit module, and these are paged into hardware in a demand-driven manner as dictated by the application programme. Hardware limitations are eliminated by replacing unused instruction modules with usable instructions at run-time. The concept of high-performance reconfigurable computing (HPRC) has also been proposed [91]. Here, the FPGA takes on a significant portion of a large scientific application, with PR allowing the fabric to be used by different computational steps at runtime.

In [92], autonomous computing systems were discussed, with placement and routing implemented on the FPGA fabric itself, allowing the FPGA to create new bitstreams. The main challenge is the logic overhead of implementing these tools and the slow speed of creating new bitstreams.

### 3.4.8 Machine Learning

PR has been successfully applied to pattern recognition and computer vision. [93] presents an on-line evolvable pattern recognition system, where the classification

module is dynamically evolved using PR. Here a processor configures a PR region with different *classification modules* to evaluate the input pattern. In [94], the Adaboost algorithm for human detection is implemented on a Virtex-4 FPGA using PR. Two computationally intensive tasks, integral image computation and feature extraction/decision, are alternately implemented in a single PR region. The output of the first operation is used as the input for the second. The reconfigurable implementation uses significantly fewer resources than a static (multiplexed) implementation.

### 3.5 Summary

PR has evolved significantly over recent years, and found use in a diverse range of applications. The design of PR systems remains hard, and hence, only accessible to FPGA experts. Many of published techniques for overcoming the limitations of vendor tools are now defunct, as a result of the increasing heterogeneity of modern devices, and less open access provided by vendors. Since many techniques are also heavily tied to specific architectures, with their evolution, the tools become unusable.

We believe the key implementation challenges are as a result of poor abstraction, and a design flow that demands FPGA expertise. Hence, it is better to make use of the vendor flow, but augment it with the required high-level design and automation features, thereby opening up PR design to non experts, while also ensuring some portability. When applying PR in the context of adaptive systems, only limited information is available in advance, and this must be used to improve mapping, while also maintaining the required flexibility. A flow that allows an adaptive system designer to work at a modular level, without the need for deep understand of the architecture or mechanisms of PR would open up the use of PR in many new applications.

# Chapter 4

## Partitioning for Partial Reconfiguration

### 4.1 Introduction

Determining the number of reconfigurable regions (PRRs) to use in a design and how to allocate specific modules to them constitutes the design partitioning phase. We saw in Chapter 2, that choices made during partitioning can significantly impact both resource usage and reconfiguration time. In present PR design flows, the designer must manually determine the number of PRRs and corresponding module allocation to them and hence the granularity of reconfiguration.

The vendor tools require fixed regions to be determined before any partial bitstreams can be generated, and those regions must abide by certain constraints. These requirements are related to the way data is arranged in the configuration memory, and must be met for the tools to generate valid partial bitstreams. Since the tools will generate these partial bitstreams for a given netlist allocated to a specific region, it is also the designer's responsibility to determine that allocation. Each region-module combination results in a new partial bitstream. As discussed in Chapter 3, there have been some unofficial flows proposed that allow a single bitstream to be modified to allow relocation. However, this is much more difficult



on new architectures with heterogenous resources, complex clock routing, and unreleased bitstream formats, and is also not supported by the vendor tools. The communication interfaces between PRRs and between PRRs and the static region are also fixed, and so, every module assigned to a specific PRR should follow the corresponding interface standard.

Partitioning automatically, with consideration for these factors, can result in more efficient implementations. Depending on the target application, the key metrics for a design's efficiency are resource utilisation and reconfiguration time. We have already discussed how spatial multiplexing in a static design results in increased area but a short reconfiguration time of just a few clock cycles. In a PR design, reconfiguration takes time since a partial bitstream must be applied to the configuration memory. Smaller regions can be reconfigured more quickly than larger regions, since fewer frames make up the bitstream. However, they can adversely impact area efficiency. Larger regions allow a design to use fewer resources, but take longer to reconfigure, and must be configured more often (since any module that is to be reconfigured affects the whole region). These are the two factors that we take into account in optimising the partitioning step.

In this chapter, we present algorithms to automatically determine the optimal region allocation scheme for a given adaptive application. Based on a set of valid system configurations, the method proposes the best arrangement of reconfigurable regions and how modules should be assigned to them. For adaptive systems, reconfiguration occurs on an as-needed basis, and is at the functional, rather than task, level. This means we cannot predict the order and frequency of reconfiguration, so must optimise globally to reduce reconfiguration time and minimise area. This is somewhat different to existing work that explores partitioning in the context of time multiplexed execution a fixed task graph.

The work presented in this chapter has also been discussed in:

- K. Vipin and S. A. Fahmy, Efficient Region Allocation for Adaptive Partial Reconfiguration, in *Proceedings of the International Conference on Field Programmable Technology (FPT)*, New Delhi, 2011 [95].

- K. Vipin and S. A. Fahmy, Automated Partitioning for Partial Reconfiguration Design of Adaptive Systems, in *Proceedings of the Reconfigurable Architecture Workshop (RAW)*, Boston, USA, May 2013, pp. 172-181 [96].

## 4.2 Related Work

Much of the work on automated partitioning tries to schedule a graph of dependent tasks onto a fixed number of regions, minimising runtime. They assume that multiple FPGA *regions* are used similar to a multi-processor system with each region processing an independent task. The work in [97] describes a reconfigurable processor system with two reconfigurable regions for execution speed up. The speed up is achieved by overlapping the task execution in one region with the reconfiguration of the other region. The task graph is partitioned in such a way that reconfiguration and execution can be carried out concurrently without mutual dependency. Similar work is presented in [98], in which a bitstream pre-fetching schedule is generated based on control flow graphs, hence reducing the reconfiguration time. For general adaptive systems, we do not have prior knowledge of the sequence of configurations, so such methods cannot be applied. Instead, we know what possible combinations of modules are required, and perhaps what configuration transitions are possible, and must make decisions based on these.

In [99], the authors present a method for minimising reconfiguration latency based on analysing communication graphs. The algorithm tries to group modules which require simultaneous reconfiguration in the same reconfigurable region. However, the number of reconfigurable regions must be determined by the designer. In [100], the authors assume the number of reconfigurable regions is fixed and resources are considered to be homogeneous. The number and size of the regions need to be determined by the designer. Later, simulated annealing is used to assign hardware modules to the regions by minimising the reconfiguration time. The number of modules required to execute a task is assumed to be equal to the number of regions and if any region is unoccupied, an *empty* module is assigned to it. Modern

FPGAs have heterogeneous architecture with distributed DSP and BlockRAMs, which defeats the homogeneous resource assumption.

The work in [101] explores partitioning and floorplanning in more detail. The authors describe a simulated annealing based algorithm for determining the allocation of modules to regions based on minimisation of area requirement variance at different time instances. This work considers the latest FPGA architectures as well as PR requirements. However, it also makes use of a fixed task graphs for the optimisation. Furthermore, the impact on reconfiguration time is not accounted for in their method.

Most existing work we have found does not perform partitioning in a manner that considers the runtime aspects of PR and does not consider the latest FPGA architectures. They generally assume a scheduled graph as the input where each task independently executes in a region. For adaptive systems, we cannot rely on a fixed sequence of configuration transitions, we care about reconfiguration time, and must also consider inter-region communication since modules work together to implement a complete application.

### 4.3 Contributions

In this section we introduce algorithms which overcome the need for manual partitioning, considering the detailed heterogeneous architecture of modern FPGAs, but abstracted from the designer. We consider adaptive applications where reconfiguration occurs at the module level, and the sequence of configurations is unknown up front. We focus on optimising reconfiguration time and resource usage. The main contributions are:

1. A detailed analysis and presentation of factors that affect the efficiency of partitioning for PR designs.
2. An analytical method to find the optimal partitioning for small designs.

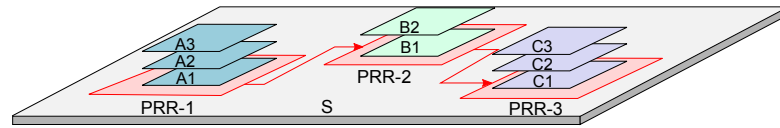


FIGURE 4.1: Example PR design with 3 modules.

3. A heuristic approach for automated partitioning for large designs.

## 4.4 Background and State of the Art

First it is important to define the terms we use in our discussions. A *partially reconfigurable region (PRR)* or simply a *region* is an area on the FPGA fabric that is demarcated for reconfigured at runtime. A region may include one or more types of FPGA primitives such as configurable logic blocks (CLBs), DSP slices and Block RAMs (BRAM). A *module* is an atomic processing unit in the system which can implement a hardware function, such as an edge detector in an image processing pipeline. A module may have one or more *modes*. In this discussion, modes are mutually exclusive implementations of the module with the same set of inputs and outputs. For example a radio modulator may have a mode for QPSK modulation and another mode for 16QAM. Different modes represent alternative hardware that must be swapped at runtime.

A *configuration* is a set of possible co-existent modes. Practically, since not all mode combinations will be valid, we can significantly improve the partitioning decision by only considering valid configurations. If we were to consider all possible combinations, the number of scenarios would grow exponentially with the number of modes and modules: with 4 modules, each with 3 modes, we would need  $3^4 = 81$  possible combinations. Knowing that only a subset of these combinations is valid means that our solution is optimised for those global configurations that may arise, rather than configurations that never will.

The Xilinx PR tool chain follows a hierarchical module based design approach [102]. Fig. 4.1 shows an example PR design, divided into static logic (grey) and reconfigurable regions (red). The functionality of the static logic does not change during

system operation—the *static region* is never reconfigured. There can be one or more PRRs. In Fig. 4.1, S represents the static logic and there are three reconfigurable modules.  $A_1$ ,  $A_2$ , and  $A_3$  are different modes of reconfigurable module A. Reconfigurable modules must be implemented in PRRs, and a typical approach is to allocate each module (and hence its modes) to a distinct region, thereby allowing independent configuration of the modules. To do this, the designer must allocate regions with sufficient resources to implement all modes of the module assigned to that region. This requires FPGA architecture knowledge and the regions must also be manually floorplanned.

For the example design given in Fig. 4.1,  $S \rightarrow A_1 \rightarrow B_1 \rightarrow C_1$  could be a possible configuration. Each configuration contains the static logic and one of the possible modes for each reconfigurable module. It is also possible that some configurations do not contain any modes corresponding to one or more reconfigurable modules. In the present PR tool flow, configurations do not play any role in synthesis since the reconfigurable modules and the assignment of regions, are performed manually. The designer prepares netlists only for valid combinations of module modes in each region. Ideally, this process should be automated from a higher level description of the valid configuration set.

Before discussing how to optimise partitioning and allocation, we should understand the costs we are trying to minimise. The size of a partial bitstream is proportional to the size of the region, and hence determines the reconfiguration time. Whenever a module is reconfigured, the entire region to which it is assigned must be reconfigured. Hence, while combining modules into fewer regions can allow the tools to optimize resource usage, it is clear that reconfiguration time can increase dramatically. Furthermore, having more modules in a region means that region is likely to be configured more often. This work seeks to determine an allocation that results in as small an area requirement as possible, and as short an average reconfiguration time as possible.

## 4.5 Problem Formulation

### 4.5.1 Fundamentals

We formulate an analytical model that generates efficient PR allocations without detailed inputs from the designer. Given an application description our method defines the optimal number and size of PRRs and the assignment of modules to those regions. This information can then be passed to the PR implementation tools to generate the final partial bitstreams.

The easiest and the simplest way to partition for PR is to divide the whole FPGA fabric into two: one static region and one PR region (PRR). All the static logic in the design is implemented in the static region, while reconfigurable modules are implemented in the PRR. This approach has two main benefits. Firstly, the designer only needs to allocate a single region, large enough to hold the most resource intensive configuration. Secondly, the implementation tools can optimise resource usage and timing across all modules resulting in the best possible timing performance since this method allows logic optimisation across module boundaries.

However, due to several drawbacks this method is not ideal. Firstly, whenever a module in the region requires reconfiguration, the whole region has to be reconfigured since partial bitstreams are generated on a region basis. Secondly, since the whole region must be reconfigured even if a small module is being changed, the reconfiguration time is increased, in some cases significantly. Finally, designs with many possible combinations of modes will require a large bitstream for each possible configuration, resulting in significant storage being required to store bitstreams. This is again because the partial bitstreams are generated per region and the size of the bitstreams is proportional to the size of the region. Thus simply allocating all reconfigurable modules to a single region is not suitable for systems that require minimised reconfiguration time or have limited bitstream storage capacity.

Reconfiguration time can in some cases be the key requirement for an application. Take for example a cognitive radio system that is reusing spectrum. If a primary user appears, the radio must immediately cease sending and search for empty spectrum. Similarly, a driver assistance system should adjust between different scene processing modes in as short a time as possible. Video applications may require reconfiguration to take place in the inter-frame interval. Hence, it is important to consider both worst-case and average reconfiguration times, as determined application constraints.

Generally, a one-region-per-module approach will offer the lowest worst-case reconfiguration times, since a region requires reconfiguration when its sole module needs to, and the size of the region is only as large as the largest mode of that single module. However, a one-region-per-module approach is the least area-efficient approach for region allocation since the total resource requirement is the sum of the requirements of the largest mode of each module. The opportunity for logic optimisation is also reduced since optimisation across region boundaries is not possible.

System configurations play an important role in the following discussion. Configurations greatly reduce the search space, as we only need to consider allowable mode combinations to arrive at an optimal allocation. By way of example, let us represent configurations using an adjacency matrix. Each dimension represents a module, with its corresponding modes. Each position in the matrix indicates whether that combination of modes exists in any valid configuration. This is easy to see for two modules. Consider module  $A$ , with modes  $A_1 \cdots A_n$  and another module  $B$  with modes  $B_1 \cdots B_m$ . The adjacency matrix  $A_{A,B}$  is an  $n \times m$  matrix, as shown.

$$\begin{array}{c}
 \\
 \\
 \\
 \end{array}
 \begin{array}{cc}
 & B_1 & B_2 \\
 A_1 & \left( \begin{array}{cc} 1 & 0 \\ 0 & 1 \\ 1 & 1 \end{array} \right) \\
 A_2 & \\
 A_3 & 
 \end{array}$$

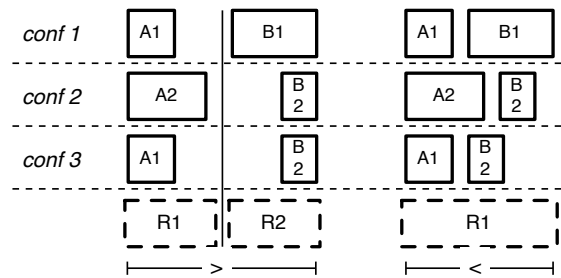


FIGURE 4.2: When assigning modules to separate regions, if some configurations do not exist, combining modules into fewer region could save area.

This matrix indicates that a configuration exists with module modes  $A_1$  and  $B_1$  but  $A_1$  and  $B_2$  never coexist. Similarly  $A_2$  and  $B_2$  coexist but  $A_2$  and  $B_1$  do not. For each element in the adjacency matrix, if the sum of the corresponding row and column is zero, it means that for each mode of the first module, there is a corresponding mode of the second module. In this case, the two modules should be allocated to the same region, since they can be optimised together and always reconfigure together, meaning there is no additional overhead in terms of configuration time or storage of bitstreams.

When the relationship is more complex, the decision is not as straightforward and depends on the cost of combining the modules into fewer PR regions. Consider two modules, each with a small and a large mode, as shown in Fig. 4.2. If they are allocated to separate regions, the regions must each be large enough for the largest mode of the corresponding modules. However if we know that the largest modes for both are never required together, then if they are combined in a single region, that region only needs to be large enough for the largest overall configuration.

Unfortunately, beyond two modules, the adjacency matrix becomes multi-dimensional and hard to interpret, hence a mathematical formulation of the above problem is more appropriate, allowing multiple modules to be considered simultaneously, and a globally optimal solution found.



## 4.5.2 Mathematical Formulation

To solve this problem analytically, we represent it mathematically using an objective function with a number of constraints. Based on the previous analysis, the problem can be described as follows:

1. Minimise average reconfiguration time,
2. minimise total resource requirements.

Subject to the conditions:

1. The design fitting in the given device,
2. all modules in the design being implemented,
3. all required configurations being implemented,
4. each module being implemented only once,
5. the number of PR regions begin greater than or equal to 1 and less than or equal to the total number of reconfigurable modules.

We assign the variables used in the formulation as shown in Table 4.1.

The maximum number of resource type  $i$  for module  $u$  is given by the maximum usage of  $i$  among the different modes of  $u$ :

$$R_{uiMAX} = \max_m(R_{umi}), \quad (4.1)$$

Since each module is implemented only once, the sum of allocation decision variables should be 1:

$$\sum_q d_{uq} = 1; \quad \forall u \quad (4.2)$$

If multiple modules are merged into a single region, the area required for resource type  $i$  for each configuration  $c$  is determined as follows. The area required for each mode of module  $u$  is taken into account only if the mode exists in the current configuration  $c$ . The area required for each module is summed over all modules

Notation	Description
$N$	Total number of reconfigurable modules
$F_i$	Total amount of resource type $i$ in the FPGA (types can be Slice, BRAM, DSP)
$R_s$	Reconfiguration (bitstream) throughput
$C$	Set of Configurations
$d_{uq}$	Decision variable – 1 if module $u$ is present in reconfigurable region $q$ otherwise 0
$d_{umc}$	Decision variable – 1 if module $u$ is present in mode $m$ in configuration $c$ otherwise 0
$R_{uiMAX}$	Maximum number of resource type $i$ used by module $u$
$R_{umi}$	Number of resource type $i$ used by module $u$ in mode $m$
$R_{di}$	Total requirement of resource type $i$ in the partition scheme
$R_{qic}$	Number of resource type $i$ used in region $q$ in configuration $c$
$R_{qi}$	Maximum number of resource type $i$ consumed by region $q$
$A_q$	Area of region $q$ in normalized units
$W_i$	Area weighing factor for resource type $i$
$W_{fi}$	Number of frames in resource type $i$
$t_q$	Reconfiguration time for region $q$
$t_c$	Reconfiguration time for configuration $c$
$t_w$	Worst case reconfiguration time
$t_a$	Average reconfiguration time

TABLE 4.1: Notation used in formulation.

present in region  $q$ . The partition method can vary from using a single region to using separate region for each module.

$$R_{qic} = \sum_u \sum_m R_{umi} * d_{umc} * d_{uq}; \quad c = 1, 2, \dots, C \quad (4.3)$$

For region  $q$ , from the set of resource requirements for different configurations, the maximum resource requirement for type  $i$  is determined, which is the required

result.

$$R_{qi} = \max_c(R_{qic}) \quad (4.4)$$

The total number of resources of type  $i$  required for the complete design is the sum of resource type  $i$  required by all regions

$$R_{di} = \sum_q R_{qi} \quad (4.5)$$

In order for the design to fit into a particular FPGA, for each resource type  $i$ , the total resources required should be less than or equal to resource type  $i$  present in the FPGA.

$$F_i - R_{di} \geq 0 \quad (4.6)$$

The total area cost of region  $q$  is given by

$$A_q = \sum_i W_i * R_{qi} \quad (4.7)$$

where  $W_i$  is the weighing factor for resource type  $i$ , calculated as the ratio of total resources to resources of type  $i$ .

Now consider reconfiguration time. Reconfiguration time for region  $q$  can be determined by dividing the area of  $q$  by the reconfiguration throughput.

$$t_q = \sum_i W_{fi} * R_{qi} / R_s, \quad (4.8)$$

where  $W_{fi}$  is the weighing factor determined by the number of reconfigurable frames required for resource type  $i$ . This factor depends on the target FPGA family as discussed in Section 3.1.2 and Table 3.1. When modules are merged, reconfiguration of any of the modules in the region leads to reconfiguration of the entire region. The frequency of reconfiguration depends on the application and the system operating environment. Total configuration time for the system when it changes from configuration  $c_i$  to configuration  $c_j$  is calculated as the sum of the

configuration times for regions, whose modules change their modes.

$$t_c = \sum_q t_q * d_{cq}, \quad (4.9)$$

where  $d_{cq} = 1$  if, for any  $d_{uq} = 1$ ,  $d_{umci} \neq d_{umcj}$  else 0. Average reconfiguration time is the average of all possible configuration times.

$$t_a = \bar{t}_c \quad (4.10)$$

Worst case reconfiguration time ( $t_w$ ) for a partition scheme is calculated as the maximum reconfiguration time among all possible configuration transitions.

$$t_w = \max(t_c) \quad (4.11)$$

Depending on the requirement, the objective function can be selected as the total area or reconfiguration time. In order to improve the overall system performance, average reconfiguration time is selected as the minimisation objective in this case. For applications where a strict reconfiguration time limit must be met, worst case reconfiguration time can be used instead.

### 4.5.3 Integer Linear Programming

The exact solution for this problem can be found using Integer Linear Programming (ILP). Although ILP is known to be NP-Complete, this formulation allows us to find an optimal solution for smaller systems containing 10 or fewer reconfigurable modules which is common in practical use. In order to solve the problem using an ILP solver, it is represented in a specific format having an objective function and a number of constraints. From the above problem formulation, ILP equations can be represented as

$$\text{Minimise}(\sum_q A_q) \quad (4.12)$$

or

$$\text{Minimise}(t_a). \quad (4.13)$$

subject to

$$\sum_q d_{uq} = 1, \quad (4.14a)$$

$$A_q = \sum_i W_i * R_{qi}, \quad (4.14b)$$

$$R_{di} = \sum_q R_{qi}, \quad (4.14c)$$

$$F_i - R_{di} \geq 0, \quad (4.14d)$$

$$t_c = \sum_q t_q * d_{cq}, \quad (4.14e)$$

$$t_a = \bar{t}_c. \quad (4.14f)$$

These equations can be solved by freely available ILP solvers such as LPSolve [103]. The solver is directed to sequentially increment the number of PRRs from 1 to the number of reconfigurable modules. After each iteration, the best arrangement for the present number of regions is compared with the previous best result and the solution is updated. The solver can be also directed to find the pareto-optimal points by including the second objective function (the one not used by the ILP solver) in the comparison. The values of  $W_i$ ,  $W_{fi}$  as well as the resource availability, are FPGA-dependent. These are stored in a file and the solver is pointed to a particular FPGA in order to find an optimal partitioning for that FPGA, or to determine the most suitable FPGA device for the application.

## 4.6 Case Study

For a realistic evaluation, we apply our region allocation approach to an example design implemented on a Virtex-5 FX70T FPGA. This device contains 11200 Slices (5600 CLBs), 128 DSP Slices and 296 BlockRAMs, The design is a wireless video

Module	Mode	Slices	BRAM	DSP
Matched Filt (F)	1. Filter1	818	0	28
	2. Filter2	500	0	34
Recovery (R)	1. Fine	318	1	13
	2. Coarse1	195	1	5
	3. Coarse2	123	0	8
	4. None	0	0	0
Demodulator (M)	1. BPSK	50	0	2
	2. QPSK	97	0	4
Decoder (D)	1. Viterbi	630	2	0
	2. Turbo	748	15	4
	3. DPC	234	2	0
Decoder (V)	1. MPEG4	4700	40	65
	2. MPEG2	4558	16	32
	3. JPEG	2780	6	9

TABLE 4.2: Resource utilisation for reconfigurable modules.

receiver chain using in-house and vendor provided IP. The system has one static region and five reconfigurable modules, and can operate in various modes, and adapt to channel conditions and user requirements at runtime. Modules communicate with each other using a simple streaming bus interface, which is registered to ensure timing is not affected by partitioning. The resource utilisation for each reconfigurable module and mode is shown in Table 4.2.

The different configurations used by the system are the following:

$$S \rightarrow F_1 \rightarrow R_3 \rightarrow M_1 \rightarrow D_1 \rightarrow V_1$$

$$S \rightarrow F_1 \rightarrow R_3 \rightarrow M_1 \rightarrow D_1 \rightarrow V_2$$

$$S \rightarrow F_1 \rightarrow R_3 \rightarrow M_1 \rightarrow D_1 \rightarrow V_3$$

$$S \rightarrow F_2 \rightarrow R_1 \rightarrow M_2 \rightarrow D_3 \rightarrow V_1$$

$$S \rightarrow F_2 \rightarrow R_2 \rightarrow M_1 \rightarrow D_1 \rightarrow V_1$$

$$S \rightarrow F_2 \rightarrow R_2 \rightarrow M_1 \rightarrow D_1 \rightarrow V_2$$

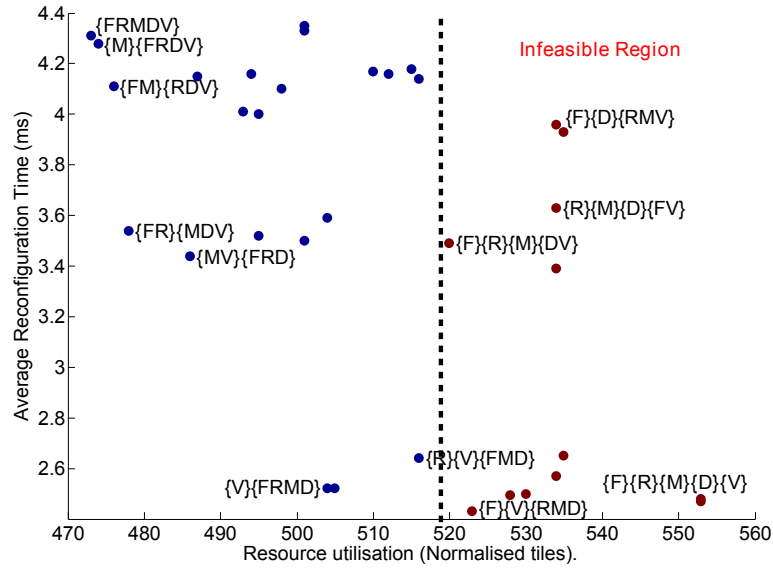


FIGURE 4.3: Resource requirements and configuration time for different partitioning results.

$$S \rightarrow F_2 \rightarrow R_2 \rightarrow M_1 \rightarrow D_1 \rightarrow V_3$$

$$S \rightarrow F_1 \rightarrow R_2 \rightarrow M_1 \rightarrow D_2 \rightarrow V_2$$

Reconfiguration throughput is taken as 234 MB/s [104].

We first apply our ILP based partitioning algorithm to the design. A plot comparing the average reconfiguration time against total resource requirements is shown in Fig. 4.3, which represents the solution space explored by the algorithm. The *infeasible* region of the plot represents solutions which can not be implemented on the target FPGA due to the lack of resources. Implementing a static design, using multiplexers to select between modes, requires 15800 Slices, 83 BRAMs, and 204 DSP slices, which exceeds the capacity of the target device. Using a one-region-per-module scheme, this design will not fit into the target FPGA device, since that scheme requires 18 DSP tiles while the device has only 16. The partitioning scheme in which all the modules are implemented in a single region (labelled {FRMDV}) gives the lowest resource utilisation of 473 tiles, but the average reconfiguration time for this scheme is considerably higher than other results, at 4.32 ms. Using the proposed partitioning method, we can find schemes that fit the design into the FX70T device with minimum reconfiguration time. The analytical method

enables us to choose one of the 6 Pareto optimal partitioning schemes depending on application requirements.

The configuration in which the decoder (V) is implemented in a single region and all other modules are implemented together in another region, labelled  $\{V\},\{FRMD\}$  in the plot, gives the lowest average reconfiguration time. This scheme uses 504 normalised tiles and has a average reconfiguration time of 2.52 ms. The scheme in which the filter (F) and recovery (R) modules are combined in a single region and other modules are implemented together (labelled  $\{FR\},\{MDV\}$  in the plot) lies closest to the origin. This scheme uses 478 normalised tiles and the average reconfiguration time is 3.54 ms and hence gives 13.5% area improvement compared to one-module-per-region scheme. Worst case reconfiguration time for the optimal scheme is 4.36 ms, while for the one-region-per-module, scheme, it is 4.69 ms. The bitstream storage requirements for these schemes was also calculated. The solution  $\{FR\},\{MDV\}$  requires 53 Mbits storage while implementing all modules in a single region requires 81 Mbits to store bitstreams. These results depend significantly on the configurations defined by the application. The upper bound area consumption will be that of using separate regions for each module and the lower bound is a single-region scheme.

One limitation of the proposed algorithm is its execution time as the design space becomes larger. For the example case study, the solver was able to determine the optimal solution in about 30 seconds. But as the number of modules increases, the number of equations to be solved, and hence the solution space, also increases exponentially. For example, a synthetic design with 10 modules, each with 10 modes, takes about 30 minutes to determine the final partition. To limit exploration space, we have assumed that every mode belonging to same module is always implemented in the same region. This assumption may restrict the solver from finding a more optimal solution as resource requirement variance between modes belonging to different modules may be less than the variance among the modes of same module. In the next section we discuss an improved heuristic algorithm that removes this constraint.



## 4.7 An Improved Heuristic Partitioning Algorithm

In this section, we introduce an improved partitioning algorithm that is more flexible, and has improved runtime. Heuristics allow larger problems to be solved, and by separating the logical association of modes of the same module, more efficient allocations can be generated.

### 4.7.1 Partitioning Algorithm

The proposed algorithm tries to determine the best partitioning scheme for a given PR system by minimising reconfiguration time. It can be also be modified to determine the partitioning resulting in the least resource consumption. The algorithm can also suggest the smallest FPGA suitable to implement the given design for non-time-critical applications.

The minimum possible area required for a PR system, excluding the static logic, is the area of the largest configuration (when all the modes are implemented in a single reconfigurable region). Hence, the we first check implementation feasibility by comparing this area with the resource availability of the selected FPGA. If the resource availability is insufficient, the device choice is rejected and another device must be chosen. If a solution is feasible, a connectivity matrix is generated with each row representing a configuration and each column representing a reconfigurable module. This matrix is an  $M \times N$  matrix, where each row represents a configuration and each column represents a mode. Note that we remove the module distinction in this formulation since that is only of relevance to the designer and has no bearing on how specific module modes will be allocated to regions in this enhanced approach. An element  $(i, j)$  in the matrix with value 1 represents mode  $j$  being present in configuration  $i$ . For the example design in Section-4.4, if the system supports the following 5 configurations:

$$S \rightarrow A_3 \rightarrow B_2 \rightarrow C_3$$

$$S \rightarrow A_1 \rightarrow B_1 \rightarrow C_1$$

$$S \rightarrow A_3 \rightarrow B_2 \rightarrow C_1$$

$$S \rightarrow A_1 \rightarrow B_2 \rightarrow C_2$$

$$S \rightarrow A_2 \rightarrow B_2 \rightarrow C_3$$

then their connectivity matrix will be

$$\begin{array}{c} \text{Conf1} \\ \text{Conf2} \\ \text{Conf3} \\ \text{Conf4} \\ \text{Conf5} \end{array} \begin{pmatrix} A_1 & A_2 & A_3 & B_1 & B_2 & C_1 & C_2 & C_3 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 \end{pmatrix}$$

This matrix is used to determine weights for use in the optimisation. The *node weight* of a mode is the number of times that mode appears in the possible configurations and is computed by summing columns in the matrix. For mode  $A_1$  in the example, the node weight is 2 and for  $B_2$ , it is 4. The *edge weight*,  $W_{ij}$  between any two modes  $i$  and  $j$  is the number of times these modes occur concurrently in the possible configurations. For modes  $A_1, B_1$ , the edge weight is 1 and for  $B_2, C_3$ , it is 2.

Once all the weights are calculated, a modified hierarchical clustering algorithm [105] with an agglomerative strategy is used for partitioning. The metric used for clustering is the edge weight,  $W_{ij}$ . The agglomerative strategy is a bottom-up clustering method, which iterates by adding new edges between the nodes in a network. Here, the nodes are the different modes present in the system, and all nodes are initially disconnected. The algorithm first checks for complete sub-graphs in the network. A complete sub-graph is a sub-graph, where every pair of distinct vertices is connected by a unique edge. Since initially none of the nodes are connected, each node can be considered as a sub-graph with number of edges,  $k = 0$ .

The algorithm iterates and in each iteration, it links the two nodes with the highest edge weight. The rationale for this is that a larger edge weight indicates that two

modes occur concurrently more frequently for the given configurations, and hence these modes should be grouped in the same region. Once two nodes are connected, the algorithm checks for new complete sub-graphs. This is shown in Fig. 4.4(a). The edge value between  $A_3$  and  $B_2$  is 2, which is the highest, so  $A_3$  and  $B_2$  are linked. A search for new complete sub-graphs finds  $\{A_3, B_2\}$  with number of edges,  $k = 1$ .

The sub-graphs found in each iteration are called *base partitions*. Base partitions represent the set of mode clusters which can be used to determine the final partitioning. The frequency of occurrence of a base partition in the configurations is represented by a term called the *frequency weight*. For sub-graphs with  $k = 0$ , *frequency weight* is equal to the node weight (i.e. how many times that mode occurs in all configurations) and for sub-graphs with  $k=1$ , the *frequency weight* is equal to the edge weight. For sub-graphs with a higher number of edges, the *frequency weight* is the smallest edge weight present in the sub-graph. For example, in Fig. 4.4(b), the *frequency weight* of sub-graph  $\{A_3, B_2, C_3\}$  is 1, which is the edge weight between  $A_3$  and  $C_3$ . The algorithm iterates until all the possible links are added to the graph. The final sub-graphs detected are the full configurations, with *frequency weight* 1. The resulting *base partitions* for the example design are listed in Table 4.3.

Once *base partitions* are generated, a covering algorithm is used to select those used for partitioning. For this purpose, the *base partitions* are arranged in a list

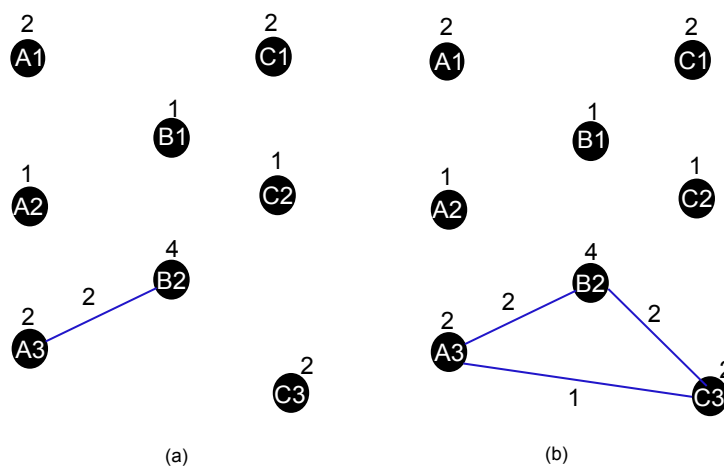


FIGURE 4.4: (a) A sub-graph with  $k = 1$ . (b) A sub-graph with  $k = 3$ .

Base Partition	Freq. weight	Base Partition	Freq. weight
$\{A_2\}$	1	$\{A_1, C_2\}$	1
$\{C_2\}$	1	$\{A_1, B_1\}$	1
$\{B_1\}$	1	$\{B_1, C_1\}$	1
$\{A_1\}$	2	$\{A_2, C_3\}$	1
$\{C_1\}$	2	$\{A_3, C_1\}$	1
$\{C_3\}$	2	$\{A_3, C_3\}$	1
$\{A_3\}$	2	$\{B_2, C_3\}$	2
$\{B_2\}$	4	$\{A_3, B_2\}$	2
$\{A_1, B_2\}$	1	$\{A_3, B_2, C_3\}$	1
$\{B_2, C_1\}$	1	$\{A_1, B_1, C_1\}$	1
$\{A_1, C_1\}$	1	$\{A_3, B_2, C_1\}$	1
$\{B_2, C_2\}$	1	$\{A_1, B_2, C_2\}$	1
$\{A_2, B_2\}$	1	$\{A_2, B_2, C_3\}$	1

TABLE 4.3: Base partitions for example design their frequency weights.

in ascending order of the number of modes included. As the number of modes in a region increases, the frequency of reconfiguring that region increases, since modifying even a single mode in the region requires the complete reconfiguration of the whole region. Since our objective is to minimise reconfiguration time, regions are prioritised based on the number of modes. If two *base partitions* have the same number of modes, they are arranged in ascending order of *frequency weight*. Subsequent steps of the algorithm show that this prioritisation keeps the high frequency *base partitions* as candidates when the algorithm iterates. *Base partitions* with the same frequency weight are arranged in ascending order of their area.

Now *base partitions* are selected from the list in sequence order and compared with the connectivity matrix. For each configuration (i.e. for each row in the connectivity matrix) the corresponding modes present in the selected *base partition* are set to zero. For example, the first *base partition* selected from the list is  $\{A_2\}$ . For the fifth configuration,  $A_2$  is active. The corresponding element  $A_2$  is set to

zero and the fifth row of the connectivity matrix becomes

$$[0 \ 0 \ 0 \ 0 \ 1 \ 0 \ 0 \ 1]$$

Subsequently, *base partitions*  $\{C_2\}$ ,  $\{B_1\}$  etc. are used to cover more configurations. *Base partitions* are selected and compared from the list until all elements in the matrix become zero. If a *base partition* does not cover any new mode, it is not considered as a candidate. The set of *base partitions* used to cover all configurations becomes a *candidate partition set*. In other words, a *candidate partition set* is a set of *base partitions*, whose modes can cover all the possible configurations. For the example design, the first *candidate partition set* is  $\{\{A_2\}, \{B_1\}, \{C_2\}, \{A_1\}, \{C_1\}, \{C_3\}, \{A_3\}, \text{and} \{B_2\}\}$ . A closer examination shows that these are actually all the modes present in the design.

As the next step, the tool finds the compatible set of partitions for each *base partition* from the *candidate partition set*. Two partitions are compatible, if the modes present in them do not co-occur in any of the configurations. For example  $\{A_1\}$  and  $\{A_2\}$  are compatible partitions since they do not co-exist in any of the possible configurations, while  $\{A_1\}$  and  $\{B_1\}$  are not compatible, since there is a configuration  $S \rightarrow A_1 \rightarrow B_1 \rightarrow C_1$ . This step is necessary to make sure that all configuration transitions are possible. If two base partitions required for a single configuration are allocated to the same region, that configuration cannot be implemented since at a given instance, only one *base partition* will be active in a configurable region.

Region allocation starts by allocating each element of the *candidate partition set* to a separate region, since this is equivalent to the static implementation which requires minimum reconfiguration time. The total resource requirement and reconfiguration time for this partitioning is calculated. To find a new solution, two compatible *base partitions* are assigned to the same region. The cost function for assigning two *base partitions* to a single region is calculated in terms of the total number of frames being reconfigured considering all the configuration transitions.

When two *base partitions* with area  $P_1$  and  $P_2$  (in terms of frames) are assigned to the same region  $r$ , the area of the region is calculated as,

$$P_r = \max(P_1, P_2) \quad (4.15)$$

The area of the region will be the area of the largest *base partition* assigned to it. To find the exact number of frames present in the region, the region is considered in terms of CLB, DSP, and BlockRAM tiles. Depending upon the number of resources present in each tile, the number of tiles required for each resource type for region  $r$  is calculated for a Virtex-5 FPGA as.

$$R_{r_{clb}} = \lceil \max(P_{1_{clb}}, P_{2_{clb}}) / 20 \rceil, \quad (4.16)$$

where  $R_{r_{clb}}$  is the total number of CLB tiles required.

$$R_{r_{dsp}} = \lceil \max(P_{1_{dsp}}, P_{2_{dsp}}) / 8 \rceil, \quad (4.17)$$

where  $R_{r_{dsp}}$  is the total number of DSP tiles required.

$$R_{r_{br}} = \lceil \max(P_{1_{br}}, P_{2_{br}}) / 4 \rceil, \quad (4.18)$$

where  $R_{r_{br}}$  is the total number of BlockRAM tiles required.

If the total resource requirement of the partition for each resource type is less than or equal to the resources available in the FPGA, the reconfiguration time is calculated.

The total number of frames required for the new region is calculated as

$$P_r = \sum_t W_t * R_{rt} \quad (4.19)$$

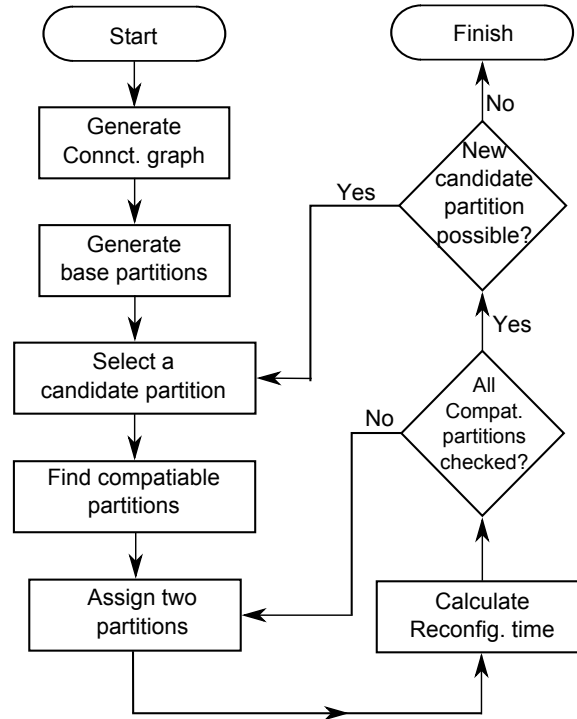


FIGURE 4.5: Flow chart for the proposed partitioning algorithm.

where  $t$  is the tile type,  $t \in (\text{CLBs}, \text{DSP blocks}, \text{BlockRAMs})$ ,

$W_{clb} = 36$ ,  $W_{dsp} = 28$  and  $W_{br} = 30$  for Virtex-5 family FPGAs

$W_{clb} = 36$ ,  $W_{dsp} = 28$  and  $W_{br} = 28$  for Virtex-6 and 7-Series family FPGAs

System performance can be measured in terms of total reconfiguration time and worst-case reconfiguration time. Total reconfiguration time gives a measure of overall system performance, and is a useful proxy when we do not know the specific configuration transitions up front, as is the case for adaptive systems. Total reconfiguration time is measured as the sum of all possible configuration transitions, i.e. by considering transitions from all configurations to all other configurations. If some statistical information about the probabilities of different configurations occurring is known, this could be factored into the measure.

In some applications, such as real time systems and safety critical systems, the system cannot tolerate reconfiguration time beyond a certain limit. Here it is important that no configuration transitions take longer than this stipulated time. Worst-case reconfiguration time is a useful measure in this situation. It is the

largest configuration transition time among all the possible configuration transitions.

Mathematically, the total reconfiguration time is given by

$$t_{total} = \sum_{i=1}^{c-1} \sum_{j=i+1}^c tcon_{i,j} \quad j > i \quad (4.20)$$

where,  $c$  is the total number of configurations, and  $tcon_{i,j}$  is the time required to change the system configuration from  $i$  to  $j$ , and is calculated as

$$tcon_{i,j} = \sum_{r=1}^N d_{i,j} \times tcon_r \quad (4.21)$$

Where  $d_{i,j}$  is a decision variable which is equal to 1 if region  $r$  contains different *base partitions* in configuration  $i$  and configuration  $j$ .  $tcon_r$  is the time to configure region  $r$  and  $N$  is the total number of regions.

The configuration time for a region is proportional to the area of the region.

$$tcon_r \propto P_r \quad (4.22)$$

Hence total reconfiguration time in terms of frames is:

$$t_{total} = \sum_{i=1}^{c-1} \sum_{j=i+1}^c \sum_{r=1}^N d_{i,j} \times \sum_t W_t * R_{rt} \quad (4.23)$$

$t$  is the tile type,  $t \in$  (CLBs, DSP blocks, BlockRAMs),

The worst case reconfiguration time is calculated as

$$t_{worst} = \max(tcon_{i,j}) \quad (4.24)$$

If the total reconfiguration time for the partition scheme is less than the present lowest time, the scheme is stored as the present best partition scheme. Once the total number of frames is calculated, *base partitions* assigned to the region



are removed from the list and the new region is added to the list as a new *base partition* and compatible partitions are recalculated.

The algorithm iterates by assigning two new compatible partitions to a region. If all possible compatible *base partition* assignments are done, the algorithm restarts from the initial *candidate partition set*, and assigns two compatible *base partitions* to the same region, which are distinct from those used to begin the previous iterations. Once all combinations of compatible base partitions are considered for initial assignment, a new set of *base partitions* is selected from the list to generate a new *candidate partition set*. For this purpose, the top most *base partition* is removed from the list, and the covering algorithm is re-applied. Due to the arrangement of the *base partitions*, the one with the lowest frequency weight is removed from the list. For the example design, after the first set of iterations,  $\{A_2\}$  is removed from the list and  $\{A_2, B_2\}$  is added to the new *candidate partition set*.

The algorithm iterates until no more *candidate partition sets* are possible. When the algorithm terminates, it selects the scheme with the lowest reconfiguration time as the final partitioning. Considering the valid configuration information in the partitioning step makes it a tractable problem, whereas if all possible combinations of modes were considered, the problem would become NP-hard and we would only be able to find sub-optimal solutions. One key difference in our new approach is that we focus on making use of all available resources in the target FPGA. Rather than only minimising resource usage, likely at a cost of increasing reconfiguration time, this approach will optimise reconfiguration time, using all the resources available on the FPGA specified, and hence, may implement multiple modes of the same module at the same time.

## 4.7.2 Special Conditions

One scenario we have worked to include in this formulation is where the system does not consist of a number of distinct design modules that have different modes.

For example consider the design example used in [101], that has only two configurations.

1. CAN controller (C)  $\rightarrow$  FIR filter(F)
2. Ethernet controller (E)  $\rightarrow$  Floating point unit (P)  $\rightarrow$  CRC (R)

Here, there are no clear mode relations between the configurations. In our algorithm this is dealt with by specifying each reconfigurable module as having just a single mode. While specifying the configurations, the modules which are not present in a configuration are marked as having *mode* 0. For this example, the configurations are specified in our algorithm as

1.  $C_1 \rightarrow F_1 \rightarrow E_0 \rightarrow P_0 \rightarrow R_0$
2.  $E_1 \rightarrow P_1 \rightarrow R_1 \rightarrow C_0 \rightarrow F_0$

The algorithm treats *mode* 0 as the absence of the corresponding module, and no column is allocated for zero modes in the connectivity matrix. This allows us to mix multi-mode modules and one-off modules.

## 4.8 Case Study

Now we apply our heuristic approach to the same partitioning problem for the wireless receiver described in Section 4.6. The proposed algorithm finds a solution that requires 6600 Slices 60 BRAMs and 140 DSP slices, with a total reconfiguration time of 235266 frames, 4% less than the one module per region implementation. The low percentage improvement is due to the large size of the decoder module modes compared to other modules and also since, in the final solution, all decoder modes are assigned to the same region. The final scheme determined by the algorithm is as shown in Table 4.4. The resource requirements for each scheme are shown in Table 4.5.

Region	Base Partitions
$PRR_1$	$M_2, \{M_1, D_2\}$
$PRR_2$	$D_3, R_2, R_3$
$PRR_3$	$D_1, R_1$
$PRR_4$	$F_1, F_2$
$PRR_5$	$V_1, V_2, V_3$

TABLE 4.4: Partitions determined by algorithm.

The proposed algorithm was implemented using the Python programming language [106].

Now consider the system configurations are changed to:

$$S \rightarrow F_1 \rightarrow R_3 \rightarrow M_1 \rightarrow D_1 \rightarrow V_1$$

$$S \rightarrow F_1 \rightarrow R_2 \rightarrow M_1 \rightarrow D_1 \rightarrow V_3$$

$$S \rightarrow F_2 \rightarrow R_3 \rightarrow M_1 \rightarrow D_1 \rightarrow V_3$$

$$S \rightarrow F_1 \rightarrow R_1 \rightarrow M_2 \rightarrow D_3 \rightarrow V_1$$

$$S \rightarrow F_2 \rightarrow R_1 \rightarrow M_2 \rightarrow D_3 \rightarrow V_2$$

The solution found by the proposed algorithm is given in Table 4.6. This scheme requires 6500 Slices, 60 BRAMs, and 144 DSP slices, with a total reconfiguration time of 92120 frames. This is 6% less than the one module per region scheme. From the explored schemes, the scheme with the smallest reconfiguration time that can fit in the FPGA is selected as the final solution. These results show that for optimal performance, partitioning needs to be a function of the system configurations and resource availability. It is also clear that large modules can dominate, making the results close to a one-region-per-module scheme.

Scheme	Slices	BRAMs	DSPs	Total Recon. time
Static	15800	83	204	0
Modular	6700	60	144	244872
Proposed	6600	60	144	235266

TABLE 4.5: Properties for different partitioning schemes.

<b>Region</b>	<b>Base Partitions</b>
Static	$M1, D2$
$PRR_1$	$D1, R1$
$PRR_2$	$R2, R3, M2, D3$
$PRR_3$	$F_1, F_2$
$PRR_4$	$V_1, V_2, V_3$

TABLE 4.6: Partitions determined by algorithm for modified configurations.

For a more thorough investigation of the proposed algorithm, we require more PR designs. Unfortunately, there are very few such designs in the literature, and many of those available are very simple. Spending significant effort on assembling suitable designs from IP blocks would also be troublesome. Hence, we use synthetic designs for a more thorough evaluation. We generated 1000 synthetic designs, with an equal number of logic-intensive, memory-intensive, DSP-intensive and DSP-and-memory-intensive modules. Each design is also augmented with a static region requiring 90 CLBs and 8 BRAMs, based on our custom ICAP controller and associated logic [107]. Designs are generated containing 2–6 modules, each with a number of modes varying from 2 to 4. Each mode can consume 25 to 4000 CLBs, and the number of other resources is chosen from a range determined by the number of CLBs and the type of the circuit (logic-intensive, memory-intensive etc.). Configurations are randomly generated, until every mode present in the design is utilised at least once. This results in a wide range of design types, that we expect to give us a better idea of how well the proposed algorithm performs.

For each design, the minimum resources required for implementation are determined by considering a design using a single PR region. This is used to determine the smallest FPGA that can accommodate the design. The FPGAs used are from the Xilinx Virtex-5 family [108]. If at the end of an iteration of the algorithm, no partitioning scheme other than a single region is feasible, we select the next largest FPGA and the design is partitioned again. The program takes between a few seconds and one minute to determine the best solution for a design depending

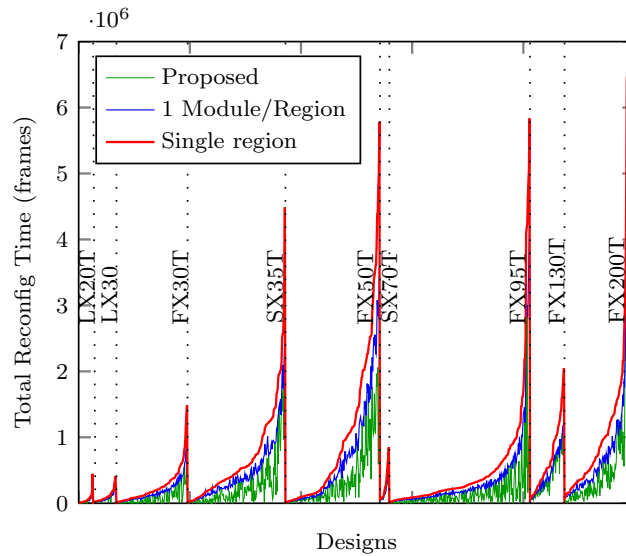


FIGURE 4.6: Total reconfiguration time for proposed method vs one module per region implementation and single PR region sorted according to target FPGA size.

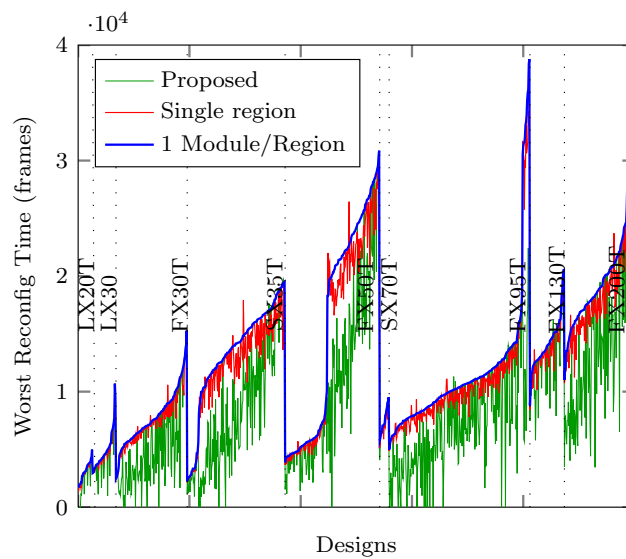


FIGURE 4.7: Worst case reconfiguration time for proposed method vs one module per region implementation and single PR region sorted according to target FPGA size.

upon its size and the number of configurations (running on an Intel Core2 Duo 3.1GHz processing with 8GB of RAM).

201 of the 1000 designs could not be alternatively arranged on the smallest FPGA, so they were re-iterated using larger FPGAs. In 13 cases, the proposed algorithm was able to fit the design in a smaller FPGA than is required for the one module per region scheme.

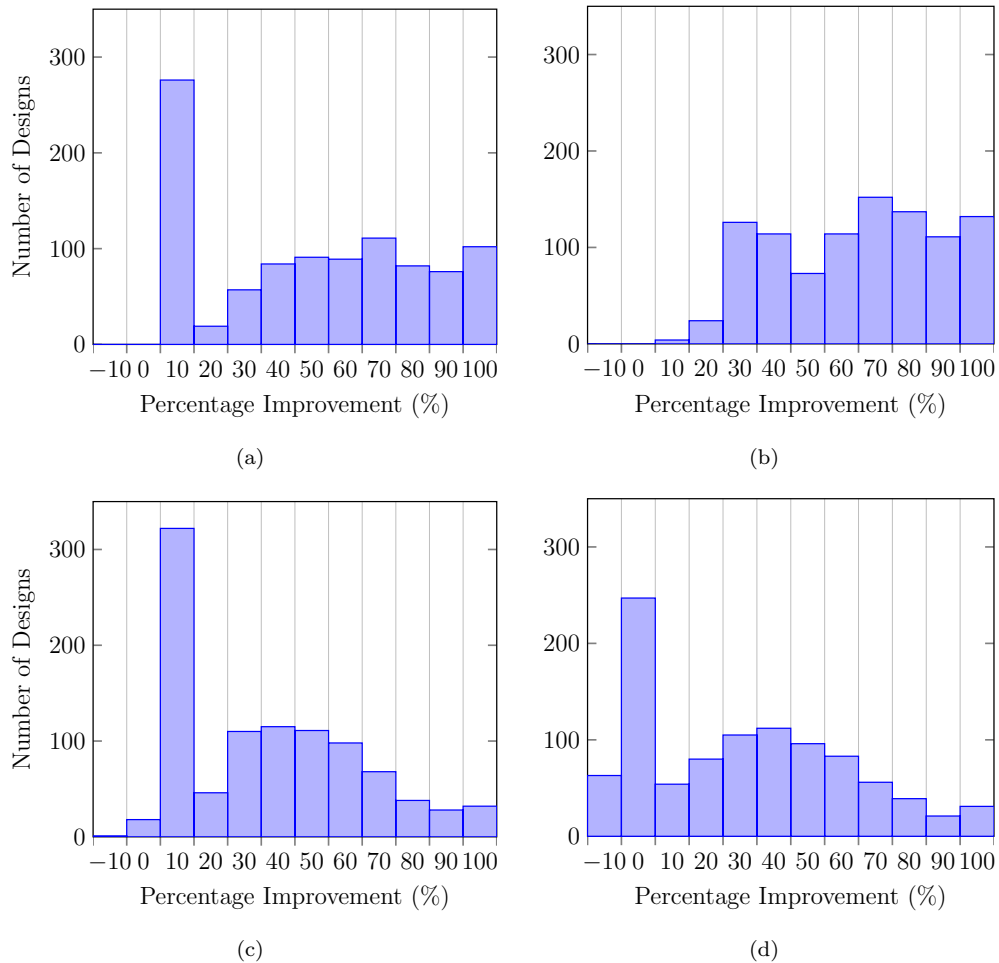


FIGURE 4.8: Percentage improvement for total reconfiguration time found by the proposed algorithm compared to (a) one module per region and (b) single region schemes and for worst reconfiguration time compared to (c) one module per region and (d) single region schemes.

A comparison of total reconfiguration time for the one-module-per-region scheme, a single-region scheme, and the scheme determined by the proposed algorithm is shown in Fig. 4.6. The results have been sorted based on the target FPGA. The total reconfiguration time for the single-region scheme is high since for each reconfiguration, the complete PR region needs to be reconfigured. In most cases, the proposed algorithm finds a better solution than the one-module-per-region scheme.

A comparison for worst-case reconfiguration time is shown in Fig. 4.7. In almost all cases, the proposed algorithm has a lower worst-case reconfiguration time compared to the one-module-per-region scheme. The plot shows that in several

scenarios, the worst-case reconfiguration time for a single-region scheme is lower than the one-module-per-region scheme and the solution of the proposed algorithm. This occurs because the single-region implementation scheme has the minimum resource requirement when all modes are implemented in PR regions (i.e. no modes are moved to the static region). For this scheme, the worst-case reconfiguration time is independent of configuration transitions, since each transition requires the entire region to be reconfigured and hence it is the same for all transitions. Meanwhile the worst-case for the other schemes will typically be where all modules switch mode, and hence, the increased sum area of PR regions causes this to be longer. But the impact of this scheme on overall system performance is evident from Fig. 4.6, since for all configuration transitions the whole region needs to be reconfigured.

Profiles of the percentage improvement of the proposed algorithm compared to the one-module-per-region and single-region schemes are shown in Fig. 4.8. The proposed scheme performs better than the one-module-per-region scheme in terms of total reconfiguration time in 73% of cases and performs better than the single-region scheme in all cases.

In terms of worst-case reconfiguration time, the proposed algorithm finds a better solution than the one-module-per-region scheme in 70% of cases. For 3 designs, the output of the algorithm performs worse. Compared to the single-region scheme, the proposed method improves or matches worst-case reconfiguration time in 87.5% of cases. In the remaining 12.5% of cases, the *total* reconfiguration time, which is what we optimise for, is very high and hence, this is not relevant.

We can see that the proposed algorithm offers tangible improvements over both traditional partitioning approaches, especially in cases where it determines that modules can be moved to the static region. At the same time, it is clear that using general measures of total reconfiguration time and worst-case reconfiguration time may not tell the whole story. A more detailed analysis would require knowledge of the specific transition probabilities. By running the system for a period of time,

we could gain an understanding of which transitions are more likely and weigh those more in the calculations.

## 4.9 Summary

Determining the number of partial reconfiguration regions and the allocation of reconfigurable modules to regions is not always trivial, but this choice can impact FPGA resource utilisation, reconfiguration time and the storage requirement for configuration bitstreams. We have introduced a new technique, which can be incorporated into the existing vendor-supported partial reconfiguration tool flow to automate the partitioning step. We first presented an analytical formulation that can determine an optimal mapping of modules to regions. We then presented a more heuristic approach that treats each module mode independently, can allocate some to the static region, and optimises for reconfiguration time. We demonstrated that these approaches improve resource consumption and reduce reconfiguration time for both real and synthetic adaptive applications. Automating partitioning is the first step in a flow that allows a high level description to be mapped to a fully functional PR implementation.



# Chapter 5

## Floorplanning PR Designs

### 5.1 Introduction

Floorplanning involves physical partitioning of the FPGA fabric for the optimal placement of reconfigurable regions (PRRs) in order to improve routability, timing or density. For standard non-PR based FPGA designs, floorplanning is generally of less interest and is only used by expert designers to achieve high area optimisation or timing performance. For static FPGA designs, the present vendor tools are versatile enough to perform timing driven placement and routing, while fitting the design within the available resources. Further manual tweaking can help improve performance to meet particularly stringent time constraints.

Present vendor PR tools do not support automatic floorplanning, and require manual inputs from the designer. To come up with an efficient floorplan, the designer must have knowledge about the low-level physical architecture of the target FPGA, as well as the run-time costs associated with PR. Manual floorplanning based on these factors consumes a large amount of design time and is cumbersome, often leading to sub-optimal results. This floorplanning requirement has contributed to making PR less attractive to adaptive system designers, since most FPGA designers never deal with floorplans for static designs. An intelligent arrangement and allocation of PR regions can result in reduced area and hence allow designs to

fit on smaller devices. It is also important to note that the implementation tools cannot perform logic optimisation across the PRR boundaries, and hence, their locations are important in achieving timing closure. We present a technique that considers the runtime properties of PR to reduce reconfiguration time, by finding a placement that factors in the lowest level granularity of heterogeneous resources on modern FPGAs.

The work presented in this chapter has also been discussed in:

- K. Vipin and S. A. Fahmy, Architecture-Aware Reconfiguration-Centric Floorplanning for Partial Reconfiguration, in *Proceedings of International Symposium on Applied Reconfigurable Computing (ARC)*, Hong Kong, 2012, pp. 13–25 [109].

## 5.2 Related Work

Although a number of approaches to FPGA floorplanning have been published, work related to floorplanning for PR is less abundant. Traditionally, FPGA floorplanning is considered as a fixed-outline floorplanning problem, as introduced in [110] and further extended in [111]. The authors present a resource-aware fixed-outline simulated-annealing and constrained floorplanning technique. Their formulation can be applied to heterogeneous FPGAs but the resulting floorplan may contain irregular shapes, which are not allowed in current PR flows. Another interesting study is presented in [112], which presents an algorithm called “Less Flexible First (LFF)”. In order to perform placement, the authors define the flexibility of the placement space as well as the modules to be placed. A cost function is derived in terms of flexibility and a greedy algorithm is used to place modules. The generated floorplan has only rectangular shapes, but the approach only works with older-generation FPGAs and is unsuitable for recent families due to their heterogeneous resource layout.

The approach in [113] is based on slicing trees, and can ensure that the floorplan contains only rectangular shapes. Here, the authors assume that the entire FPGA fabric is composed of a repeating basic tile, which contains all types of FPGA resources including Configurable Logic Blocks (CLBs), Block RAMs and DSP slices. Although this assumption is valid for older-generation FPGAs, such as the Xilinx Spartan-3, more recent FPGAs such as the Xilinx Virtex-6 family, do not have such a repeated tile architecture.

Yuh et al. published two methods for performing floorplanning for PR. One method is based on using a T-tree formulation [114] and the other is based on a 3D-sub-Transitive Closure Graph (3D-subTCG) [115]. T-trees are tree based data structures, which represent the spatial and temporal relations among tasks. Using T-trees, each reconfigurable operation is represented as a 3D-box, with its width and depth representing the physical dimensions and its height being the execution time required for the operation. Here the reconfiguration operations are at a task level rather than functional level and the authors consider older-generation Virtex FPGAs, which require columnar reconfiguration.

In [101], the authors present a reconfiguration-aware “floorplacer”. Their algorithm is based on the more recent Virtex-5 FPGA architecture. The algorithm initially divides a design into reconfiguration regions based on the minimisation of temporal variance of resource requirements. Then, the floorplacer tries to minimise area slack using simulated-annealing. In [116], a floorplanning method based on sequence pairs is presented. In this work, authors have shown how sequence pairs can be used to represent multiple designs together. An objective function tries to maximise the common areas between designs and simulated-annealing is used for optimisation. Although simulated-annealing-based floorplanners have been developed, for soft modules, which are common in PR designs, the results are not satisfactory [117].

Since the work in this chapter was completed, a recent paper proposes the use of mixed-integer linear programming to optimally solve the PR floorplanning problem [118]. Although this technique can provide improved results, a solution takes

several hours for reasonably sized problems and the search space increases exponentially with the number of regions. To reduce exploration time, they propose that the designer provide an initial solution, which can then be refined using heuristics. This, however, requires manual floorplanning on behalf of the designer and the final result depends on this initial input.

Most existing work we have found focuses on the static properties of a particular placement. Hence, the placement is not optimised for the dynamic behaviour of a partially reconfigurable system. Other work relies on floorplanning for PR-based region sharing of fixed task-graphs, only optimising for a fixed sequence of configurations. We present an approach that optimises the runtime properties by finding a placement that results in the lowest possible reconfiguration time, considering the lowest level granularity of heterogeneous resources on modern FPGAs, for designs where the adaptation is at a functional level and hence unpredictable.

### 5.3 Contributions

In this section we propose a novel algorithm, which can help system engineers adopt PR without the need for manual floorplanning. Our floorplanner can be integrated with our partitioning methods and the existing FPGA vendor tool chain. In our method, we consider the runtime overheads associated with PR as well as the characteristics of target FPGA devices. We are interested in recent FPGA families such as the Xilinx Virtex-5, Virtex-6 and 7-series FPGAs, which are highly heterogeneous in nature and have an irregular arrangement of Block RAM and DSP columns. For PR applications, we are typically concerned with reducing reconfiguration time and area. Cost functions are used that take into account several factors such as resource wastage, wirelength and reconfiguration time. The main contributions are:

1. A detailed analysis and presentation of factors that affect the efficiency of floorplans for PR designs.

2. A novel method for floorplanning on modern heterogeneous FPGA architectures, that improves PR design characteristics.
3. A comparison of the proposed floorplanning efficiency with existing approaches.

## 5.4 PR Floorplanning Considerations

In order to unburden the designer from manual floorplanning, an automated PR flow must take care of floorplanning. In this section, we develop a device model and explore the factors to be considered in designing an efficient floorplanner for PR. The limitations of several existing methods will be also explained.

Similar to the partitioning problem, it is possible to find an optimal floorplan for a given set of PRRs and their connectivity using analytical methods. But the equations required to solve the problem are complicated and require a large number of variables to account for all the restrictions imposed by the implementation tools and the architecture details of heterogeneous FPGAs. Different constraints would be required for each different target device. The solution exploration space also grows exponentially with the number of regions. Hence we adopt a heuristic method which considers the architecture of heterogeneous FPGAs as well as the restrictions imposed by PR implementation tools.

### 5.4.1 Architecture Considerations

For efficient floorplanning, the tool should be aware of the FPGA architecture and special requirements arising due to PR. The details of the target Virtex FPGA architecture have been discussed in Section 3.1.2. To summarise, columns of different resource types are distributed horizontally, with the device an integer number of rows high. One row by one column is a tile of a specific resource type, and this is the finest granularity that can be reconfigured without extra complexity.

Partial reconfiguration is performed by modifying the configuration memory portions corresponding to the PR regions. Any modification to a region requires full reconfiguration of the corresponding region. Reconfigurable regions should be considered in terms of tiles since configuration must occur on a per tile basis. To use regions with incomplete tile boundaries, extra circuitry is required to read, modify, and write configuration information, resulting in increased area and latency. Reconfigurable regions must always be rectangular in shape. Since each tile is one device row high, the height of reconfigurable regions is an integer multiple of device rows. The size of the bitstream, and hence the reconfiguration time of a region, is directly proportional to the total area of the region, irrespective of how many resources in the region are actually utilised.

In addition to other restrictions, 7-series FPGAs and hence Zynq SoCs have an additional restriction of PRRs not dividing the *interconnect tiles*. In 7-series FPGAs, internal switch boxes control routing to two adjacent columns, and these are interconnect tiles. Columns connected with the same switch boxes should be within the same PRR. Due to this restriction the first CLB column of these devices can not be included in a PRR since this column shares switch boxes with the I/O column, and often, an additional CLB column is required in the PRR to avoid intersecting the switch boxes.

### 5.4.2 Required Reconfigurable Area

A reconfigurable region implements different functional instances at various points in time, and its area must be sufficient to accommodate the required configurations. The required area ( $A_r$ ), in frames, for a PR region is the net area required for implementing all the module modes assigned to it. This area is calculated by taking the maximal resource requirement for each resource type, considering the tile thresholds. Multiplying this by the number of reconfiguration frames for each tile type, gives an area measurement in terms of frames. Note that there is some

overhead in this resource requirement due to it being based on whole tiles.

$$A_r = \sum_i W_i * N_i, \quad i \in CLB, DSP, BlockRAM. \quad (5.1)$$

where  $W_j$  is the number of *frames* per type of tile  $i$  and  $N_i$  is the number of tiles of type  $i$  needed.

### 5.4.3 Actual Reconfigurable Area

When a design is placed, the actual area may differ from the initial requirement due to the rectangular shape requirement for PR regions or the disparate arrangement of resources on the FPGA fabric. Mathematically, the actual area ( $A_a$ ) of a region is calculated as

$$A_a = \sum_i W_i * M_i, \quad i \in CLB, DSP, BlockRAM. \quad (5.2)$$

where  $W_i$  is the number of *frames* per tile of type  $i$  and  $M_i$  is the number of tiles of type  $i$  covered by the region. The result is the number of frames used to configure the placed region.

### 5.4.4 Resource Wastage

The resource wastage for a particular placement of a reconfigurable region ( $A_w$ ) is the difference between the actual area and the required area of that region, in frames. The total resource wastage of a full floorplan ( $A_{tw}$ ) is the sum of resource wastage among all the regions.

$$A_w = A_a - A_r. \quad (5.3)$$

$$A_{tw} = \sum_r A_w. \quad (5.4)$$

The floorplanner should try to minimise the total resource wastage in order to minimise reconfiguration time and maximise the resources available for implementing static logic.

### 5.4.5 Wirelength

Total wirelength is an important parameter in determining the effectiveness of floorplanning. Here we consider the Manhattan distance between regions and the total wirelength between two regions is calculated as the product of the Manhattan distance between them and the number of wires connecting them. Static floorplanning papers have often considered total Half Perimeter Wire Length (HPWL) as the minimisation objective. Practically, HPWL has very little impact in FPGA floorplanning. In ASIC floorplanning, HPWL gives a figure of compactness of cells and hence the best timing achievable, but in FPGAs, where all resources as well as routing between them are fixed, HPWL does not give an accurate measure of timing performance. Manhattan distance is a better metric for calculating total wirelength for PR designs as the regions are rectangular in shape and the FPGA routing resources are distributed in rows and columns.

### 5.4.6 Static Logic

Static logic is the area of the FPGA with fixed functionality, typically containing the logic that controls reconfiguration, along with low-level bitstream management. I/O pins are always assigned to the static region, since assigning I/O pins to reconfigurable regions may cause undesirable switching during reconfiguration. There is no restriction on the shape of static logic. To make optimal use of resources, and achieve timing closure, it is better not to restrict the shape of static logic or allocate a special location for it. The *reconfigurable* regions should be floorplanned in such a way that the area available for the implementation of static logic is maximised.



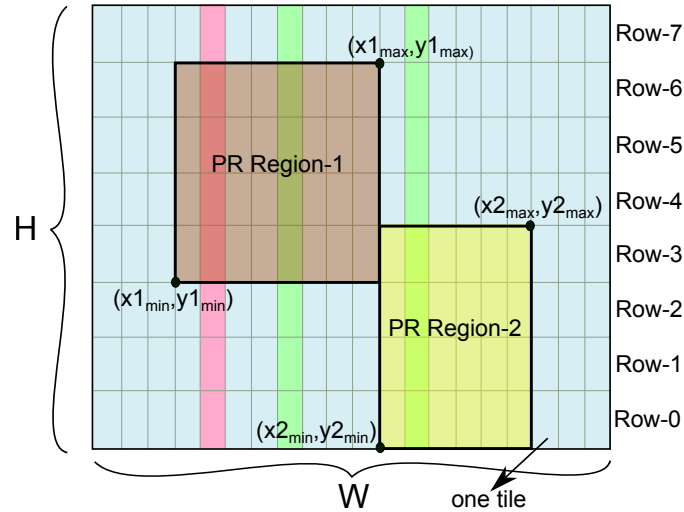


FIGURE 5.1: Target FPGA architecture with two PRRs showing their corresponding coordinates.

## 5.5 Proposed Floorplanner

The input to our proposed floorplanner is the partition information of reconfigurable regions and their connectivity information, obtained from the partitioning step, described in Chapter 4. A connectivity matrix is used, each element  $(i, j)$  of which, represents the number of nets between region  $i$  and region  $j$ . The output of the floorplanner is a set of area constraints, which specify the coordinates of the bottom left and top right corners of each region. These constraints are used to generating the *user constraints file*, which is then used by the vendor place and route tools to generate the final configuration bitstreams. The floorplanning problem can be formulated as follows:

Given:

- $M$  regions with resource requirement 3-tuple,  $(n_{CLB}, n_{BR}$  and  $n_{DSP})$  for each region,
- an FPGA of width  $W$  and height  $H$  and fixed column distribution,
- with  $N_{CLB}$ ,  $N_{BR}$  and  $N_{DSP}$  resources available,
- and  $R$  device rows,

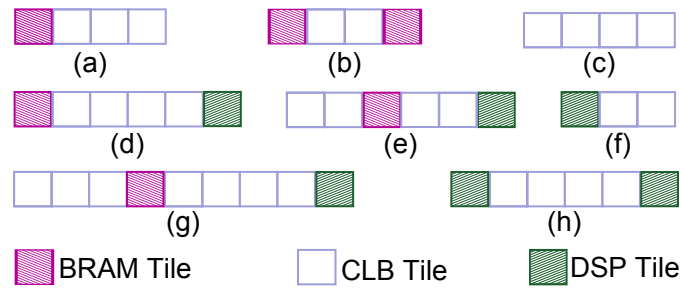


FIGURE 5.2: Different kernels formed by the combinations of basic tiles.

partition the FPGA into  $M$  rectangles, so that:

- each region can be mapped into a rectangle, which contains sufficient resources,
- each rectangle's height is an integer multiple of device rows,
- no rectangles overlap,
- while minimising the cost function.

The outputs are the  $(x_{min}, y_{min})$  and  $(x_{max}, y_{max})$  coordinates of each rectangle so that  $0 \leq x_{min} \leq x_{max} \leq W$  and  $0 \leq y_{min} \leq y_{max} \leq H$  as shown in Fig. 5.1.

### 5.5.1 Columnar Kernel Tessellation

Mapping an area directly using FPGA primitives is not practical, due to a number of factors such as the large search space, limited number of available primitives in the FPGA, fixed primitive locations and rectangular shape region constraint. Hence we propose a new method called Columnar Kernel Tessellation. A kernel is a structure one device row high, containing FPGA primitives, which can be repeated in the vertical direction to satisfy a region's resource requirements. Fig. 5.2 shows a set of possible kernels for a Virtex FPGA. The availability of kernels for floorplanning a region changes based on the floorplanning of previous regions. The smallest kernel is a single tile. Each tile can be clustered with nearby tiles to form new kernels.

The first step of floorplanning is to calculate the resource usage of each region in terms of reconfigurable tiles. For this purpose, the input resource utilisation values are divided by the corresponding number of resources available in a tile. This may result in some overhead if the resources needed do not use a whole number of tiles. For example in Virtex-5 FPGAs, the required number of CLBs will be divided by 20, DSPs by 8, and Block RAMs by 4 and in Virtex-6 FPGAs CLBs by 40, and DSPs and Block RAMs by 8. Our floorplanner maintains a database of FPGA architectures that contains information about the resource type of each device column. The different types of columns are mapped to a single co-ordinate system for better management. Each tile in the FPGA is encoded using a data-structure with information including location, resource type, used or not, and availability. Once a tile has been used to floorplan a region, its *use* field is set to true. The tiles belonging to the locations of hard processors and transceivers are set to be unavailable. To generate kernels, the resource column information from the database is utilised. For each DSP column, the nearest Block RAM column location is calculated. The nearest tiles of DSP and Block RAM along with the tiles between them are merged to create kernels. These kernels are merged again and larger kernels are created. When kernels are merged, the CLB tiles in between them are also included in the resulting kernel. All kernels are one device row high.

Regions to be floorplanned are initially sorted in descending order based on resource requirements, to create a floorplanning schedule. The resource requirements give a measure of fitting *difficulty* for each region; starting with the most resource-intensive regions generally improves final results. Regions are selected based on the following ordered criteria:

1. Require both DSP as well as Block RAM tiles,
2. Require DSP and CLB tiles,
3. Require Block RAM and CLB tiles,
4. Require CLBs tiles only.

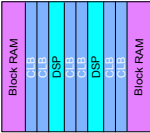
Kernel	#DSP tiles	#BR tiles	#CLB tiles	#Frames	#Bytes
	1	1	2	28 + 30 + 36 = 94	94 * 164 = 15416
	2	2	6	2*28 + 2*30 + 6*36 = 332	332 * 164 = 54448

FIGURE 5.3: Example calculation of the size of kernel for a Virtex-5 FPGA.

This classification is based on the fact that DSP tiles are the least available and hence the most precious FPGA resource. Block RAM tiles are weighted next and CLB tiles are the most abundant resource available, and so given the least weighting. Regions belonging to each group are sorted in descending order of DSP, Block RAM and CLB tiles required. Regions are selected from the scheduling list in sequential order and floorplanned.

Starting with regions that require both DSP tiles and Block RAM tiles, the floorplanner selects a kernel that contains both these resources. From the set of available kernels, the kernel with smallest size is chosen and used for packing. Example calculation of kernel size is shown in Fig. 5.3. Kernels are repeated in a columnar direction to meet the region's resource requirements. The minimum number of kernels required for packing is equal to the number of DSP tiles required divided by the number of DSP tiles in the kernel. The maximum number of kernels that can be used to satisfy the DSP resource requirement is equal to the number of device rows, i.e. the full device height. If the arrangement of a kernel cannot meet the required number of DSP tiles, that kernel is discarded and the kernel with next lowest resource requirement is selected and used for packing.

Once the DSP-BR kernels are packed, the remaining BR and CLB resources required for that region are calculated. If more Block RAMs are needed, the nearest Block RAM column is selected from the database and used. Preference is given to columns towards the right and left edges of the FPGA in order to maximise free space available towards the centre of the FPGA. If more CLB tiles need to be allocated, CLB columns towards the device edge are selected and allocated. Once

the allocation is performed, the tiles which are used are marked in the database as *used*.

Now the regions which use only DSP and CLB tiles are packed. For this purpose, the kernels considered contain only DSP tiles and CLB tiles. The minimum number of kernels required for packing will be equal to the number of DSP tiles required divided by the number of DSP tiles in the kernel. Tiles which are not marked as *used* or *not available* are used to generate the new set of kernels. This same process is followed once more for regions containing Block RAMs. Finally, regions containing only CLB tiles are planned.

The inherent rectangular shape of kernels and the columnar repetition guarantees that the allocated area for each region will be of rectangular shape and region height will be an integer multiple of device rows. The floorplanner follows a divide and conquer method. The packing of each region reduces the search space for implementing subsequent regions as well as the number of kernels available. The algorithm runs a number of times, each time starting with a different kernel for packing. The number of iterations can be specified or the search can be stopped when a required cost objective is met. At the end of each complete packing, a cost function is evaluated for the floorplan. The cost is defined as:

$$CF = \alpha * A_{tw} + \beta * WL. \quad (5.5)$$

where  $A_{tw}$  is the total resource wastage and WL is the total wirelength between regions.  $\alpha$  and  $\beta$  are weight factors with  $\alpha > \beta$ . For designs where reconfiguration time is highly critical compared to speed of operation, the value of  $\beta$  can be set to zero and for applications where system operating frequency (maximum operating frequency) is critical rather than reconfiguration time  $\alpha$  can be set to zero. For other applications, the value of  $\alpha$  and  $\beta$  are weighted accordingly.

At the end of each complete floorplan generation, a post processing step is performed, in which the regions are moved along the columnar direction towards the middle of the device. If this movement improves wirelength, the movement is accepted otherwise it is rejected. Also, regions that occupy the same columns are

swapped and wirelength is recalculated. This move is also accepted only if it improves wirelength. This is possible due to the fact that the resources are arranged in columnar fashion in the FPGA, and moving a region along its columns does not affect resource availability, provided there are no unavailable tiles in the direction of movement.

## 5.6 Case Study

The proposed floorplanner was implemented in Python [106]. A direct comparison of our method with existing methods is not possible due to the non-availability of other floorplanning tools and uniform benchmark circuits. Hence, we use a reported case study, taken from [101], and compared the results using our method. The system implemented consists of a CAN controller, Floating Point Unit (FPU), FIR filter, CRC controller and an Ethernet controller, housed in two reconfigurable regions. The CAN controller, FPU and CRC are implemented in reconfigurable region 1 (RR 1) and FIR filter and Ethernet controller are implemented in region 2 (RR2), as per [101]. The design is implemented on a Virtex-5 LX30T device. Region 1 requires 24% of the available CLBs and 5 Block RAMs and region 2 requires 13% of the CLBs. The static region requires 61% of CLBs and 40 Block RAMs. The resulting floorplan reported in the paper is shown in Fig. 5.4(a). It is clear that although region 2 does not require Block RAMs or DSPs, the resulting floorplan includes these resources. This leads to increased region size, higher reconfiguration time and increased bitstream storage requirement. Furthermore, these resources cannot be used elsewhere in the design. This floorplan uses a total of 1766 frames.

A floorplan determined by our method is shown in Fig. 5.4(b). Region 2 is floorplanned in such a way that no DSP slices and Block RAMs are used. Hence our method uses 58 fewer frames and reserves more resources for static logic implementation. The smaller size of the region also contributes to 18.4 KB ( $9.2 \times 2$  since

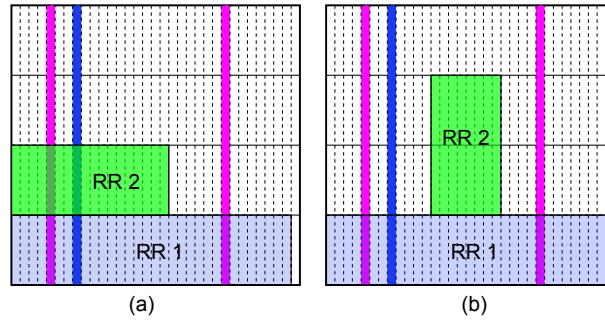


FIGURE 5.4: (a) Resulting floorplan from [101], (b) Resulting floorplan from our method.

there are two partial bitstreams for that region) less bitstream storage requirement and a corresponding improvement in reconfiguration time.

For a more complex investigation, we could find no existing work to compare to, nor standard tools to use, so we floorplanned an in-house design using our proposed method and compared it to an ad-hoc floorplan based on previous experience with some optimisation effort. The selected design is a software defined radio (SDR) targeted for Xilinx Virtex-5 FX70T FPGA. The SDR chain consists of a matched filter, carrier recovery, demodulator, signal decoder and video decoder. Each module has a number of *modes* with different resource requirements. We assume each module is assigned to a single region, and hence the resource requirements of each region are the requirements of the largest *mode* of the module assigned to it. Here, all modules are connected in sequential order with a 64 bit wide bus. The static logic contains a PowerPC-440 embedded processor, external memory interface and an ICAP controller. The different regions and associated resource requirements are given in Table 5.1. The *rq'd* field indicates the exact number of resources required, the *tiles* field indicates the required number of tiles needed to satisfy the resource requirement and the *waste* field indicates the resources wasted due to rounding the resources to tiles. The total number of frames wasted due to the tiling operation is roughly 115 frames.

The ad-hoc floorplanning is done as per Xilinx PR floorplanning guidelines, with the help of the PlanAhead software. Modules are selected one by one in the order they appear in the processing chain and placed using the PlanAhead GUI. Rectangular regions are chosen with the help of the software, which shows the

Region	CLBs			BRs			DSPs			#Frms
	Rq'd	Tiles	Wst	Rq'd	Tiles	Wst	Rq'd	Tiles	Wst	
Matched Filt.	500	25	0	0	0	0	34	5	6	1040
Carrier Rec.	123	7	17	0	0	0	8	1	0	280
Demodulator	97	5	3	8	2	0	0	0	0	240
Decoder	234	12	6	2	1	2	0	0	0	462
Video Dec.	1100	55	0	6	2	2	34	5	6	2180
Total	2054	104	26	16	5	4	76	11	12	4202

TABLE 5.1: Resource utilisation for reconfigurable regions.

amount of resources present in the selected region. Modules were placed as close to each other as possible for better timing performance.

For our automated tool, the order for floorplanning regions is the video decoder first, followed by the matched filter, carrier recovery, demodulator, and finally the decoder. The result of the ad-hoc floorplanning and 5 of the best floorplans using our method are given in Table 5.2. The floorplanner was able to find the solution in under a minute.

There is no fixed relationship between resource wastage and wirelength, owing to the rectangular shape requirement of the reconfigurable regions as well as the

Plan No.	Wastage, $A_{tw}$ (frames)	Wirelength, $WL$ (Normalised)
Adhoc	956	7420
Plan1	466	8640
Plan2	486	9056
Plan3	592	11776
Plan4	516	7392
Plan5	556	9120
Plan6	530	16736
Plan7	584	9056
Plan8	536	7840

TABLE 5.2: Resource wastage and total wirelength for different floorplans.



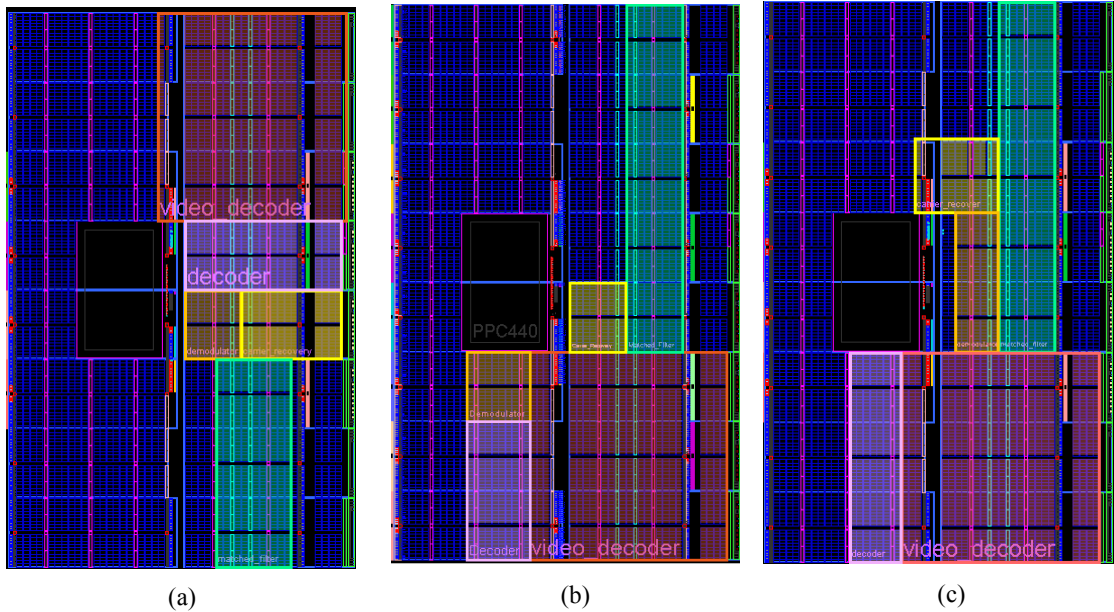


FIGURE 5.5: Floorplans using (a) An ad-hoc approach, (b) proposed method with minimum resource wastage, (c) with minimum wirelength.

disparate arrangement of resources. The ad-hoc plan, the plan which produces minimum resource wastage (Plan1) and the plan which gives minimum wirelength (Plan4) are shown in Fig. 5.5. When the value of  $\alpha$  is set to zero in the cost function, Plan 4 is preferred, and when  $\beta$  is set to zero, Plan 1 is preferred. We can see that the proposed floorplanner performs well on area: all the floorplans have lower resource wastage from 38% to 51% less than the ad-hoc approach, which corresponds to a decrease in reconfiguration from 7% to 9.5% compared to the ad-hoc plan. Since the modules of the regions are in a continuous chain, the ad-hoc method is able to achieve good total wirelength. In a typical non-PR FPGA design, the ad-hoc floorplan is acceptable, since all the resource requirements are satisfied and wirelength is minimised. But for PR designs, the resource wastage creates a considerable overhead in terms of reconfiguration time. Moreover, storing 956 configuration frames requires 153 KB extra storage memory for each system configuration. If the floorplans were determined using HPWL instead of our proposed method, Plan 3 would be selected, and reconfiguration time increases by 21%, while wirelength between modules increases by 15% compared to Plan 1. Other floorplans are shown in Fig. 5.6.

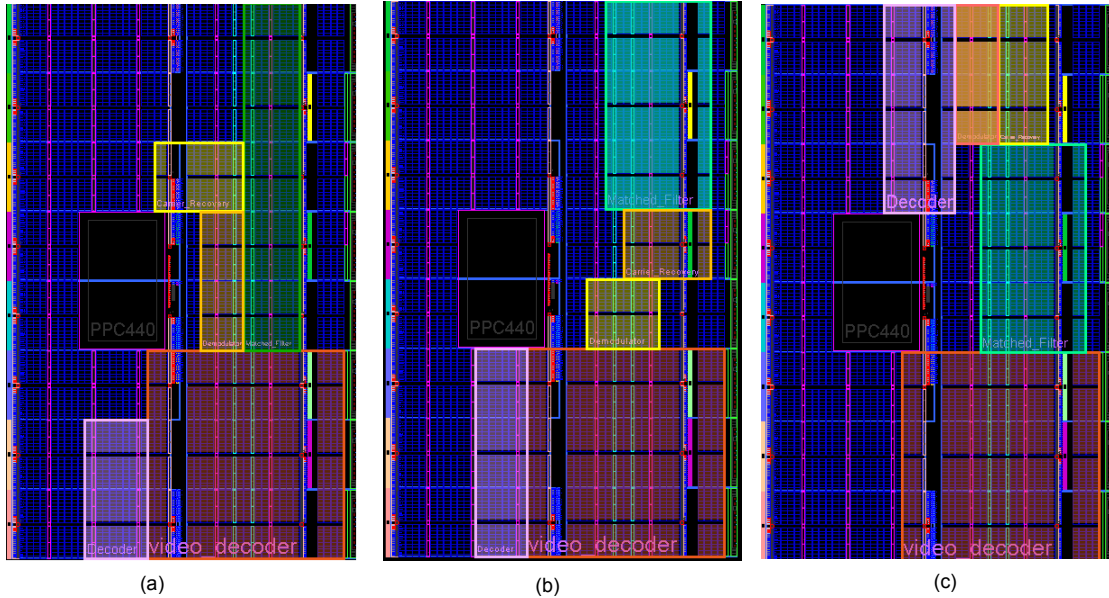


FIGURE 5.6: Suboptimal Floorplans (a) Plan-2, (b) Plan-3, (c) Plan-5.

These results demonstrate the advantage of considering the required implementation metrics in floorplanning. While static designs only require the floorplan to fit and achieve timing, a PR design’s reconfiguration time is also affected by the floorplan. Our approach ensures this is factored into the floorplanning process, and results in savings as shown.

## 5.7 A More Recent Contribution

More recently, researchers have proposed the use of mixed integer linear programming [118]. Their target FPGA model and the optimisation techniques are very similar to our proposed floorplanner. The ILP formulation is similar to the approach we adopted for partitioning discussed in Section 4.5.2. In their case study, the authors compare our floorplanning results for the SDR with the proposed MILP technique and find that the optimal wirelength achieved is the same as ours with a slight improvement in area savings. As discussed previously, the main limitation of their ILP based solution is the long run-time and the generation of equations corresponding to the problem. For example to floorplan 10 regions, the MILP based technique takes about 1913 seconds and requires tens of equations,

which are specific to the problem. Our proposed heuristic technique is able to find a solution in a few seconds without any manual intervention from the designer.

## 5.8 Summary

In this chapter we introduced a novel method for PR design floorplaning, that is fully compatible with the recent vendor-supported PR tool-flows. The heterogeneous and irregular architecture of modern FPGA families is considered, and floorplanning cost functions tailored for PR are introduced. It is worth noting that this floorplanning method is also compatible with older generations of FPGAs such as Virtex-2, where frames extended the whole height of the device, instead of a single device row. Our study proves that it is possible to optimise the area requirement considering the tile constraint. We have also found that a significant area overhead can result from the tiling and rectangular area requirements of reconfigurable regions. Hence, considering tiling at the partitioning stage, prior to floorplanning may yield more efficient designs.

# Chapter 6

## Reconfiguration Controllers for Adaptive Systems

### 6.1 Introduction

In Chapters 4 and 5 we discussed design-time optimisations to reduce resource utilisation and reconfiguration time for PR-based adaptive systems. Run-time management, involving both the low-level hardware-dependent *reconfiguration control* and the high-level software-based *reconfiguration management*, also plays an important role in overall system performance. For an adaptive system, reconfiguration time is the time taken to switch from one configuration to another. For a PR-based implementation, this corresponds to reconfiguring one or more regions with specific partial bitstreams. During this time, for a dataflow system, it cannot process data or may need to buffer it. Hence, reconfiguration time must be minimised. As discussed in Chapter 2, PR does entail a longer reconfiguration time than simply spatially multiplexing modules, since a bitstream must be loaded.

For a PR-based system, reconfiguration control and reconfiguration management can be tightly or loosely coupled. In a tightly-coupled architecture, both reconfiguration control and management are implemented on the same physical FPGA and in a loosely-coupled architecture, the reconfiguration management is implemented

externally. For a tightly-coupled architecture, either an embedded processor in the FPGA (such as the ARM processor in the Zynq) or a soft-processor (such as the Microblaze) can be used to manage reconfiguration. For a loosely-coupled architecture, an external processor interfaced with the FPGA, directly or through standard communication interfaces such as UART or PCIe, manages reconfiguration.

Vendor-provided reconfiguration controllers give very low reconfiguration speed although the physical reconfiguration interface is capable of achieving much higher performance. When software based reconfiguration management is implemented, vendor-supported approaches burden processors with managing low-level reconfiguration operations, adversely affecting reconfiguration time, and possibly making it hard to use the processor for any other task. Much published work assumes a dedicated processor for managing the PR process. However, considering processors are now an important part of many hardware systems, and the emergence of architectures like the Xilinx Zynq, managing PR is now just one of the embedded processor's many tasks, and hence this must be considered carefully to ensure reconfiguration does not impact other important tasks.

In this chapter, we discuss the design of low-level reconfiguration controllers for PR based adaptive systems. We aim to maximise reconfiguration throughput, thus minimising reconfiguration time. At the same time, these controllers provide the necessary infrastructure to enable high-level reconfiguration management, as discussed in Chapter 7. Existing published work uses ad-hoc software that references bitstreams and memory locations explicitly to control PR. We decouple hardware-dependent reconfiguration functions from overall adaptation management, allowing design to be easier for non-experts and system implementation to be more portable.

The work presented in this chapter has also been discussed in:

- K. Vipin and S. A. Fahmy, *A High Speed Open Source Controller for FPGA Partial Reconfiguration*, in Proceedings of the International Conference on

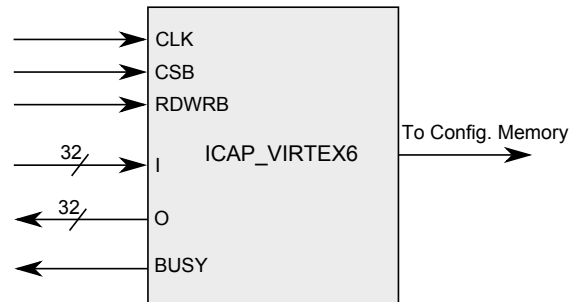


FIGURE 6.1: Xilinx ICAP Primitive showing interface signals.

Field Programmable Technology (FPT), Seoul, Korea, December 2012, pp. 61-66 [107].

- K. Vipin and S. A. Fahmy, *ZyCAP: Efficient Partial Reconfiguration Management on the Xilinx Zynq*, to appear in IEEE Embedded System Letters (ESL), vol. 6, 2014 [119].

## 6.2 Background

The hard-macro in traditional Xilinx FPGAs that serves the purpose of writing to the configuration memory is the Internal Configuration Access Port (ICAP) as depicted in Fig. 6.1. The ICAP works the same way as the SelectMAP external configuration interface but has separate read/write buses [120]. The ICAP data interface can be set to one of three data widths: 8, 16, or 32 bits. The CSB signal is the active low interface select signal. The RDWRB signal is the *read/write* select signal. For a write operation this signal is held low and for read it is set high, while keeping the CSB signal low. The BUSY signal is valid only for read operations and remains low for write operations. Bitstream data should be byte reversed before being sent to the ICAP. The maximum recommended frequency of operation for the ICAP is 100 MHz.

The low-level hardware module, which is responsible for delivering bitstreams to the ICAP macro in the required format, is called a *reconfiguration controller*. Maximising ICAP throughput has a significant effect on minimising configuration time. The fundamental limiting factor that impacts reconfiguration time is the speed of

writing data to the configuration memory. Vendor-provided reconfiguration controller IP cores generally have very low throughput. The reconfiguration controller may have to fetch the bitstream from external non-volatile memory such as Flash or high-speed volatile memory such as DRAM.

Reconfiguration management involves deciding when and which specific partial bitstreams are required to changing the system's configuration. It also includes passing the required information regarding the partial bitstreams (such as bitstream size, location etc.) to the reconfiguration controller. To enable better flexibility, this is usually implemented in software. For systems that do not implement a processor in the FPGA, an external processor is required to manage this operation. The major bottleneck for these systems is the communication overhead between the control and data planes due to their loosely-coupled architecture. In Chapter 8, we discuss the communication and reconfiguration management in such systems in detail, with reference to a PR testbed framework.

In traditional FPGA based adaptive systems, reconfiguration management can also be implemented purely in hardware. Such systems implement simple reconfiguration decision making based on predefined operating conditions such as temperature or signal-to-noise ratio. The number of configurations supported by such a system will be limited since the partial bitstreams are pre-fetched to on-board volatile memory. Overall reconfiguration management might be implemented using a state machine, that encodes changes in system operating conditions.

### 6.3 Related Work

Traditionally, the reconfiguration operation is controlled by a processor, through a vendor-provided ICAP controller such as the OPBHWICAP or XPSHWICAP, connected as a slave device to the processor bus [121, 14]. Using these vendor-provided controllers gives low throughput in the region of 4.6-10.1 MB/s [122, 104]. The ICAP hard macro itself, however, supports speeds of up to 400 MB/s (32 bits at 100 MHz).

In [123], the authors propose connecting the ICAP controller to the fast simplex link (FSL) bus of a Microblaze soft processor. The drawback is that the processor becomes consumed with the task of requesting configuration data from external memory and sending it over the FSL bus. The resulting throughput of under 30 MB/s remains well below the theoretical limit of the ICAP.

Using DMA to transfer partial bitstreams from external memory has previously been shown to be effective in increasing throughput [124], but there, the authors do not discuss how bitstreams are initially stored in the external memory. Elsewhere, some have tried to achieve better performance by over-clocking the ICAP primitive [125]. Since the maximum frequency at which the controller can operate depends upon manufacturing variability and specific placement and routing, this would need to be determined on a device-by-device basis, which is cumbersome.

Other work on optimised ICAP controllers has often made unrealistic assumptions, such as the complete configuration bitstream being stored in FPGA Block RAMs [104]. This is not practical, as FPGAs have limited memory that is often insufficient for even a small number of bitstreams, and these memories are often required for system implementation. We have found no work that abstracts the details of bitstreams to make higher level reconfiguration management less implementation dependent.

## 6.4 Contributions

In this chapter we present two reconfiguration controllers, one which can be used in loosely coupled reconfigurable systems, and the other in a tightly coupled PR management environment. The second implementation, called ZyCAP, is particularly targeted at the Zynq hybrid FPGA platforms, although it can be tailored to any AXI based processor system. Both controllers enable the loading of bitstreams from external memory at speeds very close to the theoretical limit of the ICAP primitive, while consuming minimal area. We further enhance the controller's capabilities with features that assist in the implementation of adaptive systems that



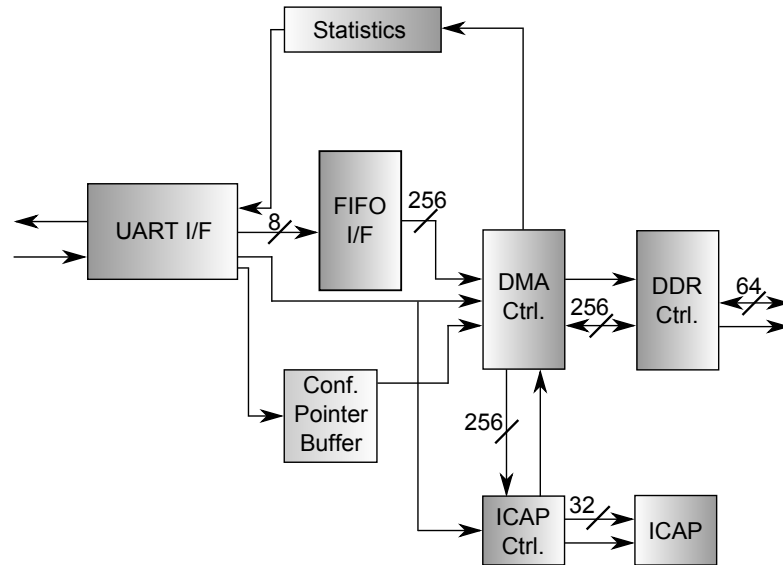


FIGURE 6.2: Custom ICAP controller system architecture showing different functional blocks and connectivity.

use PR. We compare our work with previous implementations, and show that it is both faster, and more compact. We also introduce the software driver for ZyCAP for easy management of PR from a software perspective enabling easy integration of PR in the standard software flow.

## 6.5 Custom ICAP Controller for Loosely-Coupled Systems

In this section we discuss our custom ICAP controller for traditional Xilinx FPGAs belonging to the Virtex family. Here the reconfiguration management can be implemented using an external controller or purely in hardware. We describe the architecture of the proposed reconfiguration controller.

The overall system architecture for our controller is shown in Fig. 6.2. A preparation phase precedes the system being fully functional. In this phase, all required partial bitstreams are fetched from outside the system, and stored in on-board memory. These bitstreams may originate from a file system on some non-volatile storage, or alternatively be sent from a host PC. In the latter case, we can use a simple interface such as UART, as this preparation phase is not time-critical. The

bitstreams are read in over this interface, then pushed through a DMA controller into the external memory, while storing their location labels in a Configuration Pointer Buffer for later use. Once preparation is complete, the system is operational and can now autonomously load partial bitstreams and reconfigure regions. This involves the transfer of partial bitstreams from the on-board memory to the ICAP controller using the DMA controller.

### 6.5.1 DDR Memory Controller

The DDR controller controls the external memory based on the commands from the DMA controller. This is a DDR3 controller, which controls a 64-bit wide external memory. This core is generated using Xilinx's memory interface generator (MIG) wizard [126]. The core's read and write data ports are 256 bits wide and run at 200 MHz.

### 6.5.2 DMA Controller

The DMA controller is an important component in this system, and is largely responsible for the high speed. This block performs a DMA write operation to store partial bitstreams in the external memory during the preparation phase, as well as reading the bitstreams from memory when reconfiguring regions in the runtime phase. Before storing a partial bitstream to memory, the DMA controller is armed with the DMA transfer length in bytes and the starting memory location at which to store the bitstream. This information is provided to the controller using two internal registers, which can be set by the external host. During the write operation, the DMA controller keeps track of the number of bytes present in the FIFO, and whenever sufficient data is present for a write operation (here, 64 bytes), it is transferred to the memory controller. During read operations, the DMA controller instructs the memory controller to generate memory read sequences, until the specified number of bytes are read. To achieve high throughput, the controller issues back-to-back read commands. For read operations, the address and

data length can be obtained either from a host system, or from the configuration pointer buffer. The DMA controller and the memory controller coordinate their operations using a producer-consumer model handshake.

### 6.5.3 FIFO Interface

Partial bitstreams are temporarily stored in a FIFO before being loaded into the DDR memory from the host system during the preparation phase. This interface serves two purposes: the host interface can be changed without affecting the remainder of the system, and data can be packed, allowing the host interface width to be different from the data width of the system memory interface. At present, UART data comes in one byte at a time and the system memory controller write width is 32 bytes; this difference is accommodated by the FIFO interface.

### 6.5.4 Configuration Pointer Buffer

This buffer stores the sizes of the partial bitstreams as well as their starting locations in the external memory. These parameters are stored automatically when the DMA controller transfers partial bitstreams to the external memory in the preparation phase. Each set of parameters has a reference number, which is their ordinal number of transfer from the host system. The advantage of using this buffer is that when the system is in the runtime phase, a reconfiguration manager can load partial bitstreams using just their pointer label, rather than it having to be aware of any further bitstream details. The DMA engine configurations as well as programming sequences are done automatically by the state machine controlling this buffer.

### 6.5.5 Statistics

The statistics block contains two hardware counters for system performance monitoring. The first counter measures the overall performance by measuring the number of clock cycles required for reconfiguration to complete after the command is

issued. The second counter measures the performance of the ICAP controller. The values present in these registers can be accessed from a host system through the UART interface, or used within the rest of the adaptive system. The presence of hardware counters provides precise system performance measures in comparison to inaccurate software counters, and can be used by the adaptive control for efficient system management.

Another parameter monitored by this block is the number of times each partial bitstream is used for reconfiguration. In an adaptive system scenario, this information gives an overview of the conditions in which the system is operating, since different partial bitstreams are used depending upon system conditions. This information could be used to further optimise PR design details, such as the partitioning, or improve performance through configuration prefetching [127].

### 6.5.6 UART

In our example implementation, a host PC implements the reconfiguration management. The PR system can interface with the external host using a serial interface. This RS-232 interface can be used to transfer partial bitstreams into external memory during the preparation phase and for issuing commands from the host PC at runtime. A simple serial interface has several advantages including the lack of a special driver being needed for communication. The commands available for host system control are listed in Table 6.1.

### 6.5.7 ICAP Controller

The detailed architecture of the ICAP controller is shown in Fig. 6.3. It consists of an asynchronous FIFO, clock manager, ICAP control state machine and the ICAP hardmacro. The asynchronous FIFO is used to temporarily store the partial bitstreams from external memory, before they are sent to the ICAP macro. The system is able to achieve high throughput due to the presence of the asynchronous FIFO that has different read and write clock frequencies. The depth of this FIFO

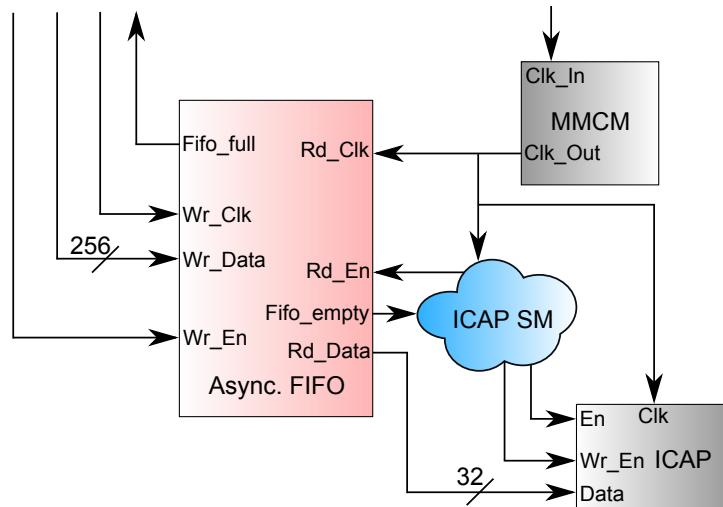


FIGURE 6.3: ICAP controller architecture.

can be configured to achieve better performance. Operation of read and write ports is properly managed using the *fifo\_full* and *fifo\_empty* signals, synchronised with the write and read clock domains, respectively. In our design, the write clock frequency is equal to the DDR controller clock frequency and the write data width is 256 bits. The write enable port is connected to the *ddr\_read\_data\_valid* signal. Whenever valid data emerges from the DDR memory, it is stored in this FIFO. The

Command	Action
SRST	Soft Reset: Reset all logic except the memory controller
SLEN	Set byte transfer length for DMA
SADR	Set the starting address for DMA
CMOD	Command mode: Disable DMA controller
DMOD	Data mode: Enable DMA controller
PICP	Start reconfiguration
CINT	Reconfiguration using the pointers from config. buffer
RST1	Read statistics register 1
RST2	Read statistics register 2
NCON	Read number of times the specified partial bitstream is used
SCFQ	Set ICAP clock frequency

TABLE 6.1: Supported Host Commands.

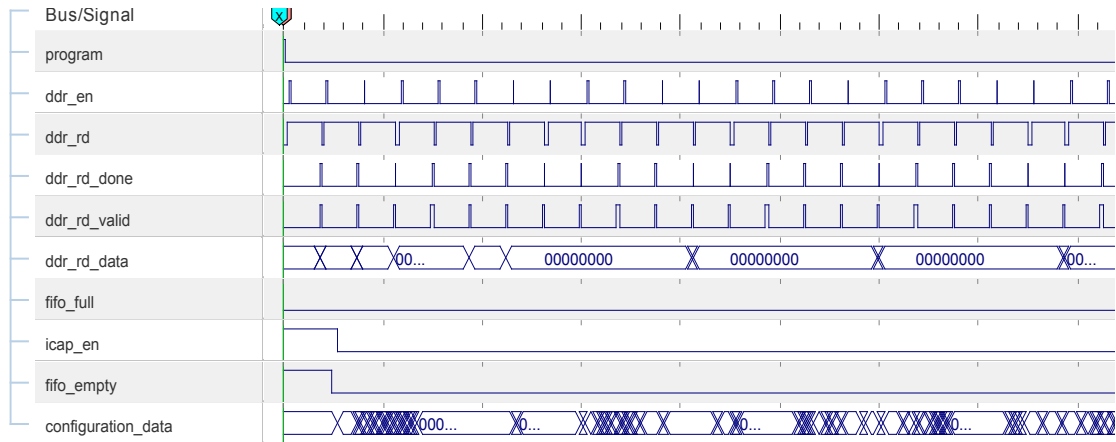


FIGURE 6.4: Chipscope capture of the custom ICAP controller operation.

read clock frequency of the FIFO is equal to the ICAP controller clock frequency, and the read width is set to the maximum allowable 32 bits for performance.

An MMCM (mixed-mode clock manager) is used to derive the required ICAP clock frequency from the on-board clock source. The MMCM output clock frequency is set to 100 MHz, which is the maximum frequency recommended by Xilinx.

Configuration bitstreams are loaded into the configuration memory using the ICAP hard-macro. During partial reconfiguration, we are only interested in writing into the configuration memory, so the  $read/\overline{write}$  port is permanently grounded. As we only support writing to the ICAP, the controller is more compact than many existing designs that include extra circuitry to allow reading of configuration data. The write operation to the ICAP is managed by a state machine that continuously senses the  $fifo\_empty$  signal of the asynchronous FIFO. Whenever the  $fifo\_empty$  signal is de-asserted, it indicates valid data is available in the FIFO. The state machine asserts the  $read\_enable$  signal of the FIFO and after one clock cycle, asserts the  $icap\_enable$  signal of the ICAP. When the  $empty$  signal becomes high, the  $read\_enable$  and  $icap\_enable$  signals are de-asserted. In order to minimise resource utilisation, no counters are implemented in the ICAP controller to track the number of bytes written to the configuration memory. Whenever there is data in the FIFO, it is written to the ICAP. The DMA controller must ensure that all required configuration bytes are read from the external memory.

The *fifo\_full* signal is used by the DMA controller, and is asserted when half the FIFO is filled. Whenever the DMA controller senses this signal is high, it stops issuing memory read commands. There can be outstanding memory read requests equal to up to half the FIFO depth made by the DMA controller. The programmable full signal ensures that no buffer overflow occurs.

Fig. 6.4 shows the detailed operation of the reconfiguration process. This is captured using the Xilinx Chipscope analyser. As soon as the reconfigure command is issued from the host system, the *program* signal is asserted for one clock cycle. Immediately, the DDR read commands are issued by the DMA controller. The *ddr\_read* and the *ddr\_rd\_done* are the handshaking signals between the DMA controller and memory controller. The *ddr\_rd\_valid* signal indicates valid data from the memory and is used as the write enable signal for the asynchronous FIFO. When data is written into the FIFO, the *fifo\_empty* signal gets de-asserted. Sensing this, the ICAP control state machine enables the ICAP controller by negating the *icap\_en* signal and configuration data is sent to the ICAP. It can be seen that the DDR read and the configuration process happen simultaneously after the initial memory read latency. This is the primary reason for the overall high throughput of our design.

### 6.5.8 Using the Dynamic Reconfiguration Port (DRP)

Researchers have tried over-clocking the ICAP to achieve higher performance. According to Xilinx, the maximum clock frequency at which ICAP is guaranteed to correctly operate is 100 MHz. It has been reported that the ICAP can run at up to 550 MHz [125]. However, this maximum clock frequency depends upon the device speed grade, manufacturing variability, and detailed custom placement and routing. A clock frequency which is suitable for one specific FPGA may not be suitable for another, even with the same speed grade, and Xilinx does not guarantee proper ICAP operation above 100 MHz. Clock generating circuits cannot be modified using PR, since Xilinx requires that all the clock modifying components such as phase locked loops (PLLs) and digital clock managers (DCMs)

reside in the static region. In order to overcome this issue, we make use of a feature available in the Virtex-6 MMCM known as the dynamic reconfiguration port (DRP).

The DRP makes it possible to configure the output frequency of the MMCM at runtime. This is achieved by modifying the internal registers of the MMCM using the DRP. Hence, we start operation of our controller at 100 MHz. Subsequently, the operating frequency is increased, until the reconfiguration process fails. The operating frequency is then fixed at just below the failing frequency.

In our initial implementation the clock frequency must be manually tuned by issuing commands from the host system. We have enhanced this feature in a subsequent implementation, with the operating frequency dynamically changed based on the output of an on-chip temperature sensor. When the chip core temperature is below a predefined threshold value (40°C), the ICAP is clocked close to 200 MHz and when the temperature exceeds this value, the clock frequency is reduced to 100 MHz. This overclocking capability can allow us to achieve throughputs beyond the 400 MB/s theoretical maximum supported at 100 MHz.

## 6.6 Characterisation and Case Study

In this section we report the performance and resource utilisation for our custom reconfiguration controller. We also compare our implementations with the state of the art and demonstrate the advantages of our custom solution through case studies.

The reconfiguration controller design presented in Section 6.5 was synthesised using Xilinx ISE 13.3, and was implemented using Xilinx PlanAhead 13.3. In addition to the system discussed in Section 6.5, two partially reconfigurable modules were also implemented in order to test the performance and validate functionality, as shown in Fig. 6.5. The modules are assigned to two separate regions, one large and the other small. The system was hardware validated by testing it on a Xilinx



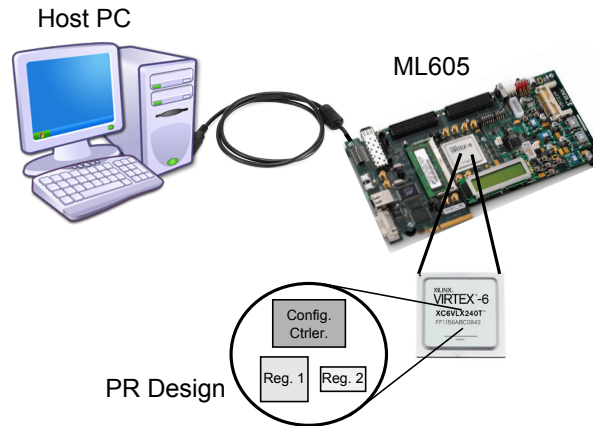


FIGURE 6.5: Controller performance test setup.

ML605 evaluation board, which contains a Virtex 6 XC6VLX240T FPGA. The resource utilisation for the two major modules in the design is given in Table 6.2. The ICAP controller is able to achieve a maximum frequency of 516 MHz when implemented as a standalone module and the complete system is able to run at 200 MHz.

Table 6.3 shows the performance of the ICAP controller as well as overall system performance. These values are calculated with the help of the statistics counters present in the system. The theoretical maximum performance is 400 MB/s, based on the 100 MHz clock and 32-bit ICAP width. Total performance is slightly less than this due to the initial memory access latency and because DDR read operations are 64-Byte aligned. As the bitstream size increases, the initial latency becomes negligible compared to the total reconfiguration time, and hence the throughput increases. From Table 6.3, it can be seen that the controller takes

Module	Resource Utilisation			Max. Frequency (MHz)
	FFs	LUTs	BRAMs	
ICAP Controller	74	38	8	516
DMA Controller	598	548	0	265
Total	672	586	8	265

TABLE 6.2: Custom ICAP controller resource utilisation.

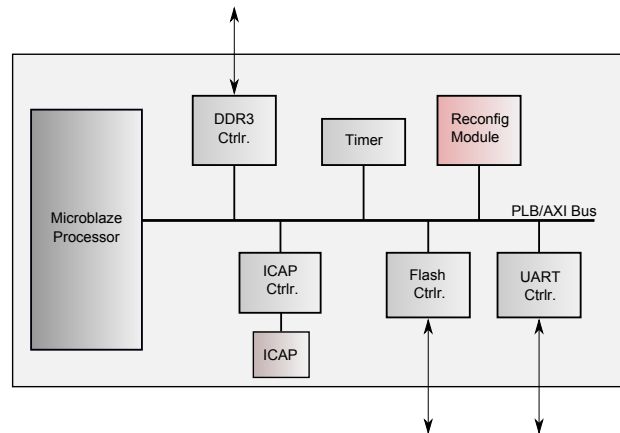


FIGURE 6.6: Processor based PR system.

about 633 microseconds to configure a 400 CLB region (253096 bytes), an improvement of 44 times over the Xilinx XPS\_HWICAP, which would require 27.8 milliseconds. Our controller would take a few milliseconds for a near complete FPGA reconfiguration rather than hundreds of milliseconds.

The maximum throughput and resource utilisation of some other ICAP controller implementations are shown in Table 6.4. Our implementation performs better than all these implementations, and is also highly compact.

In addition, we tried to improve the ICAP performance by overclocking it using the DRP feature of the MMCM. The ICAP controller was able to successfully reconfigure the system when set to clock frequencies of up to 210 MHz. Presently,

Bitstream Size	Recon. Time	ICAP Throughput	Total Throughput
(Bytes)	(us)	(MB/S)	(MB/S)
5000	12.86	395.50	388.60
12568	31.75	399.94	395.84
63456	159.00	399.79	399.23
126912	317.50	400.00	399.70
253096	633.00	399.96	399.80

TABLE 6.3: Bitstream size and system performance.

Implementation	Resource Utilisation			Throughput (MB/s)
	FFs	LUTs	BRAMs	
Liu et al. 2009 [104]	1083	918	2	235.20
Claus et al. 2008 [128]	NA	NA	NA	295.40
Manet et al. 2008 [129]	NA	NA	NA	353.20
Liu et al. 2009 [104]	963	469	32	371.40
Liu et al. 2009 [104]	367	336	0	392.74
Xilinx (PLB) [14]	746	799	1	8.48
Xilinx (AXI) [25]	477	502	1	9.10
Proposed (with DMA)	672	586	8	399.80

TABLE 6.4: Performance comparison of ICAP controller implementations.

we manually verify that there are no configuration errors by checking the functionality of the reconfigured modules. More thorough checking would require ICAP read capability, which we hope to investigate in future work. The overall system performance for different clock frequencies is given in Fig. 6.7. Above 210 MHz, no reconfiguration occurs, and above 300 MHz, initiating a reconfiguration freezes the whole FPGA. At 210 MHz, the overall throughput is 838.55 MB/s, which is more than double the throughput at 100 MHz, resulting in a corresponding decrease in reconfiguration time.

In order to compare the performance of the widely used Xilinx ICAP controllers, a typical processor-based PR system was also implemented as shown in Fig. 6.6. This system consists of a MicroBlaze soft processor, a DDR3 memory controller, the ICAP controller, a timer, Xilinx flash controller, UART controller, and a reconfigurable module. All the peripheral devices were initially connected to a 64-bit wide PLBv46 bus. The partial bitstreams can be stored either in DDR3 memory or in the flash memory. Partial bitstreams are transferred to the DDR3 memory using the UART interface and written to the flash memory using a host

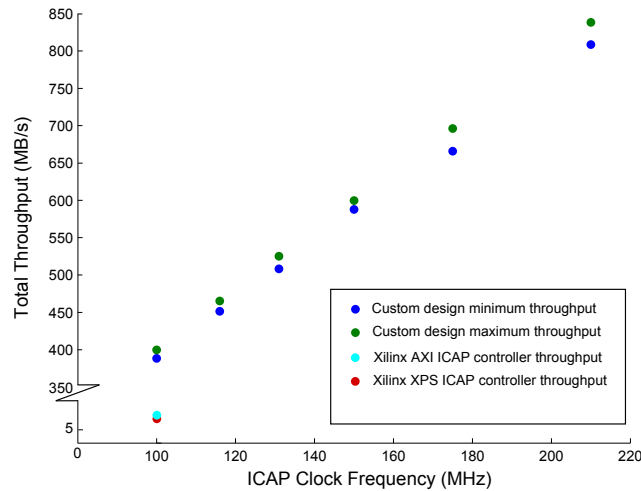


FIGURE 6.7: Frequency vs Total Throughput.

flash memory writer. The timer peripheral is used to determine the time required for reconfiguration. The system runs at 100 MHz with the instruction as well as data memory implemented in internal BRAMs. Software for performing the PR operation was written in C and compiled using the Xilinx Software Development Kit (SDK), and the hardware platform was implemented using Xilinx Embedded Design Kit (EDK) 13.3, with hardware design using PlanAhead 13.3. The low-level routines for controlling the ICAP controller, as well as flash memory, are taken from Xilinx standard libraries.

For our experiments, reconfiguration commands are issued from the host system using the UART interface. If the partial bitstreams are stored in DDR3 memory, they are transferred using the UART interface by calling a routine. When the processor receives a reconfiguration command, it resets the performance measurement timer and invokes appropriate routines to transfer the partial bitstream to the ICAP controller depending upon its storage location. Once the reconfiguration operation is completed, the timer is halted and the value stored in it is read. The timer reports the total number of clock cycles required for the operation, and from this, the throughput can be determined. For the PLB system, Xilinx's XPS\_HWICAP [14] was used as the ICAP controller. When the bitstreams are stored in flash memory, the reconfiguration throughput is only 0.47 MB/s and when stored in the DDR3 memory, the throughput is 8.4 MB/s. These values prove that

present processor-based ICAP controllers are unsuitable for time-critical reconfiguration scenarios.

The same experiment was repeated using the latest AXI-bus based design. In this system, the DDR3 controller is connected to an AXI4 bus and other peripherals to AXI4-lite bus. The ICAP controller used in this experiment is the AXLHWICAP [130]. When using the AXI-bus, system performance is slightly improved. The reconfiguration throughput while using the flash is 0.49 MB/s, and using the DDR3 memory to store the bitstreams gives 9.1 MB/s. These values are still well below what is possible, as we have shown with our design.

## 6.7 ZyCAP: A Reconfiguration Controller for Tightly Coupled Adaptive Systems

In this section we discuss our reconfiguration controller specially targeting Zynq Hybrid FPGAs. On the Zynq, The PL can be reconfigured from the PS or from within the PL itself. The PS uses the device configuration interface (DevC), which has a dedicated DMA controller to transfer bitstreams from external memory to the PCAP (processor configuration access port) for reconfiguration. The Zynq also has an ICAP primitive in the PL, as found in other Xilinx FPGAs. The ICAP has a 32-bit, 100MHz streaming interface, providing up to 400 MB/s reconfiguration throughput.

Officially, Xilinx supports two schemes for PR on the Zynq, one through the PCAP and the other through the ICAP. By specifying the starting location and size, the library function *XDcfg\_TransferBitfile()* can be used to transfer PR bitstreams from external memory (DRAM) to the PCAP. The main advantage of this scheme is that it does not require any PL resources and gives a moderate reconfiguration throughput of 128 MB/s. The main drawback is that it blocks the processor during reconfiguration, precluding overlapped execution and reconfiguration.

Xilinx also provides an IP core (AXLHWICAP) and library function (*XHwIcap\_DeviceWrite()*) to enable PR using the ICAP. The AXI-Lite interface of the core is used to connect it to the PS through a GP port. Since this method is not DMA based, throughput is only 19 MB/s. This approach also blocks the processor, and is hence inferior to the PCAP approach.

We have modified the ICAP approach by interfacing the hard DMA controller in the PS with the AXLHWICAP IP and writing a custom driver function. An interrupt from the DMA controller is used to indicate completion of reconfiguration. The achievable throughput in such a case is 67 MB/s, which is significantly slower than through the PCAP. Since the AXLHWICAP IP has a single AXI-Lite interface, it is not possible to connect it to the HP port for better performance. However, this scheme has an advantage in that it is interrupt based and hence reconfiguration can be overlapped with processing.

Systems using embedded processors for reconfiguration management require relatively lean reconfiguration management and their reconfiguration controllers should be capable of functioning with minimal processor intervention, due to the limited processing capability of embedded processors. Present vendor-supported reconfiguration management schemes overload processors with low-level reconfiguration operations which make them unavailable for executing other software tasks.

### 6.7.1 Effect of Reconfiguration on Performance

In this section, we discuss the effect of PR on systems which follow a hardware-software co-execution model for data processing and reconfiguration. Adaptive systems are a special case of such systems, where the reconfigurable fabric is used to implement the data plane and the processor is used for system monitoring and reconfiguration management. The fabric implements multiple hardware modules to implement data processing, which can be further chained together to implement

different hardware processing chains (configurations). Adopting PR enables selective reconfiguration of hardware modules, which is otherwise impossible through traditional reconfiguration where all modules are reconfigured simultaneously.

More generally, there may be systems where only some of the datapath processing is done in hardware, and in such cases, the hardware and software components of execution heavily depend on each other. In such cases, long reconfiguration times can severely affect system performance and even offset the benefits achieved through hardware acceleration. Hence, whether for adaptive systems, or more general software-hardware systems using PR, it is essential that both reconfiguration throughput be maximised, and that the processor not be overly burdened by managing reconfiguration. The latter property also enables reconfiguration latency to be hidden to a certain extent, by overlapping reconfiguration with execution of other software tasks.

To understand the impact of PR on the performance of such software-hardware systems, consider the typical profile for an hardware task execution as depicted in Fig. 6.8. The system configures the hardware module on the fabric, sends input data, triggers execution, then reads back the output after execution.  $T_{setup}$  is the time taken to decide whether a reconfiguration is required,  $T_{config}$  is the reconfiguration time,  $T_{control}$  is the time taken to trigger the hardware,  $T_{datain}$  is the time to send data to the hardware,  $T_{compute}$  is the hardware execution time and  $T_{dataout}$  is the time for the results to be read back. This profile shows that efficient management functions are paramount in maximising the benefits offered by hardware acceleration.  $T_{datain}$  and  $T_{dataout}$  depend upon the system architecture and how data movement is managed.  $T_{control}$  is usually negligible, involving register configurations. A PR system should minimise  $T_{setup}$ , while also maximising reconfiguration throughput to minimise  $T_{config}$ . If the processor is used to manage all the reconfiguration steps, then it is not available for other tasks. This is especially true when the number of hardware tasks and frequency of reconfiguration increases [131].

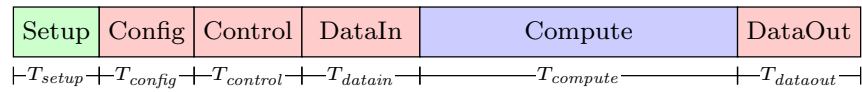


FIGURE 6.8: Task profile for implementing hardware acceleration [132].

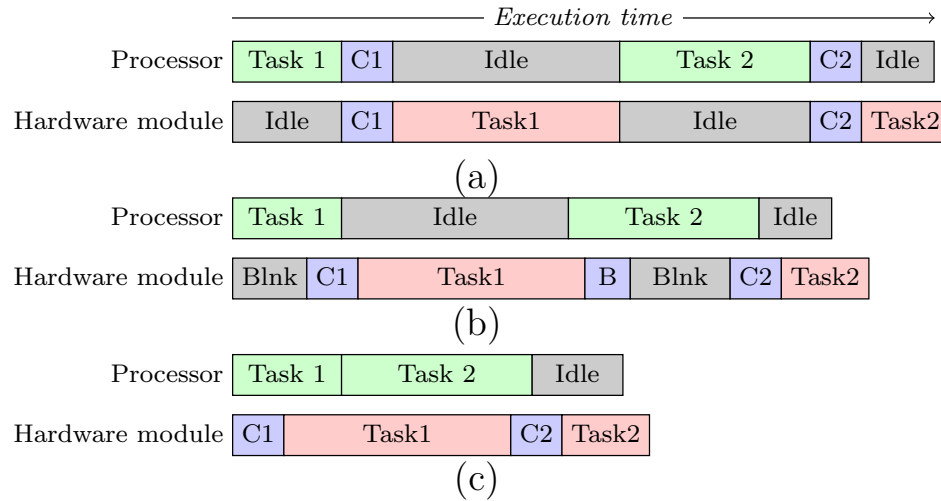


FIGURE 6.9: Effect of overlapping hardware and software execution. (a) Processing and reconfiguration happening sequentially. (b) Reconfiguration in parallel with processing for dependent tasks. C1 and C2 represent hardware reconfigurations and B represents blanking the PRR. (c) Software and hardware running independent tasks with minimal software management overhead.

The desire is that the processor handles only high-level reconfiguration management while the lower level mechanics are managed separately. The advantage of this approach is that execution of tasks on the processor and reconfiguration of the PL can be overlapped. Fig. 6.9 shows the profile for an application comprising two software and two hardware tasks executed alternately. In Fig. 6.9(a), the processor manages configuration, and so must wait for this to complete before executing its software tasks. Fig. 6.9(b), shows how the overall execution time is reduced when the processor is only tasked with initiating the reconfiguration. The reconfigurable region can be blanked when no hardware is used to reduce power consumption without compromising system performance. In Fig. 6.9(c) we show the potential gains for independent tasks; now that the processor is freed from low-level configuration management, it can continue with other tasks (subject to dependencies).



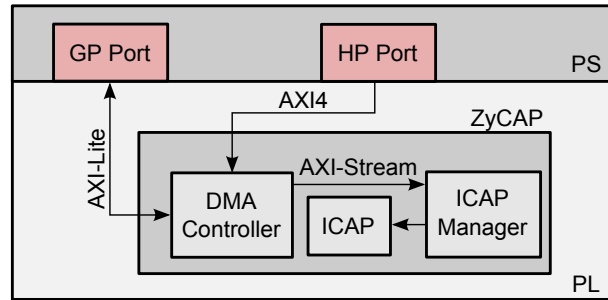


FIGURE 6.10: ZyCAP showing interface connections.

### 6.7.2 ZyCAP PR Controller

To achieve maximum reconfiguration performance, we have developed a custom controller, called ZyCAP, and an associated driver, to verify whether such a solution can improve on PCAP performance, while reducing processor PR management overhead. As discussed in the Section 6.6, our experiments with traditional FPGAs such as the Virtex-6 showed that a custom solution can provide near theoretical peak reconfiguration throughput. But that custom controller was designed for non-processor systems, and hence did not provide a software-centric view, making it difficult to port to the Zynq.

ZyCAP has two interfaces, an AXI-Lite interface connected to the PS through a GP port and an AXI4 interface connected to an HP port, as shown in Fig. 6.10. Since it adheres to Xilinx's *pcore* specification, ZyCAP can be used like other IP cores in Xilinx XPS. Internally, ZyCAP instantiates a soft DMA controller, an ICAP manager and the ICAP primitive. The DMA controller is configured with the starting address and size of the PR bitstream through the AXI-Lite interface and bitstreams are transferred from external memory (DRAM) to the controller at high speed through the HP port using the burst-capable AXI4 interface. The ICAP manager converts the streaming data received from the DMA controller to the required format for the ICAP primitive. ZyCAP raises an interrupt once the bitstream has been fully transferred to the ICAP.

### 6.7.3 ZyCAP Software Driver

Along with high reconfiguration throughput, lean run-time reconfiguration management is also required for better system performance. The ZyCAP software driver implements management functions such as transfer of bitstreams from non-volatile memory to the DRAM, memory management for partial bitstreams, bitstream caching, ZyCAP hardware management and interrupt synchronisation. The driver provides an API through which high-level software applications can manage PR.

The driver is initialised with the `Init_Zycap()` call, which allocates buffers in DRAM for storing bitstreams, configures the *DevC* interface, and configures the interrupt controller. The number of bitstreams buffered in DRAM is configurable and defaults to five. A reconfiguration is initialised using the `Config_PR_Bitstream()` function, by specifying only the bitstream name. Unlike existing vendor APIs, the software designer does not need to know where the bitstream is stored or what

---

#### API Call with Brief Description

---

`Init_Zycap()`

Initialise the Zycap controller, memory allocate for PR bitstreams

`Config_PR_Bitstream(bitstream_name, intr_sync)`

Reprogram by loading a bitstream from the external flash using ICAP

`Prefetch_PR_Bitstream(bitstream_name)`

Prefetch the PR bitstream from SD card to DRAM

`Sync_Zycap()`

Synchronise Zycap reconfiguration interrupt

---

TABLE 6.5: ZyCAP API functions.

the bitstream size is. The driver internally manages partial bitstream information such as the bitstream name, size and DRAM location.

When a configuration command is received, it first checks if the bitstream is cached in DRAM, and if so configures the ZyCAP soft DMA controller with the bitstream location and size to trigger reconfiguration. If it is not cached, it is transferred from non-volatile memory (SD card) to a buffer in the DRAM and the corresponding data structure is created. If all DRAM bitstream slots are full, the *least recently used* (LRU) bitstream is replaced. The driver also enables pre-caching of bitstreams in the DRAM using the *Prefetch\_PR\_Bitstream()* function.

The driver supports deferred interrupt synchronisation, which enables non-blocking processor operation during reconfiguration. By setting the `intr_sync` argument in `Config_PR_Bitstream()`, the function returns immediately after configuring the DMA controller. The interrupt corresponding to the reconfiguration can be synchronised later using the `Sync_ZyCAP()` call before accessing the reconfigured peripheral. In this way the processor is free to execute other software tasks while reconfiguration is in progress. If `intr_sync` is set to zero, the driver operates in blocking mode and returns only after reconfiguration.

#### 6.7.4 ZyCAP Performance

In our evaluation, ZyCAP achieves a reconfiguration throughput of 382MB/s (95.5 % of the theoretical maximum), improving over AXI.HW ICAP, DMA based AXI.HW ICAP, and PCAP by 20×, 5.7×, and 2.98×, respectively. The deviation from theoretical maximum is due to the software overhead for DMA controller configuration, DRAM access latency and interrupt synchronisation. A comparison of different PR methods in terms of resource utilisation and reconfiguration throughput is shown in Table 6.6.

To analyse the effect of different PR schemes on overall software-hardware system performance, we consider a case study from [132]. The experiment involves image edge detection after a low-pass filter is applied to a set of images. Each image is

Method	Resource Utilisation			Throughput (MB/s)
	FFs	LUTs	BRAMs	
PCAP	0	0	0	128
Xilinx ICAP (non-DMA)	443	296	0	19
Xilinx ICAP (with DMA)	443	296	0	67
ZyCAP	806	620	0	382

TABLE 6.6: Comparison of resource utilisation for different PR methods on the Zynq.

processed twice. First, through a median filter followed by Sobel edge detection, then a smoothing filter followed by Sobel. The modules used for the experiments are reconfigured sequentially in a single PRR. An image is first transferred from external memory to a processing core and the processed image is streamed back to the memory via DMA. After each step, the output is analysed by the processor for quality checks.

For our experiments, we use the *ZedBoard* [133]. The PRR size is 2300 CLBs, 60 DSP blocks and 50 BRAMs, large enough to accommodate the largest module (smoothing filter). The partial bitstream size is 1,018,080 Bytes while a full Zynq bitstream would be 4,045,564 Bytes. A soft DMA controller is used to transfer data between the external memory and the processing core through an HP port and a hardware timer is interfaced for accurate performance measurement. All PL components run at 100MHz. The hardware and software for this evaluation are developed using Xilinx's EDK 14.6 and PlanAhead 14.6 software versions.

DMA transfers between the external memory and the PRR are measured at 382 MB/s. Throughput between the processor and the external memory is 128 MB/s. The latency for accessing a peripheral from the processor is 140ns. To configure the DMA controller and manage data movement, 8 registers are configured by the processor, consuming 1.12 $\mu$ s. These map to the execution time parameters

Parameter	Desig.	Value (Sec.)
Decision time	$T_{setup}$	0
Reconfiguration time	$T_{config}$	$0.970/T$
Transfer of control time	$T_{control}$	$1.12 \times 10^{-6}$
Data send time	$T_{datain}$	$(B/400.5) \times 10^{-6}$
Compute time	$T_{compute}$	0
Data receive time	$T_{dataout}$	$(B/134.2) \times 10^{-6}$

TABLE 6.7: Timing parameters for the Case study.

described in Section 6.7 as shown in Table 6.7 for processing  $B$  Bytes of data at a reconfiguration speed of  $T$  MB/s.

Since this application uses a single PRR and follows a predefined reconfiguration sequence, no decision time is required ( $T_{setup} = 0$ ). Reconfiguration time depends upon the reconfiguration scheme used, while  $T_{control}$  corresponds to DMA controller configuration.  $T_{compute} = 0$  since the cores operate in streaming mode. Each iteration requires two configurations and two sets of DMA operations. For schemes that do not support overlapped reconfiguration, the processor can only execute its quality checks after configuring the hardware for next iteration. For overlapped schemes, the processor can do this while the hardware is being reconfigured.

Fig. 6.11 shows the effect of the different reconfiguration schemes on system throughput for different image sizes. As frame size increases, parallel hardware and software execution (solid lines) has a clear benefit. In these cases, when the software execution time is smaller than the reconfiguration time, the PCAP based method has a significant advantage over the DMA based AXLHWICAP due to its higher throughput. However, as the data size increases (above  $512 \times 512$  pixels), overlapped reconfiguration becomes more important, and the DMA based AXLHWICAP outperforms the PCAP method since software execution time is now comparable to reconfiguration time. For large frame sizes, the performance of the DMA based methods converges since the reconfiguration time begins to

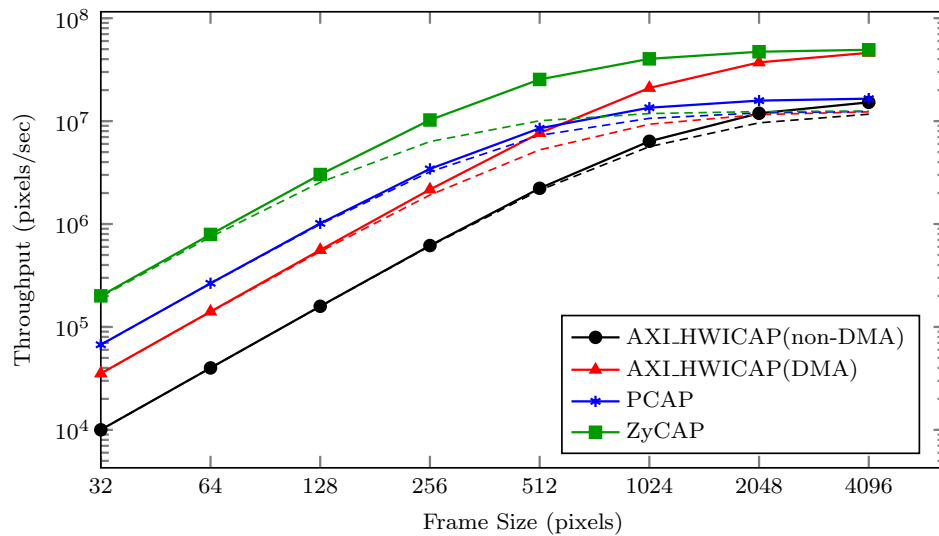


FIGURE 6.11: Comparison of total number of pixels processed for different PR schemes. Solid lines represent hardware-software co-execution and dotted lines represent sequential hardware and software execution.

diminish with regard to software execution time. The same is true for blocking non-DMA based methods, but they saturate at a lower overall throughput. At an image size of  $512 \times 512$ , ZyCAP increases application throughput by  $11.35\times$ ,  $3.28\times$ , and  $2.96\times$ , over AXLHWICAP, DMA based AXLHWICAP, and PCAP, respectively.

## 6.8 Summary

In this chapter we discussed the role of reconfiguration controllers in achieving better system performance for PR-based adaptive systems. We presented two custom reconfiguration controllers, which significantly improve reconfiguration throughput in traditional FPGAs and hybrid FPGAs like the Zynq. The reconfiguration controller for loosely coupled architectures is later adapted to develop a PR hardware verification platform as discussed in Chapter 8. The ZyCAP device driver presented allows overlapped execution and reconfiguration, resulting in improved overall system performance for mixed software-hardware systems. The ZyCAP hardware can be similarly used with soft processors like the Microblaze, but driver software modifications are required for interrupt management. ZyCap also plays

a significant role in automating PR development on hybrid FPGAs as discussed in Chapter 7.

Both the reconfiguration controllers are released in the public domain, for use by researchers intending to incorporate PR into their systems, allowing the focus to be on the application rather than optimisations of PR mechanisms.

# Chapter 7

## An Automated PR Tool-flow for Adaptive Systems

### 7.1 Introduction

A fully automated flow that allows adaptive systems designers to map applications to a PR design without the need for FPGA expertise has so far failed to materialise. We believe this is an essential step in PR achieving more widespread adoption, as it is the application experts who can best identify the scenarios that make sense for PR, and use it within the context of realistic applications. Although models have been proposed for mapping adaptive system descriptions to FPGAs, actual implementation of the resulting systems remains challenging [134, 135]. As it stands, FPGA experts come up with small, unrealistic example applications that fail to capture the interest of application designers.

The tools and techniques we have discussed so far focussed on individual aspects of PR including design time optimisations and reconfiguration control techniques. In this chapter we propose a framework which integrates these to create an automated tool-flow, which maps a high-level system description into a hardware implementation and generates the required management software. The run-time management of adaptive systems is also explained in detail. We believe that for



low-level device architecture-dependent operations, such as place and route and bitstream generation, vendor tools provide superior performance compared to custom tools. They also avoid problems with porting and incompatibilities as new devices are released. Hence, instead of circumventing the limitations imposed by these tools, our framework respects these constraints, making it portable as the architectures and tool-flows evolve.

We concentrate on the new Xilinx Zynq hybrid FPGAs as the target implementation platform due to their tightly coupled processor-fabric architecture. Compute-intensive *configurations* can be implemented on the reconfigurable fabric while complex adaptation algorithms can be implemented in software, making them easily programmable. This work is the first fully automated flow for mapping high-level descriptions of adaptive systems to hybrid FPGAs. This co-design framework for PR is called *CoPR for Zynq*.

The work presented in this chapter has also been discussed in:

- K. Vipin and S. A. Fahmy, *Enabling High Level Design of Adaptive Systems with Partial Reconfiguration*, PhD Forum Poster, in Proceedings of the International Conference on Field Programmable Technology (FPT), New Delhi, 2011 [136].
- K. Vipin and S. A. Fahmy, *Automated Partial Reconfiguration Design for Adaptive Systems with CoPR for Zynq*, in Proceedings of the International Conference on Field Programmable Custom Computing Machines (FCCM), Boston, Massachusetts, May 2014, pp. 202–205 [137].

## 7.2 Contributions

1. An automated end-to-end tool flow for PR based adaptive systems, suitable for non experts, that maps high-level system descriptions to a real implementations on hybrid FPGAs.

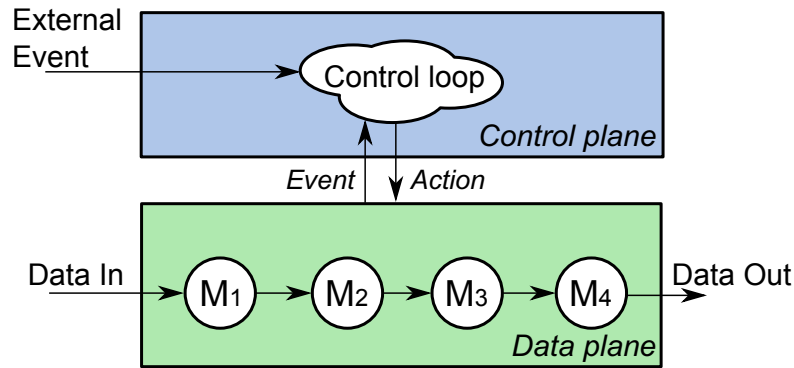


FIGURE 7.1: The control and data planes of an adaptive system. The two planes interact through events and actions.  $M_1$ ,  $M_2$ ,  $M_3$ , and  $M_4$  represent different hardware modules and the dataflow is from left to right.

2. A runtime configuration manager that provides an API for describing adaptation through the abstraction, with automated seamless management of the PR process.

Our framework can equally serve as the implementation basis for other techniques like time-multiplexing of task graphs, where configurations are statically determined.

## 7.3 Mapping Dynamically Adaptive Systems

This section describes different aspects of adaptive systems and their mapping onto hybrid-FPGAs. First, we describe the adaptive system model and define the terms used, along with our tool flow. Then we explain the integration of our custom tools with the vendor PR implementation tool chain.

### 7.3.1 System Decomposition

The system level architecture for adaptive systems we have chosen is depicted in Fig. 7.1. The overall system is divided into two logical planes, namely the *control plane* and the *data plane*. The *configurations*, that complete data processing, are within the data plane while the control plane monitors and regulates system

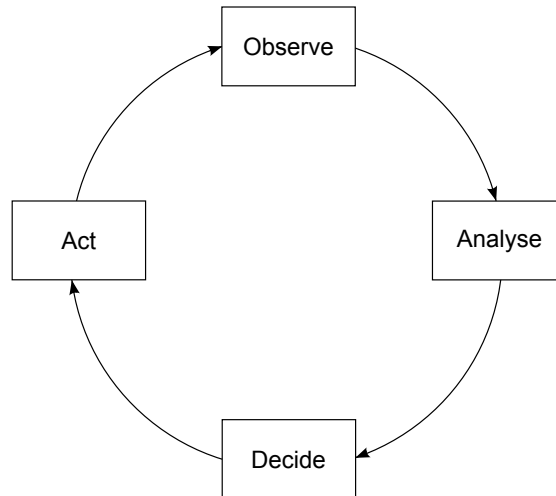


FIGURE 7.2: The control loop showing different activities.

state, managing *reconfiguration*. The data plane can be made to support intensive computation by mapping it to hardware. Meanwhile, the control plane typically functions at a much lower data rate, but might use complex sequential algorithms, and is hence more suitable for software implementation.

The data plane is composed of several functional units, such as  $M_1$ ,  $M_2$ ,  $M_3$  and  $M_4$ , interfaced with each other as shown in Fig. 7.1. We define the atomic functional unit as a *module*, such as an edge detector in image processing. Each module may have a set of parameters that determine its operating characteristics, such as the cut-off frequency of a filter module. These parameters can be modified at runtime to control functionality and hence data plane behaviour.

The control plane implements the *configuration manager* (CM). The CM monitors and regulates system state by implementing the control loop [138]. As shown in Fig. 7.2, the loop consists of 4 key activities namely observe, analyse, decide, and act [139]. The loop constantly monitors the system environment to detect changes in operating conditions called *events*. These are analysed to decide whether changes in system state are required and how to reach the intended state through *actions*. This analysis can be based on other models, theories or rules. Based on the analysis results, the system makes decisions such as whether or not an adaptation is required, and if required how to reach the intended system state. The decision making can be off-line (determined at design time) such

as state machine based adaptation or on-line (determined at run-time) based on evolutionary approaches such as genetic algorithms. Control plane actions usually involve modification of the data plane (*reconfiguration*) to support operation in the new environment. The control loop model is more concerned with system management and does not include the actual flow of data in the system. In the next section we discuss computation models used for data processing.

## 7.4 Models of Computation

A model of computation (MoC) defines the allowable operations or primitives in a system and the communication semantics that govern their interactions. While there is no agreed upon model for adaptive systems, we can model the data plane and control planes separately with a clear definition of interactions between them. In the data plane, the model specifies how modules are interfaced with each other and how data communication is managed among them. We are primarily interested in MoCs for concurrent execution since a hardware-based adaptive system is inherently parallel.

### 7.4.1 Kahn Process Networks

Kahn Process Networks (KPN) is a computing paradigm, where a number of concurrent processes interact each other through communication links [140, 141]. Processes are functions executing asynchronously, which map input data elements or *tokens* to output tokens. Processes can interact with each other only through the communication *channels*, which are modelled as First-in First-Out (FIFO) queues with unbounded capacity. Each channel can possibly contain an infinite number of tokens, each of which can be produced and consumed only once. Writes to channels are non-blocking (write operations succeed immediately) but read operations are blocking. In other words, a process is stalled until it receives sufficient data from the input channels to satisfy the operation [142]. Non-blocking writes

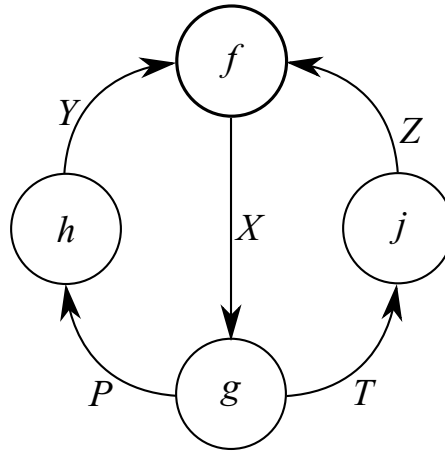


FIGURE 7.3: Kahn Process Network (KPN) example showing different processes ( $f$ ,  $j$ ,  $g$  and  $h$ ) and communication channels.

mean each channel should have infinite capacity. KPN is highly suitable for modelling streaming applications such as video and audio processing, signal processing, and 3D multimedia applications [143], which are classical targets for FPGA implementation.

Fig. 7.3 shows an example KPN in a graphical form. Here graph nodes  $f$ ,  $g$ ,  $h$  and  $j$  represent different processes. The arcs between the nodes represent communication links and the direction of data flow. The labels  $X$ ,  $Y$ ,  $Z$ ,  $P$  and  $T$  represent the streams of data flowing through the links. A stream is defined as a finite or infinite sequence of data tokens:  $X = [x_1, x_2, x_3, \dots]$ .  $(X, Y)$  represents a tuple of two streams,  $X$  and  $Y$ . Considering processes as mapping functions, the above KPN can be mathematically represented as

$$(P, T) = g(X) \quad (7.1)$$

$$Z = j(T) \quad (7.2)$$

$$Y = h(P) \quad (7.3)$$

$$X = f(Y, Z) \quad (7.4)$$

KPNs have several properties, the most important of which is determinism. For a deterministic model, the result for an execution is independent of execution

order, and in the case of KPN, this is mainly due to the blocked read semantic. Hence, a KPN can be executed sequentially or in parallel with the same outcome. Non-determinism can be introduced into a Kahn network by several factors. If a process is allowed to test its inputs for emptiness, the process becomes non-deterministic since the process can alter the priority for receiving data. If more than one process is allowed to write to a channel, the system may become non-deterministic. Similarly, if more than one process is allowed to consume data from a channel the system becomes non-deterministic since each token should be generated and consumed exactly once. In a software system, allowing processes to share variables also introduces non-determinism [144].

One major difficulty with implementing KPNs in hardware is the requirement for unbounded channel FIFOs. For a KPN, it is not possible to determine whether it can be executed in bounded memory within a finite time. Lee and Parks [142] proposed a method to execute several theoretical networks on real-machines with bounded memory. They propose limiting the FIFO size of each channel to a predefined size and writes to the FIFOs are blocked when the limit is reached. If the network deadlocks, the size of the smallest buffer is doubled and the execution is resumed. In general purpose computing systems, the FIFOs are implemented in system memory such as DRAMs and there can be scheduling algorithms which can modify the the FIFO size dynamically at run-time based on process requirements. In custom computing systems such as FPGA implementations, this dynamic scheduling is not possible since FIFO depths are determined at design time.

To map KPNs to hardware, some restrictions and assumptions must be made. The FIFOs between the processes (modules) must be bounded in size and writes to them are blocked until there is sufficient space. If the output of one channel is shared by multiple processes (modules), read operations are blocked until all the consumer processes are ready to accept data. To avoid deadlocks, applications are restricted to unidirectional dataflow. In most hardware streaming applications, this restriction is not problematic as dataflow is inherently unidirectional. In the next section we discuss using the AXI4-Stream interface to implement the proposed communication model.

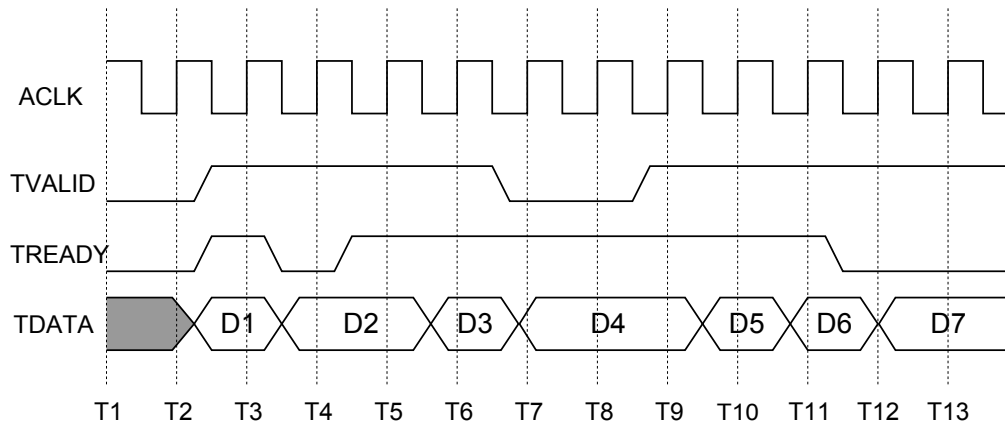


FIGURE 7.4: AXI interface timing diagram.

### 7.4.2 The AXI4-Stream Interface

AXI4-Stream is a type of AXI4 (Advanced eXtensible Interface-4) interface used for high-speed streaming communication. AXI4 is a part of the ARM Advanced Microcontroller Bus Architecture (AMBA) AXI Protocol, which is a family of protocols first introduced in 2003 [145]. Xilinx has adopted AXI as the standard for interfacing IP cores, starting with Spartan-6 and Virtex-6 devices. With a minimal number of signals, AXI4-Stream acts as a point-to-point communication link between a master (which generates data) and a slave (which consumes data). AXI4-Stream enables data transfer on every clock edge, offering high throughput.

An example timing diagram for an AXI4-Stream interface is shown in Fig. 7.4. The *ACLK* signal is a shared clock, of arbitrary frequency. The *TVALID* signal is used by the master to indicate that there is valid data on the bus and similarly *TREADY* is the signal asserted by the slave to indicate its readiness to accept data. *TDATA* is the data bus of desired width. A successful data transfer occurs when both *TVALID* and *TREADY* are asserted in the same clock cycle. In Fig. 7.4, successful data transfers occurs in clock cycles T3, T5, T6, T9, T10 and T11. Both read and write operations are blocked until both producer and consumer modules are ready for data communication. Fig. 7.5 shows the signal connections when multiple consumers are interfaced with a producer. Since the valid and ready signals are *ANDed*, valid data transfer occurs only when all the consumer modules are ready to accept data.

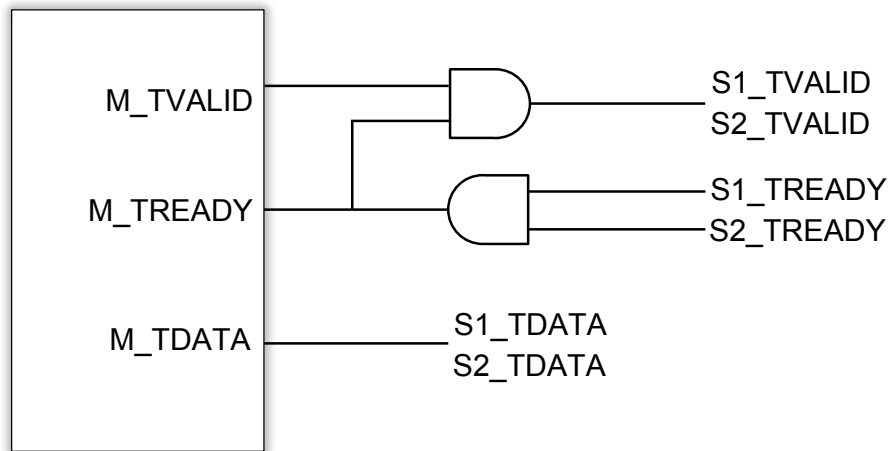


FIGURE 7.5: AXI interface signal connection, where 2 consumer modules are interfaced with a producer module.

### 7.4.3 Modelling Adaptation

We layer the idea of multiple configurations on top of the data plane model. We define a *configuration* as a set of modules in the data plane which implements a mode of functionality. For example in Fig. 7.1,  $\{M_1, M_2, M_3, M_4\}$  comprise a system configuration. For an adaptive system, a configuration gives a static snapshot of dynamic system operation. When the system adapts to a new operating state, the configuration changes by replacing one or more modules with new ones. This form of configuration switching is called a *structural reconfiguration*.

In another scenario, modifications to the system operating characteristics are achieved by modifying one or more parameters of the modules without physically replacing them. This could be for actions like updating the coefficients of a digital filter. We call this form of reconfiguration a *parametric reconfiguration*. Ideally a system designer should be able to model both these types of reconfiguration in a way that suits the applications without worrying about how they are actually implemented.

Conceptually, the structural reconfiguration replaces one data plane KPN with another KPN, representing a different system configuration. Parametric reconfiguration is modelled using tunable parameters of the modules in the data plane.



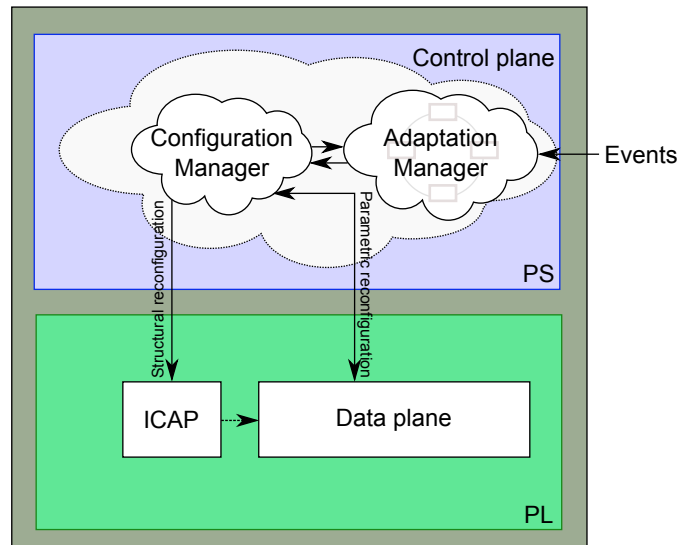


FIGURE 7.6: Mapping of the proposed architecture to the Zynq hybrid FPGA.

The control plane model of computation is not restricted in our framework. Instead, the adaptive system designer is free to choose the most suitable model, e.g. Petri nets, state machines, Markov chains, or others. A clear interface to the data plane is defined and the control plane implementation can monitor events and modify parameters through this interface.

#### 7.4.4 Architecture Mapping

As discussed in previous chapters, the new Zynq hybrid FPGA is an ideal platform for adaptive systems implementation due to its tightly integrated processor (PS) - reconfigurable fabric (PL) architecture. The adaptive system data plane is implemented on the Zynq PL with the hardware modules assigned to different PRRs. Structural reconfiguration is achieved by configuring the PRRs with appropriate *partial bitstreams*. The control plane is implemented as two logically separate software components called the *adaptation manager* and the *configuration manager* running on the Zynq ARM processor as shown in Fig. 7.6. The *adaptation manager* is software written by the system designer that implements the *control loop* discussed in Section 7.3.1 in an implementation-independent form. It communicates with the *configuration manager* through an API provided by our framework. The

*configuration manager* performs the architecture-dependent structural and parametric reconfigurations by loading specific partial bitstreams or varying module register values.

The *adaptation manager* can be written with simple algorithms like state machines or using complex techniques based on genetic or evolutionary algorithms as an adaptive system designer may want to explore. Since the *adaptation manager* is written at a higher level and abstracted from the details of PR implementation by the *configuration manager*, this allows adaptation techniques to be explored independently of detailed implementation.

An important factor in data plane implementation is inter-module communication. For partial reconfiguration, different configurations of the same PRR should have a consistent interface. We adopt *AXI4-Stream* for high-throughput inter-module communication. IP cores from Xilinx as well as modules generated using high-level synthesis languages such as Vivado HLS readily support this interface. Fixing the communication interface allows our framework to more easily compose modules.

For communication between the control and data planes, a lightweight interface is required. This interface is used for parametric reconfiguration by modifying module registers. Since the control plane is implemented in software, this interface is memory mapped. All module parameters are mapped to the module register space, and this is later mapped to the unified address map of the processor to allow setting of parameter values. *AXI4-Lite* is an ideal candidate for this and is supported between the Zynq PS and PL.

## 7.5 Design Flow

Fig. 7.7 shows our proposed adaptive system design flow. The flow includes both software and hardware, accepts user specifications, applies optimisation algorithms, and interfaces with vendor tools through a set of custom scripts. The

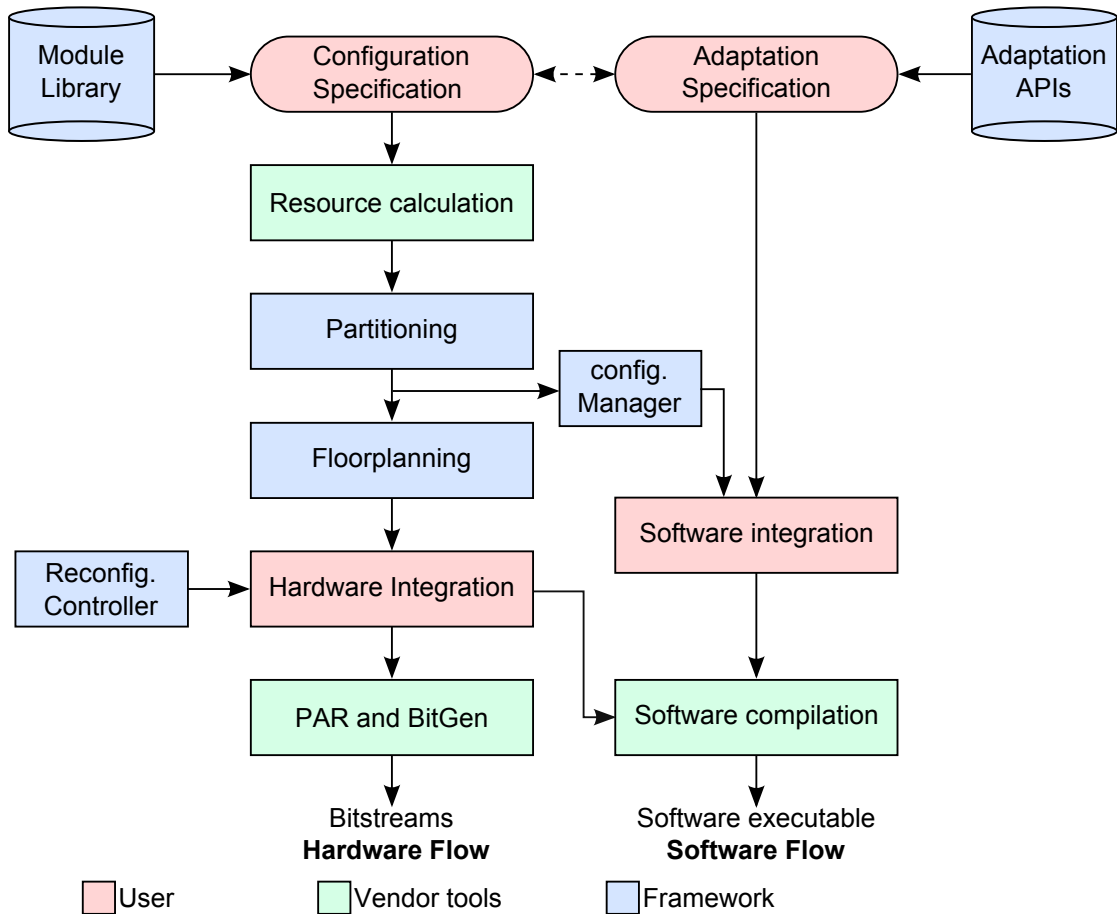


FIGURE 7.7: Proposed design flow for PR based adaptive systems design, showing steps performed by the user, vendor tools and the framework.

adaptive system designer describes the overall system as a composition of modules from a library of parameterised modules, or custom modules designed to the required interface specification. They also describe how the system should adapt between different valid configurations in software. Our tool takes these descriptions and creates a working partially reconfigurable system without the designer needing to work at the detailed hardware level. We adapt our previous partitioning and floorplanning algorithms discussed in Chapters 4 and 5 to develop an integrated tool-flow and add automated mapping to Zynq hybrid FPGAs. The following sections describe each step in more detail.

```

1  <configurations>
2    <config name="tx_chain">
3      <module name="encoder", source="encoder.v", input="none">
4        <parameter standard="enc1"/>
5      </module>
6      <module name="modulator", source="modulator.v", input="encoder">
7        <parameter standard="mod1"/>
8      </module>
9    </config>
10   <config name="rx_chain">
11     <module name="demodulator", source="demodulator.v" input="none">
12       <parameter standard="dmod1"/>
13     </module>
14     <module name="decoder", source="decoder.v", input="demodulator">
15       <parameter standard="dcod1"/>
16     </module>
17   </config>
18   ...
19 </configurations>

```

FIGURE 7.8: Configuration specification in *XML* format. Each configuration is specified by its name and the list of modules.

### 7.5.1 Specification

The primary designer inputs to the proposed framework are the *configuration* and *adaptation* specifications. The configuration specification details the different valid system configurations and the corresponding library modules present in each configuration. It is entered in *XML* format as shown in Fig. 7.8. Each configuration has an associated *name* and the associated modules in the processing chain. For each module, the HDL *source file* (in Verilog) and module that provides its input data in the processing chain are also specified. A blank previous module (*input = none*) indicates the starting of the chain. This file could also be generated automatically from a GUI that allows users to drag and drop library modules to create configurations. While selecting modules, users can specify which parameters they require access to at run-time and constrain their possible values. These parameter modifications may lead to parametric or structural reconfiguration, which the tool takes care of. In the case of parametric reconfiguration, only the user selected parameters are address mapped to internal registers to reduce resource utilisation.

The configuration specification also lists the possible parameter values for modules. Module parameters can be changed at runtime, and as discussed in Section 7.4.3, that could lead to a PR reconfiguration or the setting of some register values.

The tool automatically analyses parameter definitions and elaborates the configuration specification to include additional configurations resulting from parametric reconfiguration of the system. The distinction between parametric and structural reconfiguration is thus abstracted from the designer's point of view, so that they have a unified model for reconfiguration.

The *adaptation specification* contains the software code for the *adaptation manager* described in Section 7.4.4. Since the low-level configuration management details are transparent to the adaptation manager, it can be independently developed based on the configurations specified in the configuration specification. Users can choose the adaptation technique of their choice and integrate it with the reconfiguration manager using the provided software API. Sample state machine adaptation manager templates are provided to assist developers.

Based on the configuration specification, the framework first uses the vendor synthesis tool (XST) to synthesise all the modules for the target FPGA, to determine resource requirements. Scripts extract the number of CLBs, DSP blocks and Block RAMs from the synthesis reports and these numbers are later used for design partitioning and floorplanning.

## 7.5.2 Partitioning and Interface Generation

The partitioning step involves determining the number of reconfigurable regions (PRRs) and allocating modules to them. The way the system is partitioned greatly influences resource requirements and reconfiguration time, as we explored in Chapter 4. We apply the algorithm proposed in Chapter 4, targeting minimised total reconfigurable area to reduce reconfiguration time and system dynamic power. A detailed description of this algorithm is presented in Section 4.7.

After partitioning, wrapper modules that instantiate the modules in each partition and configuration are generated, ensuring a unified interface across different configurations. A *pr\_system\_top* wrapper is also generated which instantiates and connects all the PRRs as *black boxes*. The generated wrapper module is in IP

core format, which can be directly imported to Xilinx's XPS tool. As detailed in Section 7.4.4, using a consistent interface (AXI4-Stream and AXI4-Lite) across all the modules enables automatic wrapper generation and automatic region instantiation.

### 7.5.3 Floorplanning

Floorplanning involves determining the physical locations of the PRRs on the PL fabric. The algorithm described in Chapter 5 is applied to determine the PRR locations, while minimising total resource wastage. The final output of floorplanning is a *user constraints file* (UCF) specifying the coordinates of the PRRs.

### 7.5.4 Hardware Integration

At this stage the designer can add the outputs of partitioning (`pr_system_top` wrapper) and floorplanning (UCF file) to a Zynq embedded project using the Xilinx XPS software. The AXI4-Lite interfaces of the wrapper module coming from the reconfigurable modules must be connected to a processor AXI master interface. Although this step could be automated, user intervention offers the flexibility to choose additional system peripherals and to connect the input/output data streams from the PR system either to the system memory or to external peripherals. During this step, XPS automatically assigns base addresses to each of the AXI4-Lite interfaces connected to the PS.

The designer can choose to use either the PCAP or the ZyCAP controller described in Chapter 6. The PCAP does not consume PL resources, but has modest reconfiguration throughput, while ZyCAP provides three times this throughput at the expense of some PL resources.

### 7.5.5 Place and Route and Bitstream Generation

The designer now runs the automated scripts which direct the vendor placement and routing tools, then the bitstream generation tool to generate all the necessary partial bitstreams and the full system bitstreams. The most resource intensive configuration is implemented first since the quality of static region routing depends upon the first configuration implemented. The generated partial bitstreams must then be copied to an SD card which is inserted in the board.

### 7.5.6 Software Implementation

As described in Section 7.5.1, the adaptation specification is programmed by the user, referring to the configurations defined in the configuration specification. It is written in *C* compatible with the Zynq ARM compiler. The framework automatically generates the configuration manager (CM) based on the *configuration specification* and the output of the partitioning step. The CM also maps all the parametric registers to the processor address map based on the base addresses assigned to the modules during hardware integration.

The API provides functions for determining the present configuration (*get\_config()*) and for changing configuration (*set\_config(configuration\_name)*). The designer does not have to worry about which partial bitstream corresponds to which configuration or where they are stored. The *configuration\_name* used in the API is the same as the one specified by the user in the configuration specification file.

APIs are also provided for accessing hardware module parameters (*get\_param(module,parameter)*) and modifying them (*set\_param(module,parameter,value)*). Changing parameters sometimes leads to the reconfiguration of modules, which is automatically handled by the CM.

Unlike other PR management flows, the user does not explicitly load partial bitstreams to reconfigure the system. Rather, the software developer thinks in terms of modules and configurations, rather than bitstreams or regions.

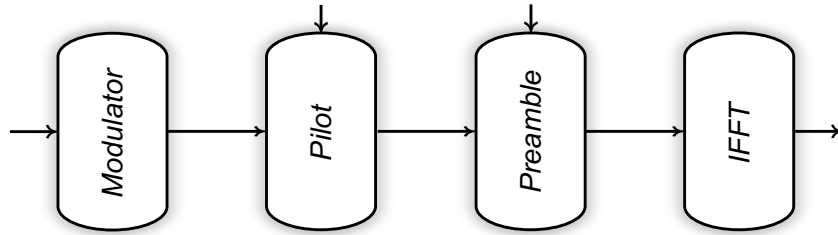


FIGURE 7.9: An active transmission chain.

The CM also contains the ZyCAP driver in case the user decides to use it. The software modules are then compiled to generate the final software executable.

## 7.6 Case Study

The framework is implemented in the Python programming language and integrated with Xilinx command-line tools and EDK 14.6. To demonstrate the effectiveness of our framework, we implement a multi-standard cognitive radio transmitter, comprising the blocks shown in Fig. 7.9. The baseband transmitter can be configured with different OFDM symbol lengths and frame formats based on three standards: IEEE 802.11, IEEE 802.16, and IEEE 802.22. An active transmission chain has the structure shown in Fig. 7.9. The main specifications of the transmitter blocks are summarised in Table 7.1.

The *modulator* supports QPSK, 16-QAM, and 64-QAM modulation schemes. The *pilot* block forms the OFDM symbol according to the specification of the different

Specifications	IEEE 802.11	IEEE 802.16	IEEE 802.22
FFT size ( $N_{FFT}$ )	64	256	2048
CP Length	16	32	512
Number of data carriers	48	192	1440
Number of pilots	4	8	240
Modulation types	QPSK, 16-QAM, 64-QAM		

TABLE 7.1: System specifications for the case study.



Configuration	Modulator	Pilot	Preamble	IFFT
Tx_11_Q	QPSK	PI <sub>11</sub>	PR <sub>11</sub>	IFFT <sub>11</sub>
Tx_11_16	QAM16	PI <sub>11</sub>	PR <sub>11</sub>	IFFT <sub>11</sub>
Tx_11_64	QAM64	PI <sub>11</sub>	PR <sub>11</sub>	IFFT <sub>11</sub>
Tx_16_Q	QPSK	PI <sub>16</sub>	PR <sub>16</sub>	IFFT <sub>16</sub>
Tx_16_16	QAM16	PI <sub>16</sub>	PR <sub>16</sub>	IFFT <sub>16</sub>
Tx_16_64	QAM64	PI <sub>16</sub>	PR <sub>16</sub>	IFFT <sub>16</sub>
Tx_22_Q	QPSK	PI <sub>22</sub>	PR <sub>22</sub>	IFFT <sub>22</sub>
Tx_22_16	QAM16	PI <sub>22</sub>	PR <sub>22</sub>	IFFT <sub>22</sub>
Tx_22_64	QAM64	PI <sub>22</sub>	PR <sub>22</sub>	IFFT <sub>22</sub>

TABLE 7.2: Transmitter Configurations.

IEEE standards. The preamble (used for active gain control, frame detection, synchronisation and channel estimation at the receiver) is inserted by the *preamble* block. The *IFFT* block performs the inverse-fast Fourier transform (IFFT) to modulate the subcarriers in the frequency domain. The parameters of the *pilot* (*NUM\_PILOT*), *preamble* (*CP\_LEN*) and *IFFT* (*LEN*) modules are OFDM standard dependent and their modification leads to structural reconfiguration. Overall, combining the different OFDM standards and modulation schemes, there are 9 valid *configurations*, as shown in Table 7.2.

In the *configuration specification*, only three are listed (conf\_802\_11, conf\_802\_16 and conf\_802\_22) with a modulator parameter (*SCM*) that has three possible values, resulting in the 9 configurations. Fig. 7.10 shows the configuration specification for the transmitter's IEEE 802.11 configurations. It is important to note that from the designer perspective, there are 3 radios with 3 possible modulation schemes each, set by the *SCM* parameter, which can take any of the three values (QPSK, QAM16 and QAM64). A single configuration is expanded into three

```

1  <configurations>
2    <config name="conf_802_11">
3      <module name="modulator", source="\src\modulator.v", input="none">
4        <parameter SCM="QPSK,QAM16,QAM64"/>
5      </module>
6      <module name="pilot", source="\src\modulator.v", input="modulator">
7        <parameter NUM_PILOT="4"/>
8        <parameter TYPE="0"/>
9      </module>
10     <module name="preamble", source="\src\pilot.v", input="pilot">
11       <parameter CP_LEN="16"/>
12     </module>
13     <module name="ifft", source="\src\ifft.v", input="preamble">
14       <parameter LEN="64"/>
15     </module>
16   </config>
17 </configurations>

```

FIGURE 7.10: Configuration specification in *XML* format for 802.11 standard.

separate configurations by the tool during the configuration specification analysis. The parameter specifying the pilot *TYPE* can also accept different runtime values, which determines the subcarrier type (such as null, data, positive pilot, negative pilot). But modifying this parameter causes no structural reconfiguration since this parameter only sets an internal register. The software can modify this register through the AXI4-Lite interface.

The adaptation manager software was written in C, based on a state machine model, where each state represents a configuration listed in the *configuration specification* file as shown in Fig. 7.11. External events to trigger changes in configuration were emulated using GPIO pins. These would initiate a request to the reconfiguration manager to initiate configuration switching.

```

1
2  switch(configuration) {
3
4  case conf_802_11:
5    if(gpio == 2) {
6      mod = get_param(modulator,SCM);
7      if (mod == QPSK)
8        configuration = conf_802_11;
9      else if (mod == QAM16)
10       configuration = conf_802_16;
11     else
12       mod = conf_802_22;
13   }
14   else
15     set_param(modulator,SCM,QAM16)
16   break;
17   .
18   .

```

FIGURE 7.11: Configuration specification code snapshot.

<b>Implementation</b>	<b>Registers</b>	<b>LUTs</b>	<b>BRAMs</b>	<b>DSPs</b>
Static	23223	17701	18	30
Single Region	15094	11089	11	15
Four Region	15364	11851	11	15
Proposed framework	15114	11204	11	15

TABLE 7.3: Resource utilisation comparison between static implementation and different PR based method.

The baseband transmitter is implemented on a Xilinx ZC702 evaluation board hosting a Zynq XC7Z020. Running the proposed partitioning flow on the configuration specification generates a design with two PRRs, one containing only the modulator and the other containing the pilot, preamble and IFFT blocks. This reflects the expectation we might have given the different configurations, but again, the designer need not determine or even be aware of this.

In Table 7.3, we compare the resource requirements for the system using our framework, and using other partitioning schemes discussed in Section 7.5.2. A static fully-multiplexed implementation is included for reference.

It is clear that in such a dynamically adaptive system, PR based approaches offer a significant saving over a multiplexed static implementation. The scheme proposed by our tool is also more efficient than a standard one region per module scheme, while being within 1% of the resource usage of the most resource efficient single region scheme.

The total power consumption for the system can be broken down into the processor subsystem and its supporting infrastructure, and separately, the power consumed in the baseband. Table 7.4 shows the consumption in the basic infrastructure. Power is measured using Texas Instruments Fusion Digital Power Designer, which communicates with the on-board power supply controllers over USB.

Table 7.5 shows the measured power consumption for the different operating modes in the PL while executing the largest configuration, 802.22-QAM64 . All tests are

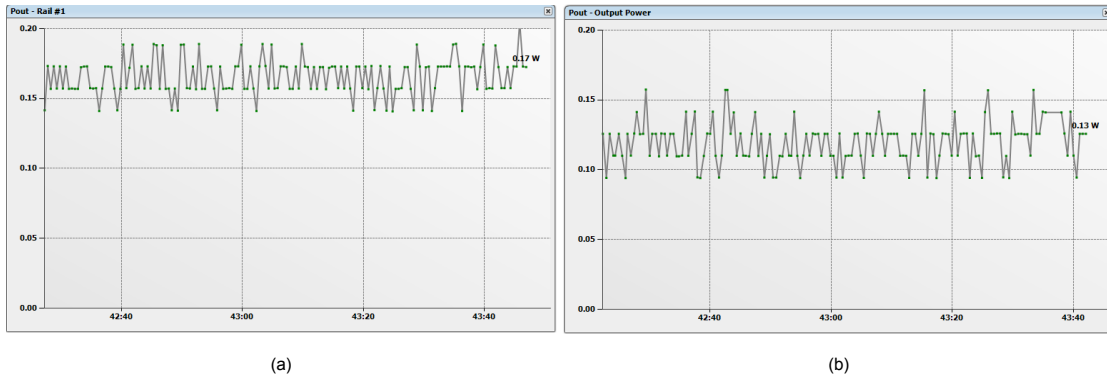


FIGURE 7.12: On-board power consumption measured for (a) Multiplexed system (b) PR system (Single region)

conducted with the baseband running at a clock frequency of 100 MHz. Fig. 7.12 shows the output of the TI USB adapter when measuring the power consumption for the multiplexed and the PR system. Measuring the PL implementation with no baseband indicates that the test infrastructure consumes 95 mW, which can be subtracted from the total power consumption.

A lower resource requirement using PR results in lower dynamic power consumption as shown in Table 7.5. Further savings are possible when using PR since if the radio is not required at any point in time, blank bitstreams can be loaded, eliminating power consumption in the programmable logic.

Table 7.6 shows the resulting reconfiguration times for different schemes. These numbers are based on reconfiguration using the Zynq PCAP to allow for comparison with a full reconfiguration (since a complete PL reconfiguration cannot be performed using the ICAP controller). Using the ZyCAP controller included in our framework reduces reconfiguration time by a factor of 3. A single region

Component	Power (mW)
Processor core power	400
Processor aux. power	250
Logic aux. power	55

TABLE 7.4: On-board measured power consumption.

Style	Power (mW)
Static	79
Single Region	33
Four Regions	54
Proposed PR method	43
PR with blank bitstream	0

TABLE 7.5: Dynamic power consumption in the baseband for different schemes.

scheme requires the whole region to be reconfigured any time one module is reconfigured. Since the total resource requirement for the four region scheme is higher, the total reconfiguration time is higher than the arrangement determined by the

Scheme	Bitstream (Bytes)	Reconf. time (ms)
Full reconfiguration	4045564	31.12
Single Region	706192	5.18
Four Regions		
Region-1	14544	0.11
Region-2	14544	0.11
Region-3	677104	5.12
Region-4	14544	0.11
Total	720736	5.45
Proposed PR method		
Region-1	14544	0.11
Region-2	691648	5.07
Total	706192	5.18

TABLE 7.6: Reconfiguration time for PR and non-PR based methods.

framework when the transmitter switches from one OFDM standard to another. Another benefit of the proposed partitioning is that switching only the modulation scheme can be done in minimal time as the modulator is implemented in its own region.

The framework enables radio developers to try different adaptation algorithms for efficient spectrum usage without worrying about implementation or reconfiguration details. This enables faster system prototyping and lower engineering effort, thus improving design productivity. As is clear, the low-level details are considered and optimised by the framework, but abstracted from the designer's point of view.

## 7.7 Summary

This chapter has introduced an automated framework for the design of dynamically adaptive systems using partial reconfiguration. It takes a high-level description of an adaptive system, automatically partitions the design into reconfigurable regions and determines a floorplan. Runtime adaptation control is also automatically generated, isolating the designer from the low-level aspects of the implementation. We have shown an example application to demonstrate the effectiveness of the proposed framework. Our framework is also suitable for integration with other high-level PR tools such as those deriving partitions from task-graphs, allowing for easy validation of results on real hardware.

The framework, named CoPR, has been released in the public domain, so it can be used by researchers intending to incorporate PR into their systems.

# Chapter 8

## An Open source Development and Testbed for PR Systems

### 8.1 Introduction

In previous chapters, we discussed how PR can enable the implementation of adaptive systems on FPGA platforms. One major challenge faced by PR based system developers generally is hardware validation. In Chapter 7, we discussed the implementation strategy for PR based systems in an embedded environment using hybrid FPGAs. But often, FPGAs are used as sub-systems tethered to a host machine with the data plane implemented on the FPGA and the control plane implemented using a general purpose processor in a host PC. This is especially true for non-hybrid FPGA platforms, which do not contain a fully-fledged processor. For these systems, the communication and reconfiguration costs are much higher as they are carried out through standard communication interfaces. Integrating FPGAs within general purpose computers also allows PR to be leveraged for implementing accelerators within larger software systems, as well as easing system testing and verification, with the host offering a software view of the hardware resource.

Though the development time for FPGA designs is much improved over ASIC design, validating FPGA applications on real hardware remains challenging. A key reason is that managing interfaces to the FPGA and the flow of data is cumbersome and typically addressed in an ad-hoc manner, precluding re-use. Achieving fast reconfiguration is challenging in such a general computing environment due to the generally supported slow external configuration interfaces such as JTAG. Furthermore, use of external interfaces adds additional complexity in terms of cabling and driver support on the host.

Recently, researchers have developed open source frameworks to enable easier interfacing between a host PC and FPGA boards [146, 147]. These platforms offer an API that abstracts the interface, enabling FPGA designs to be accessed efficiently from software on the host. These platforms only support static FPGA designs, and in some cases require a system reboot in order to change the FPGA design. In scenarios where multiple designs, or alternative variations of a design, need to be tested this can lengthen iterations, adding to development effort.

We argue that a single PCI Express (PCIe) interface can manage both FPGA configuration and data transfer, resulting in high throughput data transfer and fast reconfiguration. The communication infrastructure and reconfiguration management is placed in the *static* region, allowing the physical link to be maintained during reconfiguration of the application(s) in the PRR(s).

Reconfiguration through external interfaces such as JTAG is unsuitable due to its long latency in the order of seconds. Reconfiguration from on-board external non-volatile memory is another option but is severely limited by a storage capacity of just a few bitstreams. The ICAP macro can enable reconfiguration within tens of milliseconds, as we discussed in Chapter 6. Hence, enabling reconfiguration over the PCIe interface, by way of the ICAP also opens up the possibility for regular reconfiguration from software, with a practically unlimited bitstream storage capacity. In this chapter, we describe an open source development and experimentation framework that interfaces FPGA boards with a host PC over PCIe,



using PR to manage reconfiguration. This framework can serve as a verification platform for PR based designs, or as a platform for integrating reconfigurable accelerators in large software systems.

The work presented in this chapter has also been discussed in:

- K. Vipin, S. Shreejith, D. Gunasekara, S. A. Fahmy, and N. Kapre, *System-Level FPGA Device Driver with High-Level Synthesis Support*, in Proceedings of the International Conference on Field Programmable Technology (FPT) , Kyoto, Japan, December 2013, pp. 128-135. [148].
- K. Vipin and S. A. Fahmy, *DyRACT: A Partial Reconfiguration Enabled Accelerator and Test Platform*, to appear in Proceedings of the International Conference on Field Programmable Logic and Applications (FPL), Munich, Germany, September 2014. [149].

## 8.2 Related Work

Numerous approaches to interfacing FPGAs with host PCs have been proposed. A PCI-X based interface was described in [150] achieving a throughput of up to 667 MB/s. SIRC [146] is another framework for interfacing a Windows host PC and an FPGA board over Ethernet. However, Ethernet fails to offer the throughput capabilities often required for such software-hardware systems. Recently, frameworks that interface over higher throughput PCI Express (PCIe) links, such as RIFFA [147, 151] and OCPI [152], have emerged. These frameworks enable static FPGA designs to be accessed through an abstracted software API on the host, including hooks for different programming languages. However, in some cases, these frameworks require a host reboot when the FPGA application is reconfigured, or they rely on PCIe features that can be unreliable to support hot-reconfiguration. Furthermore, loading new user logic requires complete re-implementation of the full design, including fixed communication infrastructure. This can lead to issues with timing closure for large designs, and consumes considerable time. Hence, the

use of these frameworks in the context of dynamically reconfigurable accelerators is not possible.

For designers who build partially reconfigurable systems, testing remains an issue. A functional approach was proposed in [153], however a real hardware test using bitstreams requires considerable effort in implementing the full communication and reconfiguration architecture, and this is often done in an ad-hoc manner.

While reconfiguration time may not be an essential factor to consider in a testing platform, minimising it would allow this framework to be used as a platform for reconfigurable hardware accelerators in general purpose PCs. If not managed efficiently, reconfiguration can consume a considerable amount of overall system execution time in software-hardware systems [154, 155]. While PR is supported through the JTAG interface, internal controllers such as the ICAP offer much better performance, as they provide direct access to the configuration memory from the FPGA fabric. Some have even suggested alternative external interfaces like RS-232, though the achievable throughput is clearly limited [156]. Vendor-provided ICAP controllers offer poor throughput as we saw in Chapter 6, though the ICAP interface itself is capable of much higher throughput.

For this framework, we propose to load partial bitstreams over the PCIe interface that is also used for data communication. This has been demonstrated by Xilinx, but with a low reconfiguration speed of 30 MB/s and supporting only a one-time reconfiguration [157]. This approach was improved in [158], enabling multiple reconfigurations, but the reconfiguration speed remained low due to the lack of DMA support.

### 8.3 Contributions

We present a framework incorporating a hardware design and associated software interface for loading PR based designs into an FPGA over a static PCIe interface, then allowing high throughput communication between the host and hardware

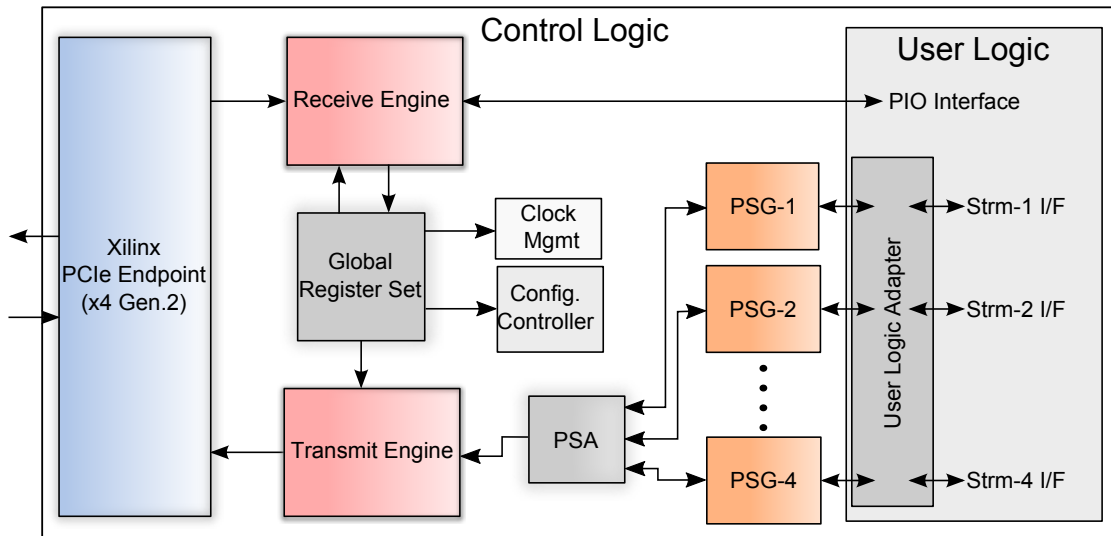


FIGURE 8.1: Framework hardware architecture.

design. Reconfiguration and data throughput are shown to be close to the theoretical maximum supported by the PCIe interface. This framework is of interest for adaptive system development, as it enables hardware validation of the dataplane and verification of the reconfiguration management software. It also facilitates the implementation of loosely-coupled adaptive systems with a PC host, and the integration of reconfigurable accelerators within larger software programs running on a host PC.

## 8.4 Hardware-Software Architecture

The hardware framework is comprised of the *control logic* and *user logic*, as shown in Fig. 8.1. The control logic implements interface management, reconfiguration control, and clock management, while the user logic implements the design under test (DUT) such as the adaptive system dataplane. The control logic is implemented in the *static* region, while the user logic is implemented using one or more partially reconfigurable regions (PRR), which can be reconfigured at runtime over PCIe. The framework is interfaced with a *host machine* such as a PC or a workstation through the PCIe interface. The software to manage the framework, such

as the reconfiguration manager, runs on the host processor. The following sections describe each block of the platform in detail.

### 8.4.1 PCIe Endpoint Block

The PCIe bus standard follows a layered communication architecture with physical, data link, and transaction layers. The physical layer manages low level electrical and logical operations whereas the data link layer implements flow control and interface reliability. We use the Xilinx *PCIe Integrated Endpoint Block*, configured for PCIe Gen 2  $\times 4$  link width to implement the physical and data link layers. Theoretically this gives a maximum throughput of 2 GB/s per direction in full-duplex mode, and this is portable across all Virtex-6 and Virtex-7 FPGAs. Newer boards can support higher bandwidths, which can be exploited with some reworking of our interface. The backend of the PCIe block is a 64-bit wide AXI4-Stream interface clocked at 250 MHz.

The *maximum payload size* (MPS) for the PCIe block is set to 256 Bytes and the block uses 4 BRAM based buffers to store the PCIe packets. PCIe defines the MPS as the maximum packet size allowed for an endpoint. When an endpoint generates packets, the packet size should not exceed this size and the packets received by the endpoint will always be less than or equal to this size. The endpoint can request an MPS between 128 to 4096 Bytes and this is set by the host during PCIe initialisation. Keeping the MPS size smaller reduces the achievable throughput due to the packet overhead, but it reduces the buffering requirement and makes the implementation portable across multiple host machines. The *maximum read request size* (MRRS) for the endpoint when it makes memory read requests is set to 4096 Bytes for better read performance.

### 8.4.2 PCIe Transaction Layer

The Endpoint block is directly interfaced with the *receive* and *transmit* engines, which together act as the *PCIe transaction layer*. Here, transaction layer packets (TLPs) are generated and consumed, representing the unit of communication for PCIe. TLPs follow a specific packet format as outlined in the PCIe specification [159]. Each TLP has a header field specifying the type of packet such as memory read, memory write, or read completion. Memory read and write packets are used to initiate a read or write operation respectively, and a completion packet contains the result of a read operation. The receive engine decodes received TLPs and routes them to the appropriate target. If the memory request is for an address location below 0x400, it is routed to the global register set, otherwise it is directed to the user address/data interface. The transmit engine generates memory read TLPs during the DMA operation to fetch data from host memory, memory write TLPs to transmit data from the FPGA to host memory, and completion TLPs in response to read requests from the host.

### 8.4.3 Global Register Set

This module implements all the control and status registers required for interface, communication and configuration management. An overview of important registers implemented and their address map is given in Table 8.1. The *control register* is used to initiate DMA operations between the host and FPGA, as well as to trigger reconfiguration operations. The *status register* is updated after each DMA or reconfiguration operation, allowing the host to ascertain operation completion. The *user control register* implements bits for selectively resetting the user logic as well as to select the clock frequency for the user stream interface. To initiate a reconfiguration operation, the host sets the bitstream size and its starting location in the host memory in the *CONF\_LEN* and *CONF\_ADDR* registers before setting the control register bit. Separate address and length registers are used to enable

multiple concurrent DMA operations between the host and user stream interfaces (the table shows a single such set).

This module also implements the PCIe interrupt management mechanism. PCIe uses an in-band interrupt signalling mechanism called message signalled interrupt (MSI) for the host attention. The FPGA may generate interrupts under different scenarios such as completion of DMA or configuration operations or system error conditions. During system operation, the FPGA may have to generate back-to-back interrupt messages for concurrent DMA operations. Even if the host uses a memory buffer to lodge interrupts, this may lead to interrupt misses. This requires proper interrupt management in the FPGA making sure that the host is ready to serve an interrupt before issuing a new one.

Interrupt management is implemented using the *status register* and an *interrupt status tracker* in the FPGA and using handshaking between the host and the

Addr.	Name	Description
00h	VER	Hardware Version
04h	SCR	Scratchpad Register
08h	CTRL	Control register
10h	STA	Status register
18h	UCTR	User control register
50h	CONF_ADDR	Reconfiguration address
54h	CONF_LEN	Reconfiguration length
60h	USR1_DMA_WR_ADDR	PCIe Stream-1 write address
64h	USR1_DMA_WR_LEN	PCIe Stream-1 write length
68h	USR1_DMA_RD_ADDR	PCIe Stream-1 read address
6Ch	USR1_DMA_RD_LEN	PCIe Stream-1 read length

TABLE 8.1: The Global Register Set address map.

FPGA. When the FPGA issues an interrupt, a corresponding bit is set in the status register. There are separate bits in the status register indicating different interrupt conditions. The interrupt tracker continuously checks for any bit set in the status register (the register is wired-or), and when it finds a condition, issues an interrupt to the host. The tracker then waits for the host to acknowledge the interrupt before checking the status register again, meanwhile the status register may lodge more interrupts. The host acknowledges an interrupt by reading the status register and write-clearing only the bits it finds already set. In this way, a single interrupt can convey multiple conditions and the tracker makes sure that the host is ready to accept interrupt before issuing a new one.

#### 8.4.4 PCIe Stream Generator (PSG)

The PCIe Stream Generators (PSG) act as the DMA controllers between the host and user stream interfaces. Modern processor chipsets do not have DMA controllers between the host memory and downstream PCIe devices. This requires the DMA controllers to be implemented in the FPGA fabric. Our framework supports a configurable number of PSGs, with each one managing a single user stream interface. The present implementation supports up to 4 concurrent streaming interfaces to user logic.

Since a single read request cannot be larger than 4KB (as per the PCIe protocol), a PSG has to make multiple read requests to the host during DMA write operations (from host to FPGA). When an endpoint device makes multiple outstanding read requests, the completion packets may return out of order. Typically, the endpoint is forced to make a new request only after receiving the data for the previous request. This can severely degrade performance since there can be a large latency between a memory read request and its completion. To achieve full bandwidth during DMA write operations, the FPGA must be able to issue back to back read requests to the host while managing out of order completions.

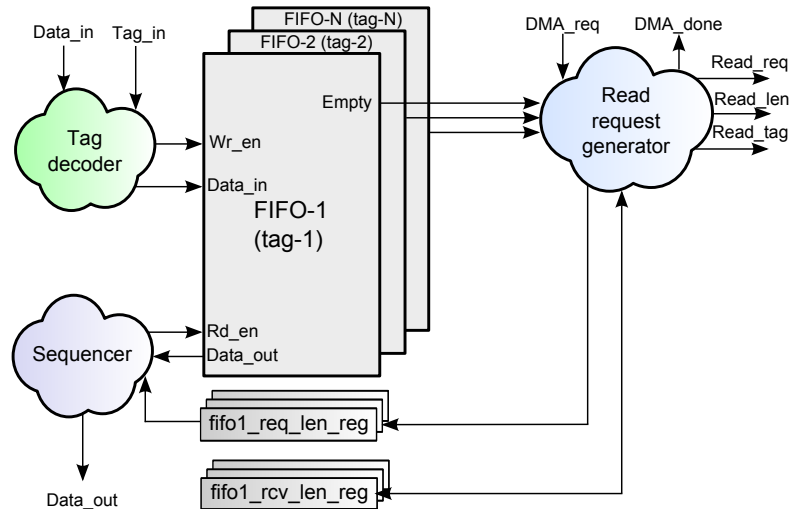


FIGURE 8.2: PSG DMA read manager

We exploit the *tag* number field in the PCIe packet headers to implement *virtual channels*, which enable multiple outbound read requests. Tag management is implemented with the help of FIFOs and a set of associated control registers as shown in Fig. 8.2. The number of FIFOs used is equal to the number of virtual channels and each virtual channel uses a unique tag number. The FIFO depth is fixed to 4KB, so that it can store the complete data resulting from a single read request. A read request generates multiple outbound read requests up to the number of virtual channels. When packets are received in response to the read requests, logic checks the tag number and routes the data to the appropriate FIFO. Later a *read sequencer* is used to reorder the data by reading sequentially from the FIFOs. Our experiments show that implementing only two virtual channels provides sufficiently high throughput performance (75% of PCIe bandwidth) for PCIe Gen 2 x4.

#### 8.4.5 PCIe Stream Arbitrator (PSA)

Arbitration logic is required to fairly serve the requests from multiple PSGs accessing the transaction layer. Our present design is scalable, supporting up to 256 stream interfaces with round-robin arbitration among them. In order to achieve



high performance, a PSG is granted bus access until no other PSG makes a request. This avoids unnecessary cycle loss present in traditional fixed time slot based round-robin-arbitration.

### 8.4.6 Configuration Controller

The configuration controller is a key feature of this framework. It manages partial reconfiguration of the user logic. We adapt our custom ICAP controller presented in Chapter 6 to implement this. Instead of reading partial bitstreams from an external memory, the modified implementation receives partial bitstreams directly from the host machine over the PCIe bus and configures the PRRs.

Two independent state machines, the configuration state machine (CSM) and the ICAP state machine (ISM), manage low-level reconfiguration. The configuration operation is triggered by the control register after the host configures the partial bitstream size and its location in the host memory in the global register set. The CSM generates memory read requests to the host to receive the partial bitstream. Received bitstream data is stored in an 8KB asynchronous asymmetric FIFO, with a 64-bit write port clocked at 250 MHz. The CSM generates a new read request only when all data corresponding to the previous request is received and there is sufficient space in the FIFO. Unlike PSGs, the configuration controller does not implement virtual channels since the maximum reconfiguration speed supported by the ICAP is only 400 MB/s and they are not needed.

The ICAP state machine (ISM) constantly monitors the read port of the FIFO for bitstream data. The FIFO read port is 32 bits wide clocked at 100 MHz – the maximum clock frequency supported by the ICAP. As soon as the FIFO empty signal is de-asserted, the ISM fetches data from the FIFO and writes it to the ICAP. Since the FIFO depth is double the maximum PCIe read request size, the bitstream read from host memory can overlap with ICAP transactions, maximising reconfiguration throughput.

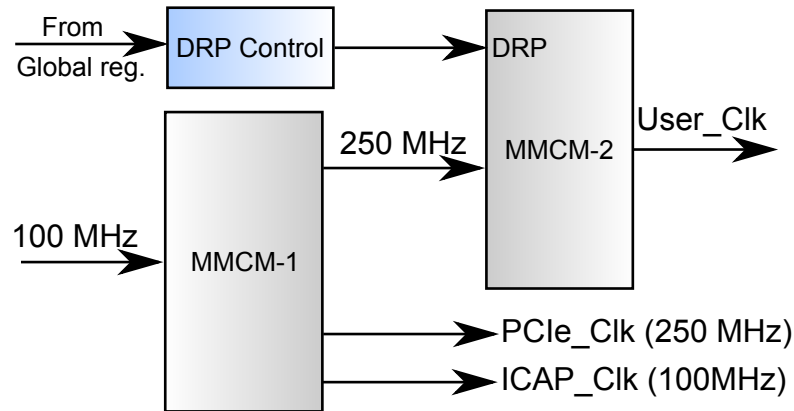


FIGURE 8.3: System Clocking Architecture.

### 8.4.7 Clock Management

One restriction in PR-based designs is that the reconfigurable region cannot contain any clock modifying logic such as mixed mode clock managers (MMCMs). This means the required user logic clock frequency must be provided from the static region. By default, all user stream interfaces run at the PCIe interface clock frequency (250 MHz). But it is possible that some user logic implementations cannot achieve this frequency. Lowering interface clock frequency compromises throughput for all user logic implementations, so instead, we allow the user to modify interface clock frequency at runtime.

A dedicated MMCM is used to generate the user interface clock frequency as shown in Fig. 8.3. The input clock to this MMCM is the 250 MHz PCIe interface clock. By default the MMCM output is also 250 MHz. At runtime, it is possible to modify the MMCM output frequency by changing the internal clock multiplier and divider register values. These registers are accessed through the MMCM DRP port. Our framework provides a software API function which enables this runtime register modifications and presently supports 4 user interface clock frequencies (250 MHz, 200 MHz, 150 MHz and 100 MHz). A separate MMCM is required for DRP based clock modification since all the output clock signals are disturbed until the MMCM internal oscillator achieves frequency stability.

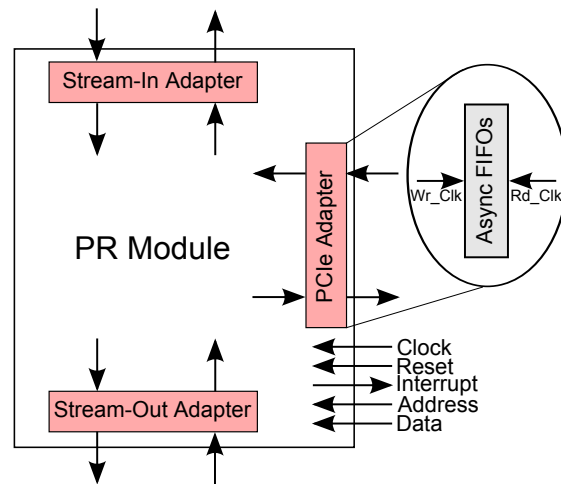


FIGURE 8.4: A PR region showing user logic adapters and other interface signals.

### 8.4.8 User Logic Adapter

One major challenge associated with PR designs is achieving timing closure. Since the routing of the static design does not change with each different PR bitstream, it is essential that the static logic achieve timing closure even for very large user logic. To preserving the routing between the static and the reconfigurable regions, the tools automatically instantiate *proxy logic* on each region boundary (static  $\leftrightarrow$  reconfigurable) crossing net. Proxy logic is implemented in LUTs that act as pass-through for routing preservation.

Proxy logic can deteriorate timing performance and its placement can exacerbate this problem. In our experiments, we found the Xilinx implementation tools place proxy logic inefficiently, causing large net delay and thus failing to achieve the 250 MHz user interface clock frequency. One possible solution for this is to constrain the locations of all proxy logic close to the interface boundary. Since the user interface contains hundreds of signals, this is not practical.

The user logic adapter instantiates AXI4-Stream FIFOs in between each PSG and its corresponding user stream interface. Unlike the CoPR framework presented in Chapter 7, this platform enables direct data streaming between the control and the dataplanes through these interfaces. This enables validating task level reconfiguration operations, where each PRR implements an independent hardware task. The

Stream FIFOs reside in the reconfigurable region and their locations are manually constrained close to the region boundary. This causes the implementation tools to place the proxy logic close to the interface boundary and thus help with timing closure. This adapter is automatically added to the design by our development infrastructure and users do not have to consider it when designing their user logic.

In addition to the PCIe stream interface, each PRR has two other stream interfaces to connect with adjacent PRRs, enabling module chaining to implement different processing chains as shown in Fig. 8.4. This is similar to the AXI4-Stream interface connecting different PRRs in the CoPR framework enabling direct data streaming between multiple hardware modules to form different system configurations. Similar to the AXI-Lite interface present in the CoPR platform, each PRR also has an address/data interface, which enables parametric reconfiguration of the modules implemented in them.

The user logic adapter also performs clock domain crossing management. The FIFOs used in the adapter are asynchronous in nature with their PSG side port operating at the PCIe interface frequency (250 MHz). The FIFO ports interfaced with the user logic interface run at the configurable user logic clock (100MHz to 250MHz). This provides reliable clock domain crossing between the control logic and the user logic.

### 8.4.9 Software Infrastructure

The software component of our framework consists of a PCIe driver and a *user library* supported on Linux. The low level PCIe driver is an extensively modified version of the RIFFA driver with the user library providing API functions listed in Table 8.2. The *fpga\_send\_data()* and *fpga\_recv\_data()* functions are used for DMA transfer between the host and user stream interfaces. The specific user stream interface number is provided as an argument to these APIs. The *fpga\_reconfig()* function is used to initiate a reconfiguration operation by specifying the partial

API Function Name	Description
<code>fpga_send_data(channel, data, len, block)</code>	Initialize a DMA transfer between the host and <i>channel</i> of array <i>data</i> of length <i>len</i>  <i>channel</i> : USERPCIE1..4  <i>block</i> : blocking/non-blocking selection when target is USER interface
<code>fpga_recv_data(channel, data, len, block)</code>	Similar to <code>fpga_send_data()</code> but to read data from the host
<code>fpga_reconfig(bitstream)</code>	reconfigure the FPGA with the specified bitstream
<code>fpga_wait_interrupt (channel)</code>	Synchronization function for data transfers.
<code>fpga_reg_wr(addr, data)</code>	Write single 32-bit register
<code>fpga_reg_rd(addr)</code>	Read single 32-bit register
<code>user_set_clk(frequency)</code>	Set the clock frequency to the user logic. (250, 200, 150 and 100 MHz)

TABLE 8.2: Framework user API functions.

bitstream corresponding to required PRR. The high-level reconfiguration management for this platform using system level configurations is to be integrated in future work.

For DMA operations, buffers are reserved in the system memory at system boot time. Each buffer is 4MB in size and each DMA operation usually uses 2 DMA buffers in a double buffering fashion. During DMA write operations, the first 4MB of user data is copied to a DMA buffer and the address of the buffer along with the buffer size are configured in the corresponding FPGA registers. While the FPGA reads data from this buffer, the next 4MB of user data is copied to another DMA

buffer. When the FPGA indicates the completion of the data transfer corresponding to the first DMA buffer through an interrupt, the information corresponding to the second buffer is configured in the FPGA. The first buffer is now free for copying more user data. This cycle continues until the complete user data is sent to the FPGA.

For DMA read operations, a similar double-buffering based scheme is used. In this case DMA buffers are used to store data received from the FPGA and data reception overlaps with copying data to the user provided buffer from the DMA buffers.

The send and receive operations can act in both *blocking* and *non-blocking* modes. In blocking mode, the API call returns only after the DMA operation is complete while in non-blocking mode the API returns immediately after initiating a transfer. Non-blocking operations are supported only for data transfers below 4 MB, since data transfers above this size require two buffers and interrupt based buffer management. Non-blocking mode transfer enables overlapping DMA operations to multiple stream interfaces and overlapped read-write operations providing better throughput for small data transfers. Non-blocking transfers must be synchronised with the *fpga\_wait\_interrupt()* function before starting a new DMA operation to the same channel.

## 8.5 Implementation and Characterisation

In this section we discuss the implementation details of the platform on multiple target FPGAs. Detailed communication and reconfiguration performance numbers are reported. We also present an example application built using the proposed platform to demonstrate its functionality.

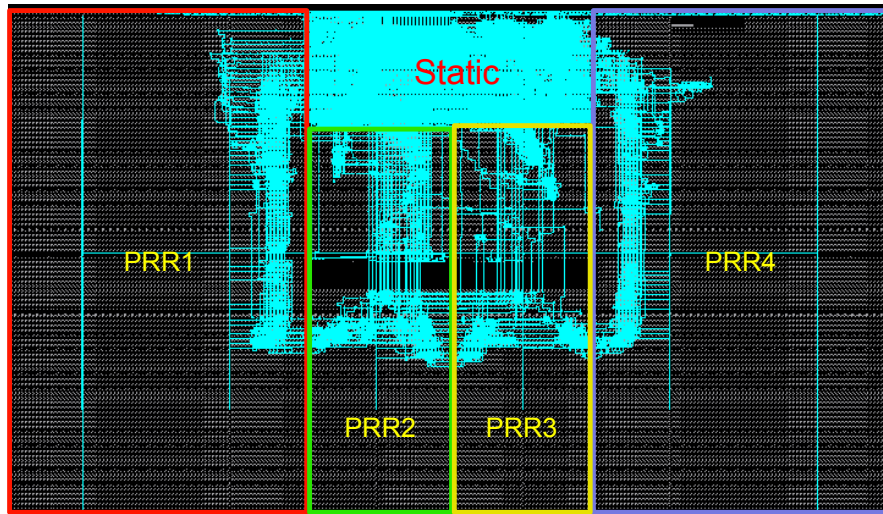


FIGURE 8.5: Virtex-7 floorplan for the platform.

### 8.5.1 Implementation

Xilinx ISE and PlanAhead 14.6 were used for implementation. The proposed platform was implemented and hardware validated on a Xilinx ML605 development board containing a Virtex-6 LX240T FPGA and on a VC707 development board containing a Virtex-7 VX485T FPGA. The static and reconfigurable regions are area constrained and interface FIFOs are location constrained as shown in Fig. 8.5. Without the location constraints, the implementation was not able to achieve timing closure on the Virtex-6 for region crossing signals, although this was not an issue on the Virtex-7 due to its higher speed grade.

The resource utilisation with 4 user stream interfaces enabled is shown in Table 8.3. On the Virtex-6 FPGA the platform consumes about 6% of both logic and BRAMs. On the Virtex-7, logic consumption is about 3% and BRAM utilisation is about 2.5%. About 80% of the FPGA area is available as PRRs for user logic implementation.

### 8.5.2 Development Framework

One of the reasons for PR design not being widespread is the difficulty associated with using the vendor implementation tools. To enable easier PR development for

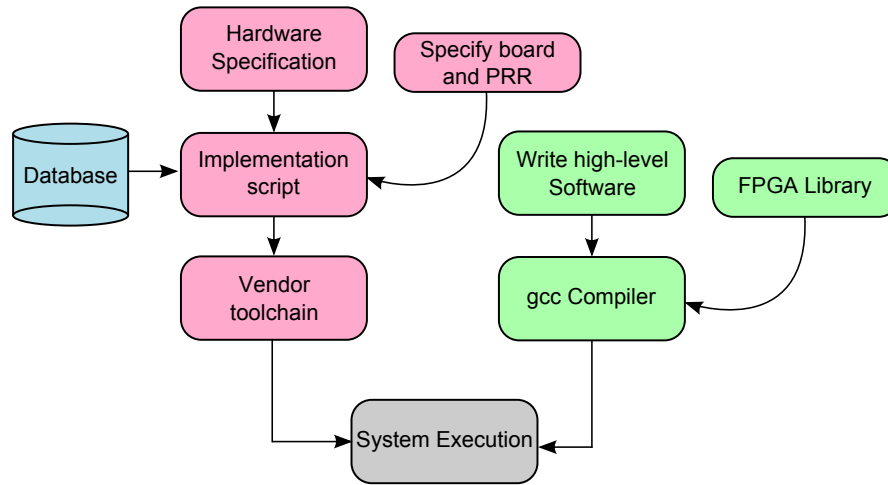


FIGURE 8.6: Development Flow for the Testbed.

the proposed test platform, we provide a development environment in the form of PR automation scripts. The proposed development platform is depicted in Fig. 8.6. The designer only has to develop the reconfigurable modules conforming to the predefined user logic interface. The designer then runs the implementation scripts by specifying the target FPGA board and the reconfigurable region. The scripts use a database containing pre-synthesised netlists, placed and routed control logic and implementation constraints for the framework, automatically incorporating the specific user logic into the design, and generating the full and

FPGA	Virtex-6 LX240T			Virtex-7 VX485T		
	Regs	LUTs	BRAMs	Regs	LUTs	BRAMs
PCIe Core	791	738	4	1402	923	4
Transaction layer	1058	727	0	1069	613	0
DMA Control	2711	2809	12	2564	2519	12
Config. Control	451	328	2	298	261	2
Clock management	85	84	0	85	73	0
User Adapter	1556	791	8	1556	792	8
Total	6652	5477	26	6974	5181	26

TABLE 8.3: Platform Resource utilisation



partial bitstreams. This provides several advantages:

1. The pre-routed control logic has already achieved timing closure using specific location constraints.
2. Designers are not required to do manual floorplanning.
3. Using pre-routed control logic considerably reduces overall tool execution time.
4. Since the specific IP-cores are already routed, issues related to software version differences are avoided.

If designers are interested in additional exploration of the platform, they are free to do so by completely reimplementing the control logic using the corresponding HDL design files and modifying the user constraints file (UCF).

The designer writes the high-level software using the software APIs provided by the framework. The provided reconfiguration API function (*fpga\_reconfig()*) can be used in user C code to reconfigure the FPGA with the specified user partial bitstreams, as generated by the scripts. This allows rapid and easy iteration for testing multiple designs/variations. The framework also makes it possible to integrate a hardware accelerator within a larger software application, by configuring an accelerator and sending data at high throughput. The software is compiled using the standard C compiler to generate the software executable.

For hardware validation, a full bitstream is stored in on-board flash memory to configure the FPGA at system boot time – this contains the full static system and empty PRRs. At runtime modules can be dynamically swapped in and out using the API functions. Designers can also explore additional features such as module chaining by configuring multiple PRRs concurrently and module pre-fetching by reconfiguring regions when data is being processed in other regions.

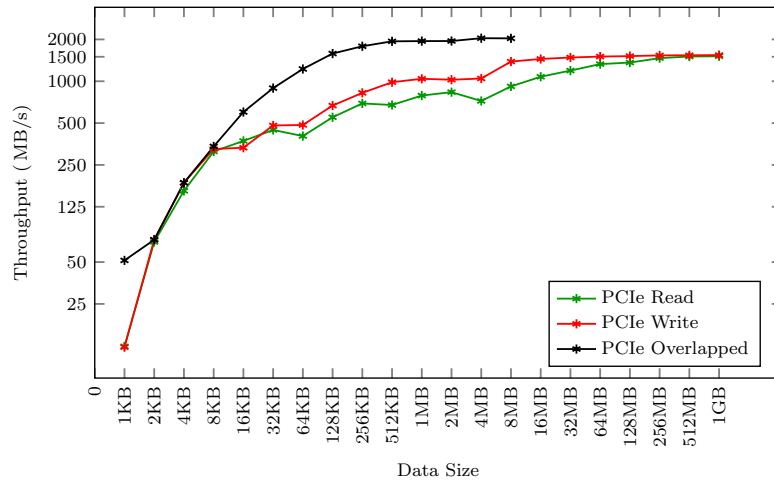


FIGURE 8.7: PCIe communication bandwidth (PCIe Gen 2  $\times$ 4 configuration).

### 8.5.3 Characterisation

The host machine for the performance validation was an HPZ420 workstation with an Intel Xeon E5-1650 3.2 GHz CPU, the Intel C600/X79 series chipset, and 16 GB of DRAM, running Ubuntu 12.04 LTS. A stream based user logic design capable of sourcing and syncing infinite amount of data, is used to determine data throughput. The performance measurements were done with the help of *Performance Application Programming Interface* (PAPI) [160]. Overheads such as DMA controller configuration, interrupt latencies and the interrupt service routines are included in all measurements.

Fig. 8.7 shows the PCIe communication throughput between the host and FPGA. Write performance peaks at 1542 MB/s and read performance peaks at 1513 MB/s, which is more than 75% of the theoretical PCIe throughput. Further performance improvement is difficult due to packet overheads, host machine limitations and limited packet buffering in the FPGA. It can be seen that for transfers above 4 MB in size, both read and write performance improve due to the double buffering scheme used in the host machine. The benefits of non-blocking data transfer for overlapped read-write operations is also demonstrated. Using this method, it is possible to achieve a throughput of up to 2.1 GB/s for data transfers below 8 MB in size.

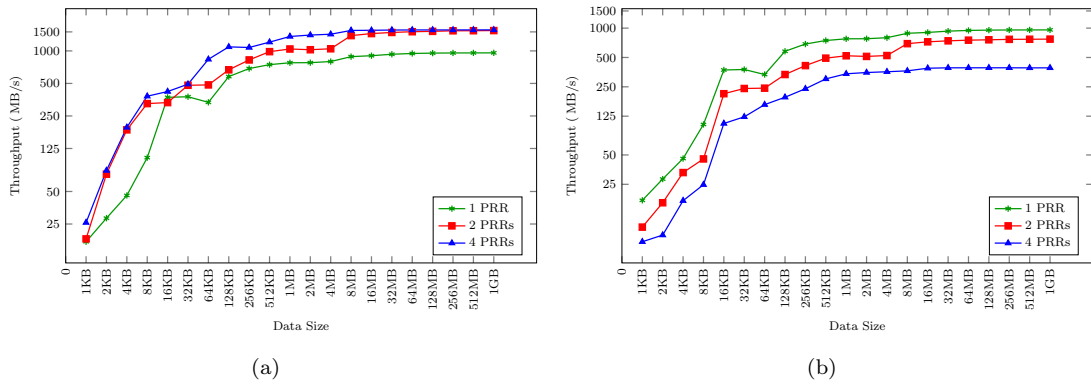


FIGURE 8.8: (a) Overall PCIe bandwidth with varying number of PR modules  
(b) PCIe bandwidth to single PR module with varying number of PR modules.

Fig. 8.8(a) shows the total PCIe bandwidth between the host and the FPGA as the number data streams to multiple PRRs is varied. As more DMA channels are activated, the total bandwidth increases since the PCIe channel is less idle due to the simultaneous requests from multiple modules. Fig. 8.8(b) shows the PCIe bandwidth between a single PRR and the host as the number of DMA channels is varied. When a single PRR is activated, the complete PCIe bandwidth is available to it. As the number of PRRs are increased, the bandwidth is equally shared among them when simultaneous channel requests are received. The interface management logic ensures that each PR module is guaranteed a minimum bandwidth when multiple PRRs are present, thus providing overall quality of service. This also enables prediction of completion time for data processing when the input data size is known in advance.

Meanwhile, reconfiguration over the PCIe interface achieves a throughput of up to 365 MB/s, which is more than 91% of the maximum supported ICAP throughput. This margin is due to DMA configuration, initial host memory access latency, and interrupt latency. For the target Virtex-6 with the present area constraints, the uncompressed partial bitstream size is 7.036 MB (considering the 4 PR regions together), which can be configured in 20.6 ms. For the Virtex-7, the partial bitstream size is 16.85 MB and it can be reconfigured in 46 ms. JTAG based reconfiguration would take about 11 and 21 seconds for the Virtex-6 and Virtex-7 FPGAs respectively. The ML605 also has a 16 MB platform flash which can store

a single bitstream with reconfiguration taking about 100 ms. The VC707 has a 128 MB BPI flash which can store up to 4 bitstreams and reconfiguration takes 130 ms. Storing bitstreams in the flash is a time consuming operation taking up to 20 minutes on ML605 and 30 minutes on the VC707. Hence, none of these other reconfiguration approaches makes sense for dynamically reconfiguring user logic, especially if this is done for an accelerator within a larger software program, where such latency can nullify any performance advantage.

Reconfiguration performance can also be improved by enabling bitstream compression with the amount of compression dependent on the logic in the circuit. For the user logic in the subsequent case study, containing 1577 registers and 1464 LUTs, the partial bitstream size was reduced to 1.29 MB, which could be reconfigured in under 3.6 ms.

#### 8.5.4 Case Study

To demonstrate the effectiveness of the proposed framework in the context of a software application with hardware accelerators, an example video processing application was implemented and tested. The application implements several filters: a thresholder, inverter, Gaussian filter, Laplace filter and Sobel edge detector. These were implemented in user logic running at 250MHz with a 64-bit streaming interface. Multiple filters can be configured concurrently in adjacent PRRs to create more filter effects. The application processes a continuous stream of  $640 \times 480$  greyscale video frames with 1 Byte/pixel resolution, to produce a continuous stream of output data. As a streaming application, the hardware latency is purely a function of the pipeline. Identical filters are implemented in software on the host (using C) for performance comparison.

Partial bitstreams corresponding to each of these filters are stored in a bitstream library in the host machine. Data is sent and received from the FPGA board using the API in non-blocking mode (streaming). The software application can

Implementation	Reconfig. Time (ms)	Processing time/frame (ms)	Throughput (frames/sec)
Software	0	1.023	976
Hardware	0	0.153	6510
Software-Hardware	3.698	0.355	2812

TABLE 8.4: Performance Comparison for software, hardware and hardware-software implementations.

change from one filter to another, triggering a reconfiguration each time. Table 8.4 presents a performance comparison for the inversion filter when used as a standalone hardware module, a pure software implementation, and as a hardware accelerator within software code.

A standalone hardware implementation clearly provides the highest performance. Meanwhile, integrating a hardware accelerator using the proposed framework increases performance by nearly  $3\times$  compared to pure software. The reduction in the performance advantage compared to pure hardware is attributed to the communication latency between the host and the FPGA and the overheads associated with DMA and interrupt management. This underlines the importance of the communication between the FPGAs and the host machines when FPGAs are used as co-processors. Improved performance is expected when the framework is implemented on newer FPGAs that support higher PCIe throughput. More complex accelerators that spend more time computing would also show increased benefits. Reconfiguration over PCIe allows a new filter to be reconfigured in under 4 ms, corresponding to the processing time for 10 frames. Hence, as expected, overall performance improves as the processing time increases compared to reconfiguration time. The case study demonstrates a fully functional integration of accelerators reconfigured over PCIe and integrated within a software application.

## **8.6 Summary**

In this chapter we presented a platform which enables rapid validation of PR based systems integrated within a host PC, with data communication and reconfiguration managed over a PCIe interface. The DMA based streaming architecture achieves more than 75% of theoretical PCIe bandwidth. Partial reconfiguration over PCIe reduces reconfiguration time to a few milliseconds compared to several seconds for JTAG. An API provides functions that make integration easier, and allow for the use of accelerators in software applications on the host. The unified communication and reconfiguration infrastructure avoids the need for proprietary software drivers and dedicated external wiring to the JTAG port. An example application was implemented, demonstrating the reconfiguration, and data throughput capabilities of the platform.

# Chapter 9

## Conclusions and Future work

This dissertation has proposed a framework that enables high-level design of adaptive systems using FPGA partial reconfiguration (PR). It has shown that FPGAs are suitable hardware platforms for high-performance adaptive systems implementation and that PR offers advantages for such systems. The suitability of hybrid FPGA platforms, which integrate processors with reconfigurable fabric on the same physical chip, has also been demonstrated. An open-source verification platform, DyRACT, has also been introduced, making hardware verification of PR based designs easier and less time consuming. We also presented CoPR for Zynq, a fully automated flow for implementing PR-based adaptive systems on the Zynq platform.

This chapter draws the conclusions from the dissertation, highlights its contributions, and outlines areas for further research.

### 9.1 Summary of Contributions

The proposed PR based adaptive system development framework can be broadly classified into two parts: design-time PR methods and tools, and run-time PR support. Design time methods and tools provide support to the designer during the hardware design stage of the system. Specifically speaking, we have automated

several operations that previously required manual steps by the designer, showing that these could help optimise overall system efficiency. The run-time PR support provides run-time management of reconfiguration, allows for higher level adaptive design, and a hardware based verification platform to help with testing.

### 9.1.1 Partitioning and Floorplanning

Present vendor supported PR tool flows, and most of the tools developed by the research community, require manual partitioning and floorplanning for PR designs. In Chapters 4 and 5, we demonstrated the impact of these steps on system performance and the architecture expertise required from the designer to find efficient solutions. We proposed methods for automatic partitioning and floorplanning by considering the architecture of the current FPGAs, and automated these steps. The proposed methods respect all the constraints specified by the vendor implementation tools in order to integrate the whole flow. The tools allow different optimisation strategies such as minimising total resource utilisation or minimising reconfiguration time. This allows the designer to choose the required optimisation strategy depending on requirements. The feasibility of these tools was demonstrated through case studies.

### 9.1.2 Run-time PR Support and Management

The design-time contributions detailed above provide everything necessary for the PR system to be implemented. However, true adaptive systems need intelligent management of adaptation that is typically better done in software. During the design stage, the proposed tools build necessary infrastructure and interfaces for the management of PR. During runtime the system adapts based on operational conditions. Present development flows require adaptation to be explicitly coded by the designer, who must explicitly state which bitstreams to load making the software designer essentially the system designer. Furthermore, the performance achievable with vendor provided reconfiguration infrastructure is poor.



In Chapter 7, we presented a design framework that allows designers with less hardware expertise to specify complex adaptation using a high level system specification. The designer considers only the configurations they are aware of from the abstract perspective and our tools and runtime system translate this to the low level reconfiguration steps required to enact the appropriate reconfiguration.

In Chapter 6, we developed two custom reconfiguration controllers, one targeting traditional FPGA architectures and one targeting hybrid FPGA platforms such as the Zynq. The controllers achieve near theoretical reconfiguration performance, thus reducing reconfiguration time substantially while not overburdening the reconfiguration management processor with low-level reconfiguration operations.

### 9.1.3 Automated PR Development Flow

In Chapter 7, we developed a fully automated PR development flow, called *CoPR for Zynq*, targeting hybrid FPGA platforms. A high-level system specification model is proposed which enables system-level developers to easily compose adaptive systems using pre-designed IP cores. The proposed partitioning and floorplanning algorithms were integrated with the vendor implementation flow to create a complete development flow. It also allows the designer to integrate our custom ICAP controller (ZyCAP) to achieve near theoretical reconfiguration performance. The run-time reconfiguration management was also adapted to the hybrid FPGA platform, which made reconfiguration management easier and independent of the adaptation logic.

### 9.1.4 PR verification platform

Finally, in Chapter 8, we introduced an open-source PR system verification platform called DyRACT. PR based hardware verification is more challenging compared to traditional FPGA designs and the communication infrastructure required for such a platform is tedious to develop. The proposed platform along with the implementation framework enables users to quickly integrate their PR designs

with the communication and reconfiguration infrastructure. We demonstrated that using the same PCIe communication channel, near theoretical reconfiguration performance can be achieved along with high communication throughput. We also presented the virtual-channel-based PCIe communication infrastructure, which is scalable while saturating more than 75% of the PCIe bandwidth.

## 9.2 Future Research Directions

The research reported in this thesis was intended to cover the whole automated design flow. As such, we have identified a number of interesting research questions that can be explored in each of these aspects of PR design that we propose be explored in future work.

### 9.2.1 Combined Partitioning and Floorplanning

The partitioning and floorplanning tools we proposed operate in sequence with the floorplanner using the regions determined during partitioning. It might be possible that a proposed partitioning cannot be floorplanned on the FPGA due to the spatial arrangement of resources. This would require determination of a new partitioning. We also saw in Chapter 5 that the rectangular region constraint sometimes results in wasted extra resources. Combining the two steps together so that the partitioning algorithm takes into account these limitations might lead to more predictably achievable floorplans.

In this work, we optimise for total reconfiguration time, based on valid configurations. This can be enhanced by considering only valid configuration transitions as specified in the adaptation specification. Such optimisations have been demonstrated for PR implementation of static task graphs, but similar information could be determined from the adaptation specification of an adaptive system. Furthermore, it might be possible to incorporate measured probabilities of transition to further enhance the real overall metrics.

### 9.2.2 Operating System Support

The reconfiguration manager for Zynq and the driver for ZyCAP have so far been implemented for the Standalone operating system, a lightweight, vendor-provided operating system offering high performance but limited features. To attract a wider interest and to make the framework more portable and accessible, the framework should be ported to an operating system such as Linux, or a real time operating system. This would also allow application designers to use other libraries in the control plane. Managing reconfiguration within an OS is feasible, but adds some latency. Providing a more low-level interface that still supports OS interaction would be of benefit. An example is the use of a Microkernel hypervisor to manage the PR process [161]. Understanding how to manage PR within the context of real operating systems is an area that requires further research.

### 9.2.3 Integration with HLS tools

The increasing popularity of high-level synthesis (HLS) techniques is a great motivation for integrating the PR implementation framework with HLS tools such as Xilinx's Vivado-HLS. By doing so, the HLS tools can directly use C based module descriptions and generate corresponding RTL modules, which can be used by the proposed automation tools and vendor implementation tools for the final implementation. The HLS tools can integrate more tightly with the proposed framework, allowing for a design space exploration that includes partial reconfiguration as a consideration. The present automation scripts should also be updated to function with the new Xilinx Vivado design suite.

### 9.2.4 Domain Specific IP Libraries

Creating a framework that enables a block-based approach to PR design means designers will be looking more at the “big picture” adaptation, and would like to use existing IP for the data plane. It would be beneficial to the community for

well-tested, well-documented libraries of blocks to be developed for different domains, such as software defined radio, video and image processing and automotive systems. Designers can then easily integrate these cores into their systems, and work on the upper layers of the adaptive system. Adoption of a standard AXI interface by Xilinx for their new IP cores, and similar efforts in the open source community will help ensure interoperability.

### 9.2.5 PR Design Benchmarks

A major stumbling block in PR research is the lack of a standard set of PR benchmarks for comparing PR tools. This often forces researchers to resort to synthetic circuits or simple examples to demonstrate their proposed algorithms. As a growing research field, a standard set of benchmarks should be developed to allow easier validation and comparison of proposed methods in this domain.

## 9.3 Summary

This dissertation has contributed towards enabling high-level design of adaptive systems through the partial reconfiguration of FPGAs. Special focus was given to hybrid FPGAs and this is one of the first pieces of work to demonstrate their potential in complete system implementation. A number of open source tools and designs were released as part of this research work, which we hope will be beneficial to FPGA community in general, and designers of partially reconfigurable systems in particular.

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